



# Pressure-broadening coefficients and line strengths of H<sub>2</sub>O near 1.39 μm: application to the in situ sensing of the middle atmosphere with balloonborne diode lasers

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## Abstract

Since 1998, we have developed two balloonborne diode laser spectrometers, SDLA and micro-SDLA, to yield in situ concentration data of H<sub>2</sub>O in the middle atmosphere by absorption spectroscopy in the 1.39-μm spectral range. In this paper, we revisit the molecular parameters, line intensities and pressure-broadening coefficients, for several lines of the  $\nu_1 + \nu_3$  and  $2\nu_1$  bands, which are useful for the monitoring of atmospheric H<sub>2</sub>O. The new values are thoroughly compared to existing molecular database and previous determinations. Calculations are performed to yield the pressure-effect coefficients: the theoretical model is described and the ab initio predictions are compared to laboratory measurements achieved with a laser diode spectrometer. The improvement in the H<sub>2</sub>O monitoring obtained with the new set of molecular data is evaluated directly from vertical H<sub>2</sub>O concentration profiles.

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**Keywords:** Diode laser; Near-infrared spectrometer; Ab initio calculations; Water vapor; Atmospheric applications

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## 1. Introduction

Since 1998, two balloonborne laser diodes, SDLA and micro-SDLA, have been developed with the support of the French space agency to measure in situ water vapor, methane and carbon dioxide in the lower stratosphere [1]. Both sensors have been involved in several European campaigns to study stratospheric ozone (THESEO in 1999–2000) or to investigate the processes controlling H<sub>2</sub>O in tropical regions (HIBISCUS in 2003–2004). Furthermore, the sensors are currently participating to the validation of environmental satellites like GOMOS-H<sub>2</sub>O (onboard ENVISAT) and ODIN. The H<sub>2</sub>O molecule plays a major role in the radiative and chemical equilibrium of the lower stratosphere, the region of the atmosphere where the ozone layer is found. The observed increase of 1% year in the H<sub>2</sub>O content of the lower stratosphere, with suspected impact on the global ozone recovery, is a strong impetus to develop new technique for the in situ monitoring of H<sub>2</sub>O. Water vapor is difficult to measure in situ because there are large amounts of water vapor in the lower layers of the Earth atmosphere (20,000 ppmv at ground levels in tropical regions) while the stratosphere is comparatively strongly dehydrated (4–5 ppmv). Diode laser spectroscopy is a powerful sensing method to address the monitoring of H<sub>2</sub>O: by combining direct/differential detection technique with an appropriate selection of the H<sub>2</sub>O molecular transitions scanned over by the laser, it is possible to achieve a dynamic range of five orders of magnitude for the concentration measurements and therefore to probe continuously H<sub>2</sub>O in the middle atmosphere [2]. During the ascent/descent of the balloon gondola in the lower layers of the atmosphere, the laser scans weak H<sub>2</sub>O transitions to probe the large amounts of water vapor and direct detection is used to record the spectra, whereas strong transitions are selected in conjunction with differential detection to monitor the dehydrated stratosphere. Purposely, we have had developed by THALES–LCR a dedicated telecommunication-type distributed-feedback InGaAs laser diode emitting from 7165 to 7185 cm<sup>-1</sup>. That particular spectral domain features essentially H<sub>2</sub>O transitions from the  $\nu_1 + \nu_3$  and  $2\nu_1$  bands, with line intensities ranging from 10<sup>-20</sup> to 10<sup>-23</sup> cm<sup>-1</sup> mol<sup>-1</sup> cm<sup>2</sup>. The laser diode is connected by means of an optical fiber to a multipass cell operated open to the atmosphere, which provides an absorption path of ~50 m. The laser beam is absorbed in situ by the ambient H<sub>2</sub>O molecules while it is bouncing back and forth between both mirrors of the cell. In situ absorption spectra are recorded within 1 s at cell output using direct-differential detection technique [1]. Simultaneously, in situ measurement of atmospheric pressure and temperature are taken from dedicated sensor onboard the balloon gondola. The molecular concentration is retrieved from the in situ spectra by applying a non-linear least-squares fit to the full molecular line shape using the corresponding  $P$  and  $T$  data and an appropriate molecular model. A complete description of concentration retrieval principal and achieved inaccuracy that lays between 5% and 10%, are found in Ref. [1]. The molecular parameters (line strengths and pressure-broadening coefficients) used to relate the absorption of laser energy to the molecular densities are an important source of error; for instance, with the assumption of a weak absorption, the molecular absorption is directly proportional to the line strength. In a previous work, we have observed discrepancies with the HITRAN database in terms of positions and intensities, for several lines reached by the THALES laser [3]. In this paper, we investigate experimentally and theoretically essentially the intensities and pressure-broadening coefficients which are used by the balloonborne laser hygrometer SDLA and micro-SDLA. The effect of error in the intensity and broadening parameter as well as the choice of a Voigt or

Table 1  
List of the lines investigated in this work

Line number	Transition ( $J_{KaKc}$ )upper ← ( $J_{KaKc}$ )lower	Band	$\sigma_0$ (cm <sup>-1</sup> ) [5]	$E_0$ (cm <sup>-1</sup> ) [5]	$\gamma_{\text{Self}}$ [4] (cm <sup>-1</sup> atm <sup>-1</sup> )	Altitude range (km)
1	$6_6 0 \leftarrow 6_6 1$	$\nu_1 + \nu_3$	7185.59600	1045.0588	0.2140	5–8
2	$2_1 2 \leftarrow 3_1 3$	$\nu_1 + \nu_3$	7182.94955	142.2785	0.4880	8–14
3	$2_0 2 \leftarrow 3_0 3$	$\nu_1 + \nu_3$	7181.15570	136.7616	0.4630	> 14
4	$3_0 3 \leftarrow 3_2 2$	$\nu_1 + \nu_3$	7175.98675	206.3015	0.4661	< 5

Rautian profile to model line shape at atmospheric pressures will be evaluated from in situ vertical concentration profiles of H<sub>2</sub>O yielded by the balloonborne instruments.

The list of the lines investigated in this work is presented in Table 1. In the 1.39- $\mu\text{m}$  spectral range, a former study has shown many differences between experimental and calculated spectra using the HITRAN database [4]. Indeed our experimental spectra were in better agreement with those calculated from the data of Toth [5]. Although our frequency calibration is only relative due to the lack of standards, discrepancies with HITRAN positions were observed [3,6]. Therefore the Toth positions were used in our study. The lower energy levels given in Table 1 are also from Toth and are found in agreement with those from HITRAN and from a former study [7]. The self-broadening coefficient  $\gamma_{\text{self}}$  given in Table 1 were not determined in this study and the HITRAN value was used. The lines are indicated with the corresponding altitude range where they are usually used for atmospheric retrievals by the SDLA spectrometer.

## 2. Experimental measurements

### 2.1. Experimental details

The H<sub>2</sub>O spectra are recorded at high resolution in the laboratory with a tunable diode laser (TDL) spectrometer. Our experimental arrangement is schematically shown in Fig. 1.

The laser source is a fiber-coupled distributed feedback (DFB) InGaAs diode laser that has been developed for the SDLA experiment by THALES—France. It exhibits high performance: the average output power is 10 mW, the laser linewidth < 10 MHz and there are no mode-hops in the tunability range. The continuous tuning range (at constant temperature) is > 3 cm<sup>-1</sup>. This point is of particular interest for our experiments where the maximum pressure may be 1330 mbar and the collisional line broadening is important. The diode includes a Faraday optical isolator to prevent optical feedback and emits at 7180 cm<sup>-1</sup> at room temperature with a maximum power of 12 mW while the temperature and current tuning rates are, respectively, 0.09 nm °C<sup>-1</sup> and 0.0078 nm mA<sup>-1</sup>. The laser wavelength is temperature stabilized by means of a Peltier element and is driven by a low noise current supply. A low-frequency ramp at 100 Hz is used to scan the DFB diode over the selected absorption lines by modulation of the driving current. The pigtailed laser diode mounted in an emission module is junctioned to a 50/50 fused coupler. The main beam (A) is collimated and passed through an absorption White type cell. The remaining part of the beam is junctioned to a second 50/50 fused coupler. One beam is collimated and coupled with a confocal

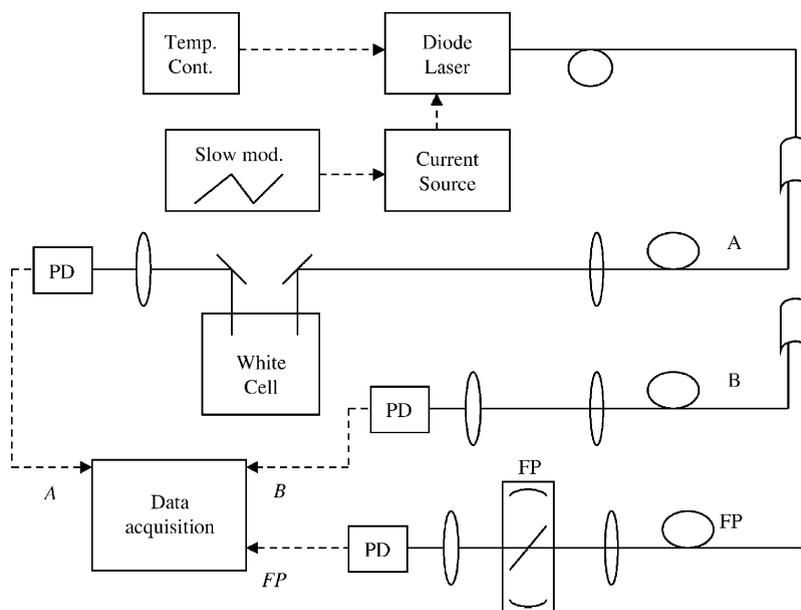


Fig. 1. Scheme of the absorption spectrometer. Temp. Cont. stands for temperature controller, Slow mod. stands for slow modulation, PD stands for photodiode and FP stands for Fabry–Pérot interferometer.

Fabry Pérot (FP) interferometer used for frequency calibration (free spectral range  $0.0095\text{ cm}^{-1}$ ). The other beam is used as the reference (B). The whole set-up takes place in a closed box, filled with dry nitrogen at atmospheric pressure in order to minimize the absorption by ambient water vapor. Furthermore, the use of optical fibers to conduct light and the use of fused couplers instead of beam splitters is convenient to prevent further corruption by ambient  $\text{H}_2\text{O}$  over the optical path followed by the laser beam. The three signals A, B, and FP are sent to a digital oscilloscope and to a personal computer for data acquisition (10 ms per spectrum, 11 bit resolution). We use two different absorption cells for this study: a small (10 cm long) cylindrical Pyrex cell and a multiple path White type cell (A) with a path length adjustable between 1 and 10 m [8]. For broadening measurements the White cell is filled with pure water at low pressure and completed with high purity (99.9995 %) gases nitrogen and oxygen from Air Liquide—France. The pressure is measured with an uncertainty of 0.5% using two MKS baratron manometers with 10 and 1000 Torr full scale. All measurements were done at room temperature ( $296 \pm 1\text{ K}$ ). We record numerous spectra for each pressure varying from 6 to 1330 mbar for the four lines under study.

## 2.2. Data inversion

To retrieve the coefficients of the line we usually fit a Voigt profile to the molecular transmission. The molecular transmission  $T(\sigma)$  is obtained from the three recorded signals A, B and FP in two steps. First the FP signal is used to retrieve the frequency variation law by a  $5^\circ$  polynomial interpolation on the interferences fringes. In the second step the method used to retrieve the molecular transmission  $T(\sigma)$  is from the direct absorption signals A or B using

$A = A_0 T(\sigma)$ .  $A_0$  is what would be the laser flux in the absence of absorber in the cell.  $A_0$  is obtained from  $A$  by a 5° polynomial interpolation over full transmission region. The line intensity  $S(T)$  is related to the molecular transmission through the Beer–Lambert law:

$$T(\sigma) = I_T(\sigma)/I_0(\sigma) = \exp[-k(s\sigma, T, p)nl],$$

where  $n$  is the density of absorbing molecules on the optical path of length  $l$ , and the absorption coefficient  $k(\sigma, T, p)$  at temperature  $T$  and for a gas pressure  $p$  is modeled using the Voigt profile

$$k_V(s\sigma, T, p) = S(T)A \frac{y}{\pi} \int_{-\infty}^{+\infty} \frac{\exp(-t^2)}{y^2 + (x - t)^2} dt$$

with

$$A = \frac{\sqrt{\ln 2}}{\gamma_{\text{Dop}} \sqrt{\pi}}, y = \sqrt{\ln 2} \frac{\gamma_{\text{Col}}}{\gamma_{\text{Dop}}}, x = \sqrt{\ln 2} \frac{\sigma - \sigma_0}{\gamma_{\text{Dop}}},$$

where  $S(T)$  is the line intensity at temperature  $T$ ,  $\sigma_0$  is the line center wavenumber at pressure  $p$ ,  $\gamma_{\text{Dop}}$  is the Doppler halfwidth and  $\gamma_{\text{Col}}$  is the collisional halfwidth:

$$\gamma_{\text{Col}} = \gamma_{\text{Self}} p c + \gamma_{\text{Gas}} p (1 - c),$$

where  $\gamma_{\text{Self}}$  is the self-broadening coefficient obtained from [4],  $c$  is the concentration of  $\text{H}_2\text{O}$  and  $\gamma_{\text{Gas}}$  is the broadening coefficient of the studied perturbing gas (nitrogen or oxygen). The Voigt profile cannot be expressed in analytical form but may be expressed as the real part of the complex probability function  $W(x, y)$  defined by

$$W(x, y) = \frac{i}{\pi} \int_{-\infty}^{+\infty} \frac{\exp(-t^2)}{x + iy - t} dt$$

which can be evaluated using the Humlicek algorithm [9]. Thus the Voigt profile is defined as

$$k_V(s\sigma, T, p) = S(T)A \text{Re}[W(x, y)].$$

The model developed by Rautian and Sobel'man [10] that take into account Dicke narrowing leads to the expression:

$$k_R(s\sigma, T, p) = S(T)A \text{Re} \left[ \frac{W(x, y + z)}{1 - \sqrt{\pi} z W(x, y + z)} \right],$$

where  $a$ ,  $x$  and  $y$  have their previous meaning and  $z = \sqrt{\ln 2}(\beta/\gamma_{\text{Dop}})$  where  $\beta$  is related to the collisional narrowing coefficient  $\beta_0$  by  $\beta = \beta_0 p$ . We check that the apparatus function of the spectrometer was negligible by recording low pressure ( $< 0.05$  mbar) spectra. In these conditions the lineshape could be fitted by a simple Gaussian curve having a Doppler width in good agreement with the theoretical value.

Fig. 2 gives an example of a spectrum recorded for the study of the  $6_6 0 \leftarrow 6_6 1$  of the  $\nu_1 + \nu_3$  band of  $\text{H}_2\text{O}$  at  $7185.5960 \text{ cm}^{-1}$  diluted in  $\text{N}_2$ . The experimental spectrum, the fitted calculated spectrum described by a Rautian profile and the observed calculated residuals obtained using the Voigt profile and the Rautian model are presented. The figure demonstrates a small improvement obtained when Dicke narrowing is taken into account with Rautian model. The Rautian profile gives systematically slightly higher results of collisional widths at low pressure however the slopes of the straight lines obtained by plotting  $\gamma_{\text{Gas}}$  vs.  $p$  are comparable. No attempt was made to

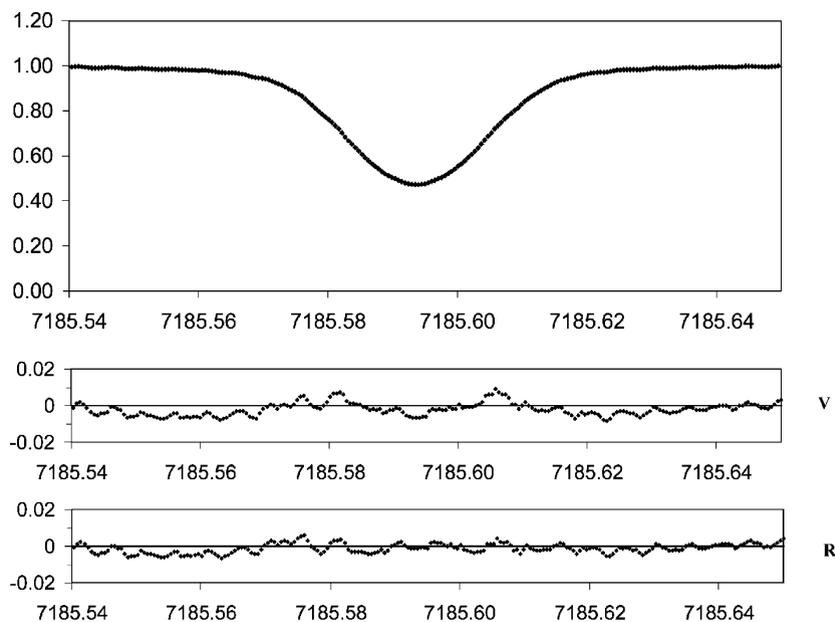


Fig. 2. Example of spectrum for the  $6_6 0 \leftarrow 6_6 1$  of the  $\nu_1 + \nu_3$  band of  $\text{H}_2\text{O}$  at  $7185.5960 \text{ cm}^{-1}$  diluted in  $\text{N}_2$  (pressure is 44 mbar and concentration is 2%). Experimental profile, fitted Rautian profile and residuals of the observed spectrum and calculated ones described by Voigt profile ( $V$ ) and Rautian model ( $R$ ) are presented here.

Table 2

Absolute lines intensities for  $\text{H}_2\text{O}$  at 296 K and comparison with previous determinations

Line number	Intensities $S$ ( $10^{-22} \text{ cm}^{-1} (\text{molecule cm}^{-2})$ ) and differences (%)								
	$S$ (this work)	$S$ [5]	Diff. (%)	$S$ [4]	Diff. (%)	$S$ [6]	Diff. (%)	$S$ [12]	Diff. (%)
1 <sup>a</sup>	7.85	7.58	3.4	7.11	9.4	7.85	0.0	7.65	2.5
2	36.9	37.2	-0.8	53.0	-43.6			36.8	-0.3
3	142	143	-0.7	180	-26.8			147	-3.5
4	2.59	2.44	5.8	2.27	12.3			2.61	-0.8

<sup>a</sup>This line is in fact an unresolved doublet. The strength given here corresponds to the sum of the strengths of the two lines. The rotational quantum assignment given in Table 1 corresponds to the strongest transition.

obtain the value of  $\beta$  since two recent papers give this value for  $\text{H}_2\text{O}$  in this region:  $0.025 \text{ cm}^{-1} \text{ atm}^{-1}$  for air [6,11].

### 2.3. Experimental results

The intensities of the four studied lines are reported in Table 2 with the comparison with other measurements and calculations in this region. The linestrengths were measured at various temperatures as reported in [3]. The complete set of  $\text{H}_2\text{O}$  transitions lying within the tuning range of the THALES laser diode is also reported in [3]. The difference between our measurements and

the previous determinations are given in %. For absolute intensities our uncertainty is about 2%. For each line this uncertainty corresponds to one standard deviation obtained by averaging the different measurements. Our measurements are in good agreement with those of Toth [5] for the strongest lines obtained from Fourier Transform spectrometer. For lower intensities the difference remains lower than 6%. For all lines the difference with HITRAN database [4] is quite large and varies from  $-44\%$  to  $+13\%$ . The agreement is excellent with the value from Lepère [6] obtained by diode laser spectroscopy. For all lines the difference with the calculated Partridge data [12] is quite small demonstrating that in this region the ab initio calculations are in very good agreement with the experimental results. All the results concerning the intensities will influence strongly the  $\text{H}_2\text{O}$  retrieval in the atmosphere.

Fig. 3 presents an example of the pressure dependence of the collisional halfwidth by  $\text{N}_2$  for the lines 1 and 4. The slope of the best fit lines represent the collisional halfwidth coefficient. For some lines the maximal pressure for the calculation of the slope was only 600 mbar due to strong adjacent lines.

Table 3 shows the experimental values for the broadening coefficients of  $\text{H}_2\text{O}$  by  $\text{N}_2$  along with the comparison with previous determinations. For all lines our uncertainty is about 2–3%. These uncertainties are the sum of the statistical errors derived from the linear fit. For lines 1 and 3 our value corresponds to the mean value of the other experimental results. For lines 2 and 4, our value is slightly higher.

Table 4 shows the experimental values for the broadening coefficients of  $\text{H}_2\text{O}$  by  $\text{O}_2$  along with the comparison with previous determinations. For all lines our uncertainty is about 2%. For lines 1 and 2 our value corresponds to the mean value of the other experimental results. For line 3 our value is slightly lower and slightly higher for line 4. All these experimental results are very consistent with each other. One should remark that the ratio  $\gamma(\text{N}_2)/\gamma(\text{O}_2)$  is approximately equal to 1.7.

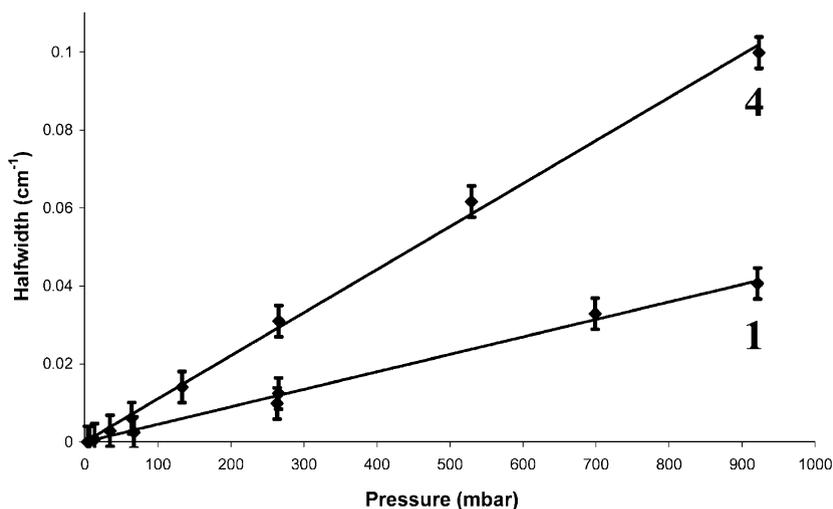


Fig. 3. Pressure dependence of the collisional halfwidth for the line 1 ( $6_6 0 \leftarrow 6_6 1$  at  $7185.5960 \text{ cm}^{-1}$ ) and for the line 4 ( $3_0 3 \leftarrow 3_2 2$  at  $7175.98675 \text{ cm}^{-1}$ ) of the  $\nu_1 + \nu_3$  band of  $\text{H}_2\text{O}$  perturbed by  $\text{N}_2$ .

Table 3  
Broadening coefficients of H<sub>2</sub>O by N<sub>2</sub> and comparison with previous determinations

Line number	Broadening coefficients $\gamma$ (cm <sup>-1</sup> atm <sup>-1</sup> ) by N <sub>2</sub> and differences (%)								
	$\gamma$ (this work)	$\gamma$ [13]	Diff. (%)	$\gamma$ [11]	Diff. (%)	$\gamma$ [6]	Diff. (%)	$\gamma$ [14]	Diff. (%)
1	0.0456	0.0429	5.9	0.0484	-6.1	0.0469	-2.9	0.0470	-3.1
2	0.1076	0.1056	1.9	0.1000	7.1				
3	0.1138	0.1076	5.4	0.1183	-4.0				
4	0.1130	0.1004	11.1						

Table 4  
Broadening coefficients of H<sub>2</sub>O by O<sub>2</sub> and comparison with previous determinations

Line number	Broadening coefficients $\gamma$ (cm <sup>-1</sup> atm <sup>-1</sup> ) by O <sub>2</sub> and differences (%)						
	$\gamma$ (this work)	$\gamma$ [13]	Diff. (%)	$\gamma$ [11]	Diff. (%)	$\gamma$ [6]	Diff. (%)
1	0.0271	0.0323	-19.2	0.0284	-4.6	0.0258	4.8
2	0.0620	0.0647	-4.4	0.0580	6.5		
3	0.0644	0.0677	-5.1	0.0694	-7.8		
4	0.0664	0.0588	11.4				

Table 5  
Broadening coefficients of H<sub>2</sub>O by air

Line number	Broadening coefficients $\gamma$ (cm <sup>-1</sup> atm <sup>-1</sup> ) by air and differences (%)								
	$\gamma$ (this work)	$\gamma$ [13]	Diff. (%)	$\gamma$ [11]	Diff. (%)	$\gamma$ [6]	Diff. (%)	$\gamma$ [4]	Diff. (%)
1	0.0417	0.0407	2.4	0.0442	-6.0	0.0425	-1.9	0.0505	-21.1
2	0.0980	0.0970	1.0	0.0912	6.9			0.0980	0.0
3	0.1034	0.0992	4.1	0.1080	-4.4			0.1031	0.3
4	0.1032	0.0917	11.1					0.0919	10.9

Our data and the previous data are calculated from  $\gamma(\text{N}_2) \times 0.79 + \gamma(\text{O}_2) \times 0.21$  where  $\gamma(\text{N}_2)$  and  $\gamma(\text{O}_2)$  are given in Tables 3 and 4. These calculations are further compared with the HITRAN database values.

To reach the scientific objectives of the SDLA spectrometer, an accuracy better than 5–10% is required in the H<sub>2</sub>O concentration retrieval. Hence, a precise knowledge of the H<sub>2</sub>O molecular parameters (linestrengths and air broadening coefficient) is of high importance. Table 5 shows the experimental values for the broadening coefficients of H<sub>2</sub>O by air along with the comparison with previous determinations. Our data and the previous data are calculated from  $\gamma(\text{N}_2) \times 0.79 + \gamma(\text{O}_2) \times 0.21$  where  $\gamma(\text{N}_2)$  and  $\gamma(\text{O}_2)$  are given in Tables 3 and 4. For lines 1 and 3 our value corresponds to the mean value of the other experimental results. For lines 2 and 4, our value is slightly higher. This trend is the same that the trend observed for  $\gamma(\text{N}_2)$  because the influence of  $\gamma(\text{O}_2)$  is small in this calculation. The values for lines 2 and 3 are in very good

agreement with the HITRAN database values but lines 1 and 4 show big differences up to 20% for line 1. This difference will influence the retrieval of the H<sub>2</sub>O concentration in the atmosphere. Our experimental data will be compared in the next part with calculations based on the Robert–Bonamy formalism.

### 3. Theoretical calculations

The calculations are based on the complex Robert–Bonamy (CRB) theory [15]. A full description of the formalism can be found in Refs. [16–18], here only the salient features are presented. The method is complex valued so that the half-width and line shift are obtained from a single calculation. The dynamics are developed to second order in time giving curved trajectories based on the isotropic part of the intermolecular potential [15]. This has important consequences in the description of close intermolecular collisions (small impact parameters).

Within the CRB formalism the halfwidth,  $\gamma$ , and line shift,  $\delta$ , of a ro-vibrational transition  $f \leftarrow i$  are given by minus the imaginary part and the real part, respectively, of the diagonal elements of the complex relaxation matrix. In computational form the halfwidth and line shift are usually expressed in terms of the scattering matrix [19,20]:

$$(\gamma - i\delta)_{f \leftarrow i} = \frac{n_2}{2\pi c} \left\langle v \times \left[ 1 - e^{-R S_2(f,i,J_2,v,b)} e^{-i[S_1(f,i,J_2,v,b) + S_2(f,i,J_2,v,b)]} \right] \right\rangle_{v,b,J_2}, \quad (1)$$

where  $n_2$  is the number density of perturbers and  $\langle \dots \rangle_{v,b,J_2}$  represents a average over all trajectories (impact parameter  $b$  and initial relative velocity  $v$ ) and initial rotational state  $J_2$  of the collision partner.  $S_1$  (real) and  $S_2 = {}^R S_2 + i {}^I S_2$  are the first- and second-order terms in the expansion of the scattering matrix; they depend on the rovibrational states involved and associated collision induced jumps from these levels, on the intermolecular potential and characteristics of the collision dynamics The exact forms of the  $S_2$  and  $S_1$  terms are given in Refs. [16–18].

The  $S_1$  term, which makes a purely imaginary contribution, is isotropic in the absence of any vibrational dependence of the anisotropic intermolecular forces. It has the appellation of the vibrational dephasing term and arises only for transitions where there is a change in the vibrational state. The potential leading to  $S_1$  is written in terms of the isotropic induction and London dispersion interactions. The  $S_2 = {}^R S_2 + i {}^I S_2$  term is complex valued and results from the anisotropic interactions. The potential employed in the calculations consists of the leading electrostatic components for the H<sub>2</sub>O–X pair (the dipole and quadrupole moments of H<sub>2</sub>O with the quadrupole moment of N<sub>2</sub> or O<sub>2</sub>) and atom–atom interactions [16,21]. The latter are defined as the sum of pair-wise Lennard–Jones 6–12 interactions [22] between atoms of the radiating and the perturbing molecules. The heteronuclear Lennard–Jones parameters for the atomic pairs can be constructed from homonuclear atom–atom parameters by the “combination rules” of Hirschfelder et al. [23] or Good and Hope [24]. The atom–atom distance,  $r_{ij}$  is expressed in terms of the center of mass separation,  $R$ , via the expansion in  $1/R$  of Sack [25]. Here the formulation of Neshyba and Gamache [21] expanded to eighth order is used. Finally, recall that the isotropic component of the atom–atom potential is used to define the trajectory of the collisions within the semiclassical model of Robert and Bonamy [15].

For water vapor, the reduced matrix elements are evaluated using wavefunctions determined by diagonalizing the Watson Hamiltonian [26] in a symmetric top basis for the vibrational states involved in the transition. For the ground state the Watson constants of Matsushima et al. [27] were used. For the  $\nu_1 + \nu_3$  band Watson constants were not available so the Watson constants derived by Flaud and Camy-Peyret [28] for the  $\nu_3$  band were used so that the wavefunctions would have proper symmetry properties. The rotational constant for  $N_2$  is  $2.0069 \text{ cm}^{-1}$  that for  $O_2$  is  $1.4377 \text{ cm}^{-1}$  [29].

Many of the molecular parameters for the  $H_2O-N_2$  or  $H_2O-O_2$  systems are well known and the present calculations use the best available values from the literature. The dipole and quadrupole moments of water vapor are taken from Refs. [30,31], respectively. The quadrupole moment of nitrogen is from Mulder et al. [32] that for oxygen is from Stogryn and Stogryn [33]. The numerical values are listed in Table 6. The ionization potential of water is taken to be a vibrationally independent value of  $12.6 \text{ eV}$  [34]. For nitrogen the polarizability,  $17.4 \times 10^{-25} \text{ cm}^3$ , is taken from Ref. [35] and the ionization potential,  $15.576 \text{ eV}$ , from Ref. [36]. For oxygen the polarizability,  $15.80 \times 10^{-25} \text{ cm}^3$ , is taken from Ref. [35] and the ionization potential,  $12.063(\pm 0.001) \text{ eV}$ , from Ref. [34]. In the parabolic approximation, the isotropic part of the interaction potential is taken into account in determining the distance, effective velocity, and force at closest approach [15]. To simplify the trajectory calculations, the isotropic part of the atom-atom expansion is fit to an isotropic Lennard-Jones 6–12.

The atom-atom parameters for nitrogen-broadening of water vapor have been adjusted as described in Ref. [37]. There are not enough data for oxygen-broadening of water vapor to make similar adjustments of the potential. The final adjustment of the potential parameters was made by comparing calculated line shifts to measurement. For transitions involving changes in a number of quanta in  $\nu_1$  and  $\nu_3$  the calculations made using the coefficients in Eq. (4) give sufficiently good agreement with the measurements (see results there after and Ref [17]). Comparing calculations for the  $\nu_2$  band with the measurements of Toth [38] indicated the ab initio value of 0.03 in Eq. (4) should be changed to 0.07. Note, a non-linear least-squares optimization of the potential parameters is currently being done.

In the present calculations, the line shape parameters have been calculated without performing the averaging over the Boltzmann distribution of velocities. Only the mean thermal value  $\bar{v}$  was used as has been done in most previous calculations, i.e. the mrtv approximation. This reason is that for halfwidths of  $H_2O$  at  $\sim 300 \text{ K}$  the mrtv calculation and the velocity averaged calculation give results within a few percent of one another [39].

Table 6  
Values of electrostatic moments for the water vapor,  $N_2$ ,  $O_2$

Molecule	Multipole moment	Reference
$H_2O$	$\gamma = 1.8549 \times 10^{-18} \text{ esu}$	[29]
	$Q_{xx} = -0.13 \times 10^{-26} \text{ esu}$	[31]
	$Q_{yy} = -2.5 \times 10^{-26} \text{ esu}$	[31]
	$Q_{zz} = 2.63 \times 10^{-26} \text{ esu}$	[31]
$N_2$	$Q_{zz} = -1.4 \times 10^{-26} \text{ esu}$	[32]
$O_2$	$Q_{zz} = -0.4 \times 10^{-26} \text{ esu}$	[33]

Table 7

Broadening coefficients of H<sub>2</sub>O by N<sub>2</sub>, O<sub>2</sub> and air: experimental measurements and comparison with calculations.  $\gamma(\text{air})$  is calculated from  $\gamma(\text{N}_2) \times 0.79 + \gamma(\text{O}_2) \times 0.21$

Line number	Experimental and calculated broadening coefficients $\gamma$ (cm <sup>-1</sup> atm <sup>-1</sup> ) and differences (%)								
	$\gamma$ (N <sub>2</sub> ) exp.	$\gamma$ (N <sub>2</sub> ) calc.	Diff. (%)	$\gamma$ (O <sub>2</sub> ) exp.	$\gamma$ (O <sub>2</sub> ) calc.	Diff. (%)	$\gamma$ (air) exp.	$\gamma$ (air) calc.	Diff. (%)
1	0.0456	0.0426	6.6	0.0271	0.0231	14.8	0.0417	0.0385	7.7
2	0.1076	0.1102	-2.4	0.0620	0.0551	11.1	0.0980	0.0986	-0.6
3	0.1138	0.1138	0.0	0.0644	0.0576	10.6	0.1034	0.1020	1.4
4	0.1130	0.1103	2.4	0.0664	0.0561	15.5	0.1032	0.0989	4.2

The calculations were made at 296 K for the H<sub>2</sub>O–N<sub>2</sub> and H<sub>2</sub>O–O<sub>2</sub> systems for four rotational transitions the  $\nu_1 + \nu_3$  band. A clarification is necessary here. Since the line shape parameters, especially the line shift, depend on the vibrational state it is necessary to evaluate the  $S_1$  term for the correct vibrational states. However as described above wavefunctions for the upper state used the Watson constants of  $\nu_3$  band. This affects the  $S_2$  term but is generally small. The experimental and calculated broadening coefficients of the 4 lines of H<sub>2</sub>O by N<sub>2</sub>, O<sub>2</sub> and air are presented in Table 7. There is a very good agreement between experimental results (uncertainty approximately 2–3%) and calculations (uncertainty approximately 5 %) for  $\gamma(\text{N}_2)$ . This work demonstrates the very good quality of the calculations based on the RB formalism. For H<sub>2</sub>O–O<sub>2</sub> mixtures the results are not as good but this is due to not being able to adjust the potential for the H<sub>2</sub>O–O<sub>2</sub> case. Finally the trend for the H<sub>2</sub>O–Air mixture is the same as the trend for the H<sub>2</sub>O–N<sub>2</sub> mixture because of the weaker influence of  $\gamma(\text{O}_2)$  in the calculation  $\gamma(\text{N}_2) \times 0.79 + \gamma(\text{O}_2) \times 0.21$ . We obtain very good agreement between experimental values and calculated ones. All these parameters are used in the retrieval of the in situ measurements of H<sub>2</sub>O in the atmosphere.

#### 4. Atmospheric measurements

Fig. 4 shows an in situ vertical concentration profile yielded by the SDLA balloonborne spectrometer in the upper troposphere and lower stratosphere in 2001 for the validation of the satellite ODIN. The instrument was flown from the CNES balloon launching facility in Aire sur Adour, in southern France (43°N). More details upon the balloon operations are found in [40]. The profile is made of a few thousands of in situ measurements obtained at 1s-intervalles during ascent/descent of the gondola in the middle atmosphere. Each concentration value is obtained by applying to the in situ spectrum, a non-linear least-squares fit to the full molecular line shape in conjunction with the atmospheric  $P$  and  $T$  measurements. The laser sweeps different H<sub>2</sub>O transitions during the flight to compensate for the decrease with altitude in the H<sub>2</sub>O content as reported in Fig. 4.

In the dehydrated lower stratosphere, we have selected the strong  $\nu_1 + \nu_3$ ,  $(2_0_2) \leftarrow (3_0_3)$  transition at 7181.15 cm<sup>-1</sup> with a line strength of  $\sim 10^{-20}$  cm<sup>-1</sup> mol<sup>-1</sup> cm<sup>2</sup>. At lower altitude, the  $\nu_1 + \nu_3$ ,  $(2_1_2) \leftarrow (3_1_3)$  and  $(6_6_0) \leftarrow (6_6_1)$  transitions at 7182.9 and 7185.5 cm<sup>-1</sup> were chosen that feature weaker line strengths of  $\sim 10^{-21}$  and  $\sim 10^{-22}$  cm<sup>-1</sup> molecule<sup>-1</sup> cm<sup>2</sup>. The selection of the

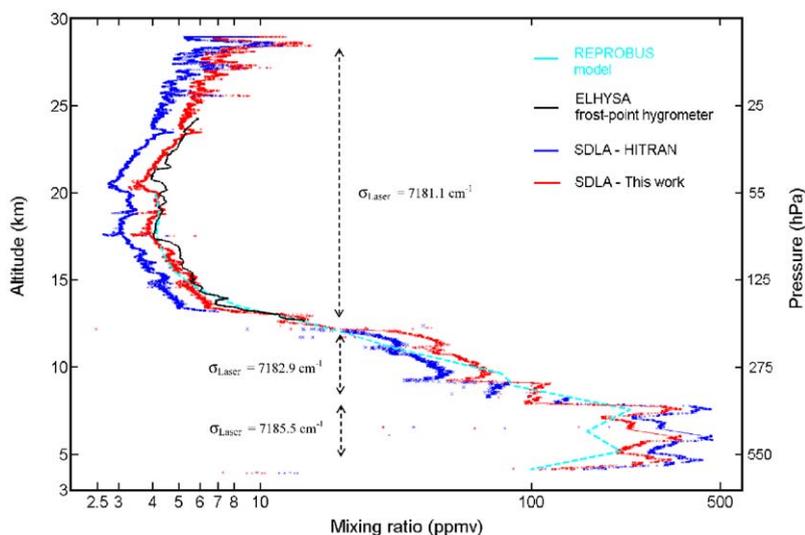


Fig. 4. A vertical in situ concentration profile of  $\text{H}_2\text{O}$  yielded by the balloonborne laser diode spectrometer SDLA in southern France on 16 October 2001. The  $\text{H}_2\text{O}$  data yielded 1 week later by the ELHYSA balloonborne frost-point hygrometer as well as the REPROBUS model predictions for the day of the flight are added on the figure. The calculation of the SDLA data was made using the HITRAN molecular parameters and the revisited molecular data set reported in this paper.

$\text{H}_2\text{O}$  line swept over by the laser was made by observing in real-time the in situ spectra during the flight and by changing the laser operating temperature accordingly [40]. The altitude/pressure range is displayed in Fig. 4, for each selected  $\text{H}_2\text{O}$  transition. The in situ  $\text{H}_2\text{O}$  measurements yielded in the lower stratosphere by the ELHYSA balloonborne frost-point hygrometer at the same period of time as well as the predicted  $\text{H}_2\text{O}$  concentration values from the REPROBUS model are further displayed in Fig. 4. The ELHYSA frost-point hygrometer was developed 20 years ago at the Laboratoire de Météorologie Dynamique in France: for 20 years, it has been operated from aircrafts or balloons for  $\text{H}_2\text{O}$  science purposes or for satellites validation [41,42]. The ELHYSA sensor is a reference with regards to the in situ monitoring of stratospheric  $\text{H}_2\text{O}$  and its data are reported in Fig. 4 to better stress up the dramatic improvement achieved by processing the SDLA measurements with the revisited molecular parameters. REPROBUS is a three-dimensional chemical-transport model driven by the 6-h ECMWF analysis [43]. The model profile plotted in Fig. 4 is obtained after about 1 year of simulation, and was extracted in spatial and temporal coincidence with the balloon flight. Note that below the tropopause the  $\text{H}_2\text{O}$  mixing ratio in REPROBUS is forced by the ECMWF analysis of specific humidity. Using the revisited molecular parameters, a much better agreement is further observed in the tropopause between the in situ  $\text{H}_2\text{O}$  data yielded by the SDLA and the REPROBUS predictions.

In Fig. 4, the calculations were made with both the HITRAN database and the revisited molecular data. In the stratosphere, we observed a much better agreement with ELHYSA measurements and REPROBUS predictions by using the new molecular parameters. The discrepancy of  $\sim 25\%$  between both concentration profiles is directly related to the difference of

25% between HITRAN and this work line strengths for the  $\nu_1 + \nu_3$ ,  $(2_0_2) \leftarrow (3_0_3)$  transition (Table 2).

Fig. 5 in an expanded view on the tropospheric measurements in Fig. 4. The tropospheric water vapor data yielded by a balloonborne  $P$ ,  $T$ -humidity meteorological sonde launched at the same time period is also displayed to show the agreement between the large spatial structures observed in the various profiles; nevertheless, the sonde measurements can not be used for more accurate comparisons because of the variability in the  $H_2O$  content in this region of the atmosphere. The difference of  $-40\%$  and  $+10\%$  between HITRAN and this work in the determination of the line strength for the  $\nu_1 + \nu_3$ ,  $(2_1_2) \leftarrow (3_1_3)$  and  $(6_6_0) \leftarrow (6_6_1)$  transitions still propagate in the vertical profiles. Around 8 km, the laser emission wavelength was changed from  $7182.9$  ( $(2_1_2) \leftarrow (3_1_3)$ ) to  $7185.5$   $\text{cm}^{-1}$  ( $(6_6_0) \leftarrow (6_6_1)$ ): in the resulting vertical profile, we observe a much better overlapping between both altitude region using the new molecular parameters. It is a good insight for the overall coherency of the molecular data set.

Furthermore, for the  $(6_6_0) \leftarrow (6_6_1)$  transition at  $7185.5$   $\text{cm}^{-1}$ , in addition to the 10% difference between both line strengths, a large discrepancy of 20% in the pressure-broadening coefficients was assessed between our measurement and the HITRAN database. To find out how the error propagates, a vertical concentration profile was calculated by combining our intensities and the pressure-effect coefficients extracted from the HITRAN database. The achieved profile is displayed in Fig. 5.

Figs. 6(a) and (b) display an elementary atmospheric  $H_2O$  spectrum recorded at 6.6 km by scanning of the  $(6_6_0) \leftarrow (6_6_1)$  transition. In Fig. 6(a), the spectrum is fitted using our molecular data. Furthermore, in the corresponding atmospheric pressure range, one could suspect a

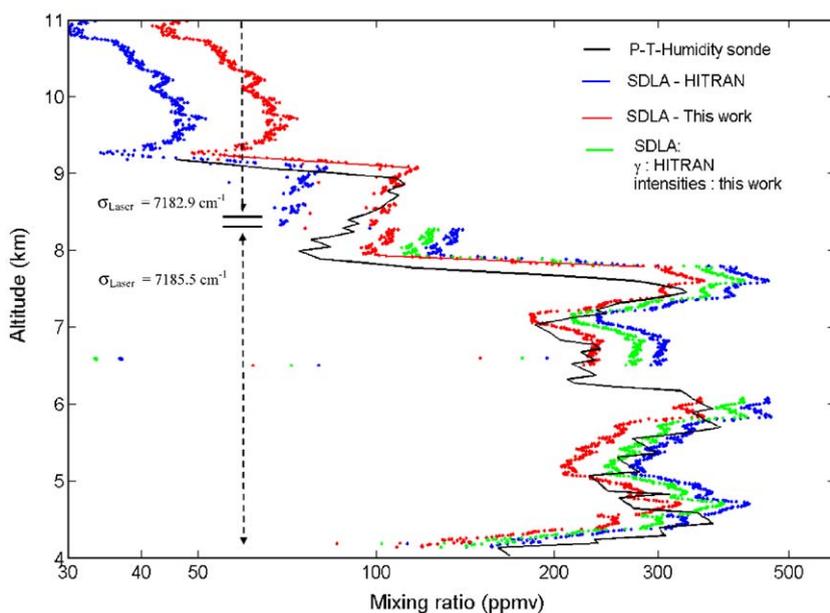


Fig. 5. An expanded view on the  $H_2O$  data in Fig. 4 obtained in the lower layers of the atmosphere. The humidity measurements recorded by a balloonborne meteorological sonde launched 1 h after the SDLA are also displayed. See text for more details.

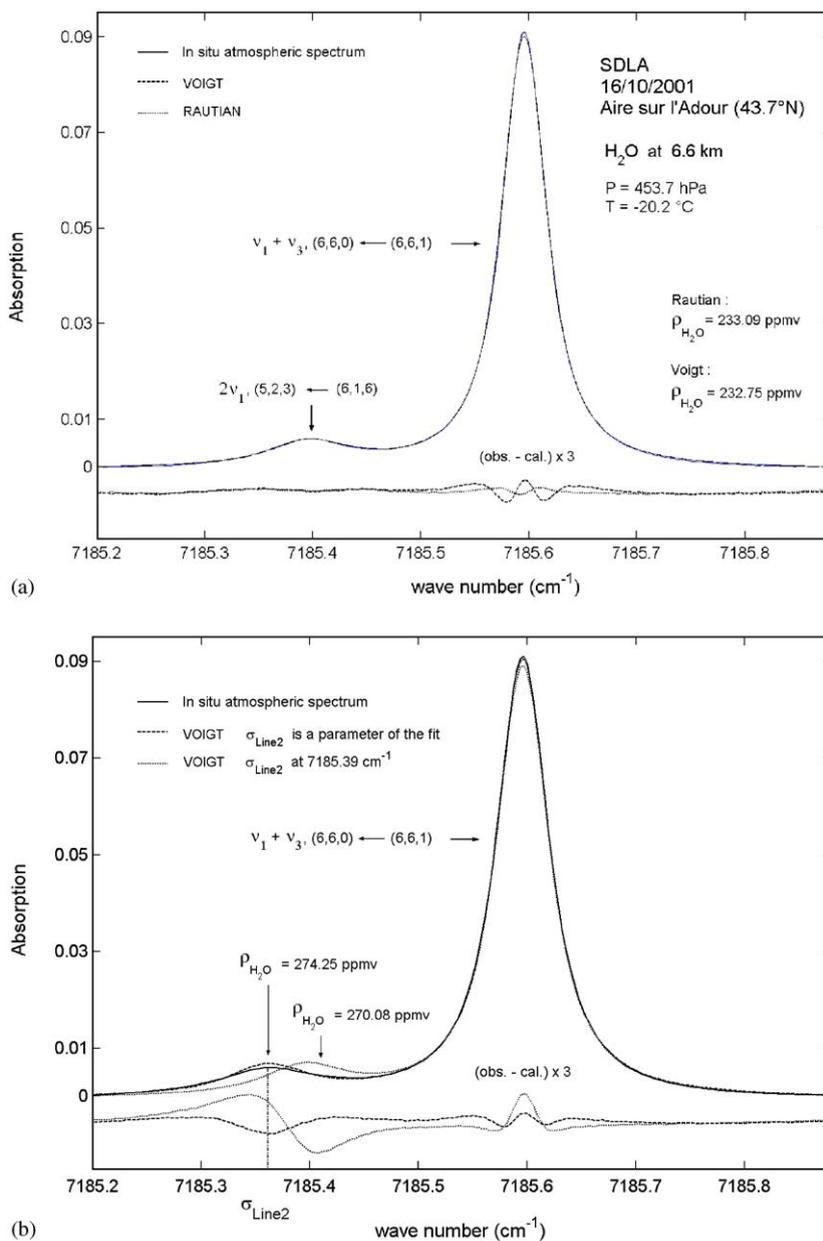


Fig. 6. (a) An in situ absorption spectrum of tropospheric  $\text{H}_2\text{O}$  recorded by the SDLA during the flight in Aire sur Adour. The measurement time is of 320 ms. To retrieve the mixing ratio, a non-linear least-squares fit is applied to the full molecular line shape in conjunction with the in situ atmospheric  $P$  and  $T$  measurements and by use of the set of molecular parameters reported in this work. A Voigt and a Rautian profile are used to model the line shape. (b) The same spectrum fitted using with the line strengths reported in this paper, but by use of the pressure-broadening coefficients extracted from the HITRAN database. To compensate for discrepancies in the line positions observed in the HITRAN database, the position of the weak  $2\nu_1, (5_2)_3 \leftarrow (6_1)_6$   $\text{H}_2\text{O}$  transition is to be released in the fit procedure.

contribution of Dicke narrowing effect to the line width; therefore, the spectrum was fitted successively using a Voigt and a Rautian profile. When fitting with a Rautian profile, the value of the pressure-broadening coefficient was slightly increased to  $0.0427 \text{ cm}^{-1} \text{ atm}^{-1}$  in agreement with the theory and the diffusion coefficient is taken to  $0.025 \text{ cm}^{-1} \text{ atm}^{-1}$  [6,11]. The fit is better using a Rautian model in terms of observed minus calculated values, as shown in Fig. 6(a). Nevertheless, in term of retrieved concentration, the difference (less than 0.001%) is not significant, given the overall measurement error. Basically, the concentration is given by the surface under the line shape which is only slightly modified here while fitting with a Rautian instead of a Voigt profile. The calculation with a Rautian model was kept further for the complete altitude range and the conclusion is the same, i.e. in terms of concentration, its effect is negligible.

In Fig. 6(b), we show the result of a fit combining our intensities and the pressure-broadening coefficients extracted from HITRAN. Using the HITRAN database, a severe line position discrepancy is observed while fitting the  $2v_1, (5_2 \ 3) \leftarrow (6_1 \ 6)$  transition that neighbors the  $v_1 + v_3, (2_1 \ 2) \leftarrow (3_1 \ 3)$  line. In a second turn, the fit was processed also by releasing the position of that transition to improve the quality of the fit. Nevertheless, the agreement is better using our molecular data regarding this weak transition (compare Fig. 6(a) and (b) near  $7185.35 \text{ cm}^{-1}$ ). The resulting concentration values in cases (a) and (b) differ by approximately +15%: hence, the error on the broadening coefficients propagate further in the overall error adding a  $\sim 15\%$  contribution to the  $\sim 10\%$  error due to the inaccuracy in the line strengths.

## 5. Conclusion

In this paper, a complete set of molecular parameters, intensities and pressure-broadening coefficients, was determined theoretically and experimentally near  $1.39 \mu\text{m}$  for several  $\text{H}_2\text{O}$  transitions of interest for the in situ laser sensing of the middle atmosphere. The achieved ab initio and laboratory measurements were thoroughly compared to previous determinations and existing molecular databases. A dramatic improvement in the accuracy of the line strengths and pressure coefficients was achieved for the selected molecular transition of the order of ten percent, with a direct impact on the quality of  $\text{H}_2\text{O}$  concentration values yielded by our balloon borne laser hygrometer in the lower stratosphere and upper troposphere.

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