

Offender Name _____ Gender M F Age _____ Date of Birth ____/____/____
mm dd yyyy

Identifying Number _____ Interviewer _____ Interview Date ____/____/____
mm dd yyyy

The LS/CMI assessment is a quantitative survey of offender attributes and situations relevant to decision-making regarding level of service. Some items are in a no/yes format, and some are in a 3-0 rating format, based on the following scale:

- 3: A satisfactory situation with no need for improvement
- 2: A relatively satisfactory situation with some room for improvement
- 1: A relatively unsatisfactory situation with a need for improvement
- 0: A very unsatisfactory situation with a very clear and strong need for improvement

Place an X over the appropriate response for each item, whether it is a yes, no, or a rating number. The responses will transfer through to the scoring sheet beneath for quick tallying of the LS/CMI subcomponent scores and total score. If the section is a strength for the offender, place an X in the Strength box. Refer to the *LS/CMI User's Manual* or *LS/CMI Scoring Guide* for guidelines on rating items. When there is insufficient information to respond, circle the item number. *Complete and score Section 1 before completing Sections 2 to 8.*

Section 1: General Risk/Need Factors

1.1 Criminal History

- No Yes 1. Any prior youth dispositions (number ____) or adult convictions (number ____)?
 - No Yes 2. Two or more prior youth/adult dispositions/convictions?
 - No Yes 3. Three or more prior youth/adult dispositions/convictions?
 - No Yes 4. Three or more present offences (number ____)?
 - No Yes 5. Arrested or charged under age 16?
 - No Yes 6. Ever incarcerated upon conviction?
 - No Yes 7. Ever punished for institutional misconduct or a behavior report (number ____)?
 - No Yes 8. Charge laid, probation breached, or parole suspended during prior community supervision?
- Strength?

1.2 Education/Employment

When in the labor market (either in the community or long-term imprisonment with work opportunities):

- No Yes 9. Currently unemployed?
- No Yes 10. Frequently unemployed?
- No Yes 11. Never employed for a full year?

School or when in school:

- No Yes 12. Less than regular grade 10 or equivalent?
- No Yes 13. Less than regular grade 12 or equivalent?
- No Yes 14. Suspended or expelled at least once.

For the next three questions, if the offender is a homemaker or pensioner, complete question 15 only. If the offender is in school or working, complete 15, 16, and 17. If the offender is available for the labor market but is unemployed and not in school, rate 0 for 15-17.

- 3 2 1 0 15. Participation/Performance.
 - 3 2 1 0 16. Peer interactions.
 - 3 2 1 0 17. Authority interaction.
- Strength?

1.3 Family/Marital

- 3 2 1 0 18. Dissatisfaction with marital or equivalent situation.
 - 3 2 1 0 19. Nonrewarding, parental.
 - 3 2 1 0 20. Nonrewarding, other relatives.
 - No Yes 21. Criminal—family/spouse.
- Strength?

LS/CMI QuikScore™ Form
Level of Service/Case Management Inventory

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1.4 Leisure/Recreation

- No Yes 22. Absence of recent participation in an organized activity.
 3 2 1 0 23. Could make better use of time.
 Strength?

1.5 Companions

- No Yes 24. Some criminal acquaintances.
 3 2 1 0 25. Some criminal friends.
 No Yes 26. Few anticriminal acquaintances.
 3 2 1 0 27. Few anticriminal friends.
 Strength?

1.6 Alcohol/Drug Problem

- No Yes 28. Alcohol problem, ever.
 No Yes 29. Drug problem, ever.
 3 2 1 0 30. Alcohol problem, currently.
 3 2 1 0 31. Drug problem, currently. Specify type of drug(s) _____

If a current alcohol/drug abuse problem exists, complete the following:

- No Yes 32. Law violations.
 No Yes 33. Marital/Family.
 No Yes 34. School/Work.
 No Yes 35. Medical or other clinical indicators? Specify _____
 Strength?

1.7 Procriminal Attitude/Orientation

- 3 2 1 0 36. Supportive of crime.
 3 2 1 0 37. Unfavorable toward convention.
 No Yes 38. Poor, toward sentence/offence.
 No Yes 39. Poor, toward supervision/treatment.
 Strength?

1.8 Antisocial Pattern

- No Yes 40. Specialized assessment for antisocial pattern:
 No Yes 41. Early and diverse antisocial behavior. *Item a, plus at least one of b, c, or d. Indicate all that apply.*
 a. Severe problems of adjustment in childhood, as indicated by school and social welfare records, or arrested or charged under age 16. (5)
 b. Official record of assault/violence.
 c. Escape history from a correctional facility, unlawfully-at-large.
 d. Charge laid, probation breached, or parole suspended during prior community supervision. (8)
 No Yes 42. Criminal attitude. *At least one of the following items. Indicate all that apply.*
 a. Supportive of crime. (36) c. Poor, toward supervision/treatment. (39)
 b. Unfavorable toward convention. (37)
 No Yes 43. Pattern of generalized trouble. *At least four of the following items. Indicate all that apply.*
 a. Financial problems. 3 2 1 0 e. Suspended or expelled at least once. (14)
 b. 3 or more address changes last year. (# _____) f. Nonrewarding, parental. (19)
 c. Never employed for a full year. (11) g. Could make better use of time. (23)
 d. Less than regular grade 10 or equivalent. (12) h. Few anticriminal friends. (27)
 Strength?

Column A		Column B	
LR Total	<input type="checkbox"/>	1.	<input type="checkbox"/>
CO Total	<input type="checkbox"/>	2.	<input type="checkbox"/>
ADP Total	<input type="checkbox"/>	3.	<input type="checkbox"/>
PA Total	<input type="checkbox"/>	4.	<input type="checkbox"/>
AP Total	<input type="checkbox"/>	5.	<input type="checkbox"/>
		6.	<input type="checkbox"/>
		7.	<input type="checkbox"/>
		8.	<input type="checkbox"/>
		9.	<input type="checkbox"/>
		10.	<input type="checkbox"/>
		11.	<input type="checkbox"/>
		12.	<input type="checkbox"/>
		13.	<input type="checkbox"/>
		14.	<input type="checkbox"/>
		15.	<input type="checkbox"/>
		16.	<input type="checkbox"/>
		17.	<input type="checkbox"/>
		18.	<input type="checkbox"/>
		19.	<input type="checkbox"/>
		20.	<input type="checkbox"/>
		21.	<input type="checkbox"/>
Column A Total	<input type="checkbox"/>	Column B Total	<input type="checkbox"/>

Strengths

LR

CO

ADP CH

PA

EE

AP FM

1. To find the eight LS/CMI subcomponent scores, count the number of Xs above each Total box (e.g., LR Total) in the unshaded sections of Columns A and B, and write the sum in each Total box. Note that Xs in the darkened parts of Columns A and B are not counted.

2. Sum the LR, CO, ADP, PA, and AP Totals to find the Column A Total, and sum the CH, EE, and FM Totals to find the Column B Total.

3. Lastly, add the Column A and B Totals to obtain the LS/CMI Section 1 Total Score. Refer to the ColorPlot™ Profile to compare the Section 1 Total Score to the male and female offender norms.

Items with circled numbers have been omitted. Note that the Strengths and responses to items 41a to 41d, 42a to 42c, and 43a to 43h also transfer to this page, but do not affect the Section 1 Total Score.

Number of prior youth dispositions Number of prior adult convictions

Type of drugs used

Number of present offences

Number of times punished for institutional misconduct

Clinical indicators of drug problems

LS/CMI Section 1 Total Score

A + B =

Financial Problems 3 2 1 0
Number of address changes

42c.
43e.
f.
g.
h.

Scale Acronyms

- LR = Leisure/Recreation
- CO = Companions
- ADP = Alcohol/Drug Problem
- PA = Procriminal Attitude/Orientation
- AP = Antisocial Pattern
- CH = Criminal History
- EE = Education/Employment
- FM = Family/Marital

Section 2: Specific Risk/Need Factors

Circle the appropriate response for the following items.

2.1 Personal Problems with Criminogenic Potential

- | | | |
|----|-----|--------------------------------------------------------|
| No | Yes | 1. Clear problems of compliance (specific conditions). |
| No | Yes | 2. Diagnosis of "psychopathy." |
| No | Yes | 3. Diagnosis of other personality disorder. |
| No | Yes | 4. Threat from third party. |
| No | Yes | 5. Problem-solving/self-management skill deficits. |
| No | Yes | 6. Anger management deficits. |
| No | Yes | 7. Intimidating/controlling. |
| No | Yes | 8. Inappropriate sexual activity. |
| No | Yes | 9. Poor social skills. |
| No | Yes | 10. Peers outside of age range. |
| No | Yes | 11. Racist/sexist behavior. |
| No | Yes | 12. Underachievement. |
| No | Yes | 13. Outstanding charges. |
| No | Yes | 14. Other. Specify _____ |

2.2 History of Perpetration

Sexual Assault

- | | | |
|----|-----|-------------------------------------------------------------------|
| No | Yes | 1. Sexual assault, extrafamilial, child/adolescent—male victim. |
| No | Yes | 2. Sexual assault, extrafamilial, child/adolescent—female victim. |
| No | Yes | 3. Sexual assault, extrafamilial, adult—male victim. |
| No | Yes | 4. Sexual assault, extrafamilial, adult—female victim. |
| No | Yes | 5. Sexual assault, intrafamilial, child/adolescent—male victim. |
| No | Yes | 6. Sexual assault, intrafamilial, child/adolescent—female victim. |
| No | Yes | 7. Sexual assault, intrafamilial, adult—spouse/partner victim. |

Nonsexual Physical Assault and Other Forms of Violence

- | | | |
|----|-----|-------------------------------------------------------------|
| No | Yes | 8. Physical assault, extrafamilial—adult victim. |
| No | Yes | 9. Physical assault, intrafamilial—child/adolescent victim. |
| No | Yes | 10. Physical assault, intrafamilial—adult partner victim. |
| No | Yes | 11. Assault on an authority figure. |
| No | Yes | 12. Stalking/harassment. |
| No | Yes | 13. Weapon use. |
| No | Yes | 14. Fire setting. |

Other Forms of Antisocial Behavior

- | | | |
|----|-----|-------------------------|
| No | Yes | 15. Impaired driving. |
| No | Yes | 16. Shoplifting. |
| No | Yes | 17. White collar crime. |
| No | Yes | 18. Gang participation. |
| No | Yes | 19. Organized crime. |
| No | Yes | 20. Hate crime. |
| No | Yes | 21. Terrorist activity. |



Section 3: Prison Experience—Institutional Factors

To be completed for inmates only (skip to Section 4 for non-inmates). Circle the appropriate response.

3.1 History of Incarceration

Past Incarceration

- No Yes 1. Last classification maximum.
- No Yes 2. Last classification medium.
- No Yes 3. Last classification minimum.
- No Yes 4. Past federal incarceration.
- No Yes 5. Past state/provincial incarceration.
- No Yes 6. Past classification unknown

Present Incarceration

- No Yes 7. Protective custody.
- No Yes 8. Treatment recommended/ordered. Specify _____
- No Yes 9. Misconduct/behavior report during current incarceration. Number _____
- No Yes 10. Administrative segregation.
- No Yes 11. Security management concerns. Specify _____

3.2 Barriers to Release

- No Yes 1. Community supervision inappropriate due to specific risk/need factors identified in Section 2.
Specify reason _____
- No Yes 2. Insufficient community supports for release (lack of accommodation, treatment services, family support, criminogenic community, etc.). Specify reason _____
- No Yes 3. Notoriety of offence. Specify reason _____

Section 4: Other Client Issues

Complete this section by circling the appropriate response. For items 16 to 20, circle all that apply.

Social, Health, and Mental Health

- No Yes 1. Financial problems.
- No Yes 2. Homeless or transient.
- No Yes 3. Accommodation problems.
- No Yes 4. Immigration issues.
- No Yes 5. Parenting concerns.
- No Yes 6. Health problems (HIV, AIDS, etc.).
- No Yes 7. Physical disability.
- No Yes 8. Learning disability.
- No Yes 9. Fetal Alcohol Spectrum Disorder (FASD).
- No Yes 10. Depressed.
- No Yes 11. Suicide attempts/threat.
- No Yes 12. Low self-esteem.
- No Yes 13. Shy/withdrawn.
- No Yes 14. Diagnosis of serious mental disorder.
- No Yes 15. Other evidence of emotional distress. Specify _____
- No Yes 16. Victim of family violence. Past Current Physical Sexual Emotional Neglect
- No Yes 17. Victim of physical assault. Past Current
- No Yes 18. Victim of sexual assault. Past Current
- No Yes 19. Victim of emotional abuse. Past Current
- No Yes 20. Victim of neglect. Past Current
- No Yes 21. Other. Specify _____



Section 5: Special Responsivity Considerations

Responsivity factors reflect differences in learning styles and/or personal interests and are not to be interpreted as risk, need, or problematic characteristics. Circle the appropriate response to these special responsivity factors.

- No Yes 1. Motivation as a barrier.
- No Yes 2. Engages in denial/minimization.
- No Yes 3. Interpersonally anxious.
- No Yes 4. Woman, gender-specific issues.
- No Yes 5. Cultural issues.
- No Yes 6. Ethnicity issues.
- No Yes 7. Low intelligence.
- No Yes 8. Communication barriers.
- No Yes 9. Mental disorder.
- No Yes 10. Antisocial personality/psychopathy.
- No Yes 11. Other. Specify _____

Section 6: Risk/Need Summary and Override

This section summarizes the offender's scores and assesses the need for client-based or administrative/policy overrides. Consider all information from Sections 1 to 5 in making an override decision.

6.1 Score-Based Risk/Need Level

Total LS/CMI Score _____ (from Section 1)

Score-based LS/CMI Risk/Need Level _____ (see Score-Based Risk/Need Level Guide, below)

Score-Based Risk/Need Level Guide

Risk/Need Level	Very Low	Low	Medium	High	Very High
Total Section 1 Score	0-4	5-10	11-19	20-29	30-43

6.2 Client-Based/Clinical Override

Use the client-based/clinical override? No Yes

List the reasons for *lowering* security/supervision levels or releasing clients. Refer to the strengths in Section 1.

List the reasons for *increasing* security/supervision levels or not releasing clients. Refer to Sections 2 through 4.

6.3 Administrative/Policy Override

Use the administration/policy override? No Yes

If Yes, specify reason. _____

6.4 Final LS/CMI Risk/Need Level

After considering any need for a client-based or administrative/policy override, circle the appropriate risk/need level.

Final Risk/Need Level Very Low Low Medium High Very High

Section 7: Risk/Need Profile

To obtain a risk/needs profile, transfer the subcomponent scores from the Section 1 scoring page, and circle the appropriate values in the table below.

Risk/Need	CH	EE	FM	LR	CO	ADP	PA	AP	Total	R/N1	Override
Very High	8	8-9	4	—	4	7-8	4	4	30+	Very High	Very High
High	6-7	6-7	3	2	3	5-6	3	3	20-29	High	High
Medium	4-5	4-5	2	1	2	3-4	2	2	11-19	Medium	Medium
Low	2-3	2-3	1	—	1	1-2	1	1	5-10	Low	Low
Very Low	0-1	0-1	0	0	0	0	0	0	0-4	Very Low	Very Low

CH = Criminal History

EE = Education/Employment

FM = Family/Marital

LR = Leisure/Recreation

CO = Companions

ADP = Alcohol/Drug Problem

PA = Procriminal Attitude/Orientation

AP = Antisocial Pattern

Total = Total, Section 1

R/N1 = Score-Based Risk/Need Level

Override = Risk/Need Level if Override used

Section 8: Program/Placement Decision

Complete 8.1 for institutional offenders or 8.2 for community offenders. If the offender has not yet been sentenced, complete 8.3. Section 8.4 should be completed for all offenders.

8.1 Institutional Offenders

Recommendation/Decision Minimum Medium Maximum

Release Recommended No Yes

Institutional Placement _____

Comments _____

8.2 Community Offenders

Recommendation/Decision Minimum Medium Maximum

Program Placement _____

Comments _____

8.3 Presentence Report

Comments _____

8.4 Summary of Findings

