Geometric Analysis for the Size Estimation of Subsurface Delamination in Transient Electromagnetic Response

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ABSTRACT
Detection of subsurface defects (e.g., delamination, cracking) using microwave/radar sensors (e.g., ground penetrating radar or GPR) is an important and promising technique for the effective and efficient maintenance of civil infrastructure. In this technique, reflected and scattered electromagnetic signals are typically collected and used for interpreting the size and property of subsurface damages. The objective of this paper is to investigate the feasibility of using finite measurements in the reflected signal for size estimation, through the geometric analysis of waveform. Simulated transient electromagnetic response was generated by finite difference time domain (FDTD) methods in two dimensional domain. A modulated Gaussian impulse at a center frequency of 3.5 GHz was used as the source. Rectangular delaminations with a width ranging from 3.048 cm to 16.256 cm and a thickness of 0.762 cm were considered. The depth of subsurface delamination was also studied. The curvature of reflected waveforms, obtained by three measurements, was used to correlate with the width of subsurface delamination. A relative width parameter was defined and used in the proposed equations for estimating the delamination width with less than 10% error. It is found that the relative width parameter is linearly proportional to the difference in waveform curvature. The proposed approach is potentially applicable to other subsurface defects with different shapes.

Keywords: Subsurface delamination, geometric analysis, finite difference time domain, ground penetrating radar, transient electromagnetic response

1. INTRODUCTION
Deterioration of civil infrastructure has become a challenging problem in most countries in the world. Progressive and sudden failures of civil infrastructure can result in immediate and long-term catastrophic impact. It is understood that deteriorated infrastructure systems need to be inspected, monitored, rehabilitated, and strengthened before their retirement. In any case, condition assessment techniques (e.g., nondestructive testing/evaluation/inspection) are essential to the effective maintenance of deteriorated civil infrastructure to determine the level of deterioration. Among various nondestructive evaluation (NDE) techniques (e.g., optical, acoustic, thermal, magnetic, electrical, microwave/radar), microwave/radar methods have demonstrated as a promising tool applicable for the surface and subsurface inspection of civil infrastructure systems. Figure 1 shows the data collection scheme and imaging of ground penetrating radar (GPR). Figure 1 shows the data collection scheme in typical GPR operation and the imaging result from a two-dimensional scan (B-scan). The data collection scheme of GPR results in the formation of a series of ridge waves when subsurface anomalies (e.g., pipeline, delamination, landmines) are present. Knowing that the size of subsurface anomalies is proportional to the size of ridge waves, it is of interest to develop a quantitative relationship between anomalies and ridge waves. The objective of this paper is to develop a quantitative relationship between subsurface anomalies and measured ridge waves in GPR images using finite different time domain (FDTD) methods. Such information can be useful for the rapid evaluation of subsurface anomalies in the subsurface GPR inspection/assessment of civil infrastructure.

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In the following sections, use of FDTD methods on GPR applications is first reviewed. Research approach (FDTD methods) and designed numerical models are described, followed by simulation results. A quantitative relationship between the characteristic length of subsurface rectangular defects is proposed. Finally, research findings are summarized and discussed.

2. REVIEW OF FDTD ON GPR APPLICATIONS

Simulations of GPR responses using FDTD methods have been reported by various researchers in the past; Liu et al. (2007)\(^7\) used FDTD methods to simulate the tunnel coating quality inspection using GPR. In their model, a concrete layer containing metal wires was laid on rock with two delaminations (one filled with water between the concrete and the 15 rock layers). The dielectric constant and conductivity of the concrete and the rock was assigned to be 6, 0.005 S/m and 5, 0.0001 S/m, respectively. The center frequency of the antenna was 900 MHz, and the excitation source was a Gaussian pulse. Reflection from the delaminations was found to be not clear due to the interference of strong metal wire scattering in the B-scan. However, another simulation model was performed without the presence of the metal wires; the delamination between the concrete and the rock layer was clearly identified in the B-scan.

Zhan et al. (2008)\(^6\) modeled the GPR application on bridge decks using FDTD methods. Both 2D and 3D models of reinforced concrete bridge deck were created to compare the detectibility of the delamination between asphalt and concrete layer and the delamination inside reinforced concrete. The dielectric constants of asphalt and concrete were assumed to be 5 and 9 respectively. A bistatic GPR elevated at 30.6 cm from the asphalt layer was used as an excitation pulse source with 1.0 GHz center frequency to create B-scan images. It was shown that 2D and 3D simulations resulted in approximately the same B-scans for different kinds of defect scenario. Because the bridge deck was relatively uniform in the third dimension and the computational time of 2D simulations were short, it was suggested that 2D simulation is a good approximation for bridge deck models although the accuracy of 3D simulation is superior when the geometry of the model becomes complicated.

Nojavan et al. (2009)\(^8\) modeled the GPR response of reinforced concrete structures using FDTD and used the Born imaging algorithm for damage detection. A reinforced concrete slab, a horizontal crack and a debonding layer between concrete and one of the rebars were modeled in their 2D FDTD simulations. Dielectric constant and conductivity of the concrete was assigned to be 5.3 and 0.05 S/m, respectively. The center wavelength of the excitation signal in concrete was about 1.7 cm. Actuators and the receivers were densely placed on the upper surface of the modeled slab. Images were constructed from the scattered field created by the excitation of each actuator and obtained from each receiver. It was shown that damage geometries can be estimated correctly using the Born imaging algorithm and any components of electromagnetic field (i.e. \(E_y, H_z, H_x\)) can be utilized to identify the damage.

Neyrat et al. (2009)\(^4\) used monostatic and multistatic B-scan to simulate the detection of buried objects using GPR. One transmitter emitting a modulated Gaussian impulse with a center frequency of 1.0 GHz and
ten receivers employed to detect the buried pipes in heterogeneous medium (dielectric constant assigned as 6). In multistatic B-scan, retiming method was applied by taking the delay between the various receivers into account. The resulting monostatic and retimed multistatic B-scans were compared. It is shown that the B-scan of the latter provides better detectibility than the former. On the other hand, wavefront migration technique is introduced to remove unwanted reflections and hyperbolic reflection characteristics of buried objects from B-scan.

3. RESEARCH APPROACH – FINITE DIFFERENCE TIME DOMAIN METHODS

In this paper, the finite difference time domain (FDTD) methods using Yee’s algorithm\(^3\) was chosen for its superior performance and capability of generating the space-time response of electromagnetic (EM) wave propagation and scattering. The central difference scheme is selected for its higher order of accuracy over the forward and backward difference schemes. Introduction to the FDTD methods can be found in the literature.\(^2\)

3.1 Space and Time Discretization

When describing the FDTD models used in computational domain, spatial (\(\Delta x, \Delta y, \Delta z\)) and temporal (\(\Delta t\)) increments must be properly defined to ensure numerical/computational stability. Two criteria are applied in the FDTD simulation: the sampling (Nyquist) theorem and the Courant-Friedrichs-Levy (CFL) stability theorem. According to the sampling theorem which is defined by the folding or Nyquist frequency, the necessary sampling rate in time, \(\Delta t\), is determined by

\[
\Delta t < \frac{1}{2f_{\text{max}}}
\]

where \(f_{\text{max}}\) is the maximum frequency content (Hz) (Nyquist frequency) that is allowed to ensure the signal can be fully reconstructed at sampling rate \(\Delta t\).\(^9\) For instance, given a maximum frequency \(f = 10\) GHz, the sampling rate must be less than \(5 \times 10^{-11}\) sec or \(5 \times 10^{-2}\) ns.

The other criterion is adopted to deal with the coupling between \(\Delta t\) and spatial increments (\(\Delta x, \Delta y, \Delta z\)) is the Courant-Friedrichs-Levy (CFL) or the Courant stability criterion which is defined by\(^10\)

\[
\Delta t < \frac{1}{c} \sqrt{\frac{1}{\Delta x} + \frac{1}{\Delta y} + \frac{1}{\Delta z}}
\]

For uniform discretization in three-dimensional problems we have \(\Delta t < \frac{\Delta x}{c\sqrt{3}}\) and \(\Delta t < \frac{\Delta x}{c\sqrt{2}}\) for two-dimensional problems. Derivation of the CFL stability criterion can be found at Courant \textit{et. al.} (1967).\(^10\) For example, \(\Delta t\) must be less than \(3.538 \times 10^{-3}\) ns for \(\Delta x = 0.0015\) m. In the FDTD simulation of this paper, \(\Delta x = \Delta y = 0.00254\) m (0.254 cm).

3.2 Absorbing Boundary Condition

In the numerical simulation of EM wave propagation and scattering in free space, the use of reflectionless boundaries is necessary in order to simulate the infinite physical environment (open space or free space) in a finite/bounded domain. Such boundary conditions are termed absorbing boundary condition (ABC). Among various types of ABC, the perfectly matched layer (PML) ABC\(^11\) is used. PML ABC is read as

\[
\frac{\sigma}{\epsilon_0} = \frac{\sigma^*}{\mu_0}
\]

In PML ABC, the effect of enforcing the electric conductivity \(\sigma\) of the ABC medium to satisfy Eq.[3] is equivalent to the creation of a lossless vacuum in the ABC region.

3.3 Incident Signal

In the FDTD simulation of this paper, a modulated Gaussian signal with center frequency at 3.5 GHz was used as the incident signal. Figure 3.3 shows the waveform of the modulated Gaussian signal.

Description of the FDTD models is provided in the following paragraphs.
4. NUMERICAL MODELS

In the 2D FDTD simulation of GPR inspection, a dry concrete slab, whose width and depth are respectively 1.524 m and 0.762 m, was modeled. A rectangular void artificially embedded at a depth of \( d \) was considered as the target to be detected. The thickness of the defect \( h \) is taken as 0.762 cm in all simulation cases. The dry concrete is modeled as a lossless dielectric with a dielectric constant \( (\varepsilon'_r) \) of 4, while the dielectric constant of the void (filled with air) is unity \( (\varepsilon'_0 = 1) \). Figure 4 illustrates the computational domain and the concrete structure with a subsurface defect. Two parameters were considered in the FDTD simulation cases; defect depth \( d \) and defect width \( w \). Thirty-two simulation cases including five defect depths and sixteen defect widths were used. Table 1 lists all the thirty-two defect scenarios.

5. SIMULATION AND IMAGE PROCESSING RESULTS

5.1 Simulated GPR B-scan Images and Image Processing Procedure

A raw GPR B-scan image is shown in Figure 5.1 (A) using the D149W12 \((d = 37.846 \text{ cm} \text{ and } w = 3.048 \text{ cm})\) concrete slab as an example. A clean GPR response was generated by using an intact concrete slab, and the result is shown in Figure 5.1 (B). Raw GPR images are first processed by removing the background signal (GPR
Table 1. Defect scenarios

<table>
<thead>
<tr>
<th>Simulation Case</th>
<th>d (cm)</th>
<th>d (Δx)</th>
<th>w (cm)</th>
<th>w (Δx)</th>
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<tbody>
<tr>
<td>D149W64</td>
<td>37.846</td>
<td>149</td>
<td>16.256</td>
<td>64</td>
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<tr>
<td>D149W60</td>
<td>37.846</td>
<td>149</td>
<td>15.240</td>
<td>60</td>
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<td>149</td>
<td>14.224</td>
<td>56</td>
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<td>D149W52</td>
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<td>13.208</td>
<td>52</td>
</tr>
<tr>
<td>D149W50</td>
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<td>149</td>
<td>12.700</td>
<td>50</td>
</tr>
<tr>
<td>D149W48</td>
<td>37.846</td>
<td>149</td>
<td>12.192</td>
<td>48</td>
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<td>D149W44</td>
<td>37.846</td>
<td>149</td>
<td>11.176</td>
<td>44</td>
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<td>149</td>
<td>10.160</td>
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<td>D149W36</td>
<td>37.846</td>
<td>149</td>
<td>9.144</td>
<td>36</td>
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<tr>
<td>D149W32</td>
<td>37.846</td>
<td>149</td>
<td>8.128</td>
<td>32</td>
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<td>149</td>
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<td>D149W24</td>
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<td>149</td>
<td>6.096</td>
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<tr>
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<td>149</td>
<td>5.080</td>
<td>20</td>
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<td>12</td>
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<td>D109W16</td>
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<td>3.048</td>
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<td>3.048</td>
<td>12</td>
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<tr>
<td>D89W64</td>
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<td>16.256</td>
<td>64</td>
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<tr>
<td>D89W12</td>
<td>22.606</td>
<td>89</td>
<td>3.048</td>
<td>12</td>
</tr>
</tbody>
</table>

The concept of GPR image processing in this approach is to calculate the curvature of the ridge wave in GPR images and relate it to the width of the rectangular defect \( w \). To do so, we need to extract the pattern of the ridge waves in Figure 5.1 for the curvature calculation. Local maximums were taken from the ridge waves and a low-pass filter was applied four times to remove the ripples in the extracted ridge curve. Figure 5.1 (A) shows the extracted ridge curve from Figure 5.1 (B) after the application of low-pass filter four times. Calculation of the curvature of ridge curves is illustrated in Figure 5.1 (B). The curvature index \( k \) is defined as the inverse of the radius of curvature: \( k = \frac{1}{r} \).

The three-point selection scheme in Figure 5.1 (B) is performed by choosing the peak point (center) and two points (left and right) away from the center at an equal distance of \( x_d \). A parametric study on the value of \( x_d \) was performed. It is found that, in the range of \( 109 \Delta x \) and \( 116 \Delta x \), a linear relationship between \( k \) and \( w \) can be defined. Figure 5.1 shows the linear relationships between \( k \) and \( x_d \) for sixteen values of \( w \).
5.2 Proposed Quantitative Relationship

In developing the relationship between \( k \) and \( w \), \( x_d \) was set to be 116\( \Delta x \) or 30 cm. In the proposed approach, a benchmark case was selected as the basis for case comparison, which is the smallest defect width case (\( w_b = 12\Delta x \)). A relative defect width is defined by

\[
{w_r} = w - w_b
\]

(4)

where \( w_r \) is the relative defect width and \( w \) the actual defect width. Through a series of error analysis in the curve fitting process, two equations are proposed.

\[
w_r = A_1 \Delta k + A_2
\]

\[
w_r = B_1 \Delta k^3 + B_2 \Delta k^2 + B_3 \Delta k + B_4
\]

(6)

where \( A_1 = 2,950 \), \( A_2 = 1 \), \( B_1 = 3.719 \times 10^8 \), \( B_2 = -2.553 \times 10^5 \), \( B_3 = 2,825 \), and \( B_4 = 1.013 \). Performance of these two equations is provided in Figures 5 and 6, respectively.

With the above results, we have

\[
w = (A_1 \Delta k + A_2) + w_b
\]

\[
w_r = (B_1 \Delta k^3 + B_2 \Delta k^2 + B_3 \Delta k + B_4) + w_b
\]

(8)

For example, using 3.5 GHz signal and follow the procedure described above, the crack width is estimated to be xxx (Eq.[7]) and yyy (Eq.[8]) when \( \Delta k \) is zzz.
6. SUMMARY AND DISCUSSION

In this paper, an approach for the geometric analysis of GPR images to estimate the width of a subsurface rectangular defect in concrete slabs is proposed. Procedure of the geometric analysis is summarized in the following.

- Collection/generation of raw GPR images
- Pre-processing of the raw GPR images – Extracted ridge curves
- Low-pass filtering of extracted ridge curves for the calculation of curvature
- Determination of the reference length (e.g., width, length)
- Curve fitting between the reference length and the curvature
In the proposed approach, only an ideal crack shape (rectangular) is considered. Also, the GPR scanning/inspection velocity is assumed to be constant. The shape of ridge waves/curves will be different when the inspection velocity changes, although the relationship between the shape of ridge waves/curves and inspection velocity can be linearly derived. Multiple reflections can be expected from anomalies at deeper locations, but signal attenuation also becomes stronger if the subsurface medium is lossy.

While the simulation results were obtained by using a modulated Gaussian signal (center frequency = 3.5 GHz), it is believed the approach can be applied to the analysis using other frequencies.
7. ACKNOWLEDGMENTS

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