

Electro-optic effect:

$$\left\{ \begin{array}{l} \nabla \cdot \mathbf{D} = \rho, \\ \nabla \cdot \mathbf{B} = 0, \end{array} \right. \left\{ \begin{array}{l} \nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}, \\ \nabla \times \mathbf{H} = \mathbf{j} + \frac{\partial \mathbf{D}}{\partial t}, \end{array} \right. \quad \begin{array}{l} \mathbf{D} = \varepsilon_0 \mathbf{E} + \mathbf{P} = \varepsilon \mathbf{E} \\ \mathbf{P} = \varepsilon_0 (\chi^{(1)} \mathbf{E} + \chi^{(2)} \mathbf{E}^2 + \chi^{(3)} \mathbf{E}^3 \dots), \end{array}$$

Kerr effect
↓
Pockels effect
↑

Linear electro-optic effect:

$$\varepsilon_{ij} = \varepsilon_0 (1 + \chi_{ij}^{(1)} + \chi_{ijk}^{(2)} E_k) = \varepsilon_1 + r_{ijk} E_k,$$

When no E applied, $\varepsilon = \begin{pmatrix} \varepsilon_{11} & 0 & 0 \\ 0 & \varepsilon_{22} & \\ & & \varepsilon_{33} \end{pmatrix} = \varepsilon_0 \begin{pmatrix} n_x^2 & 0 & 0 \\ 0 & n_y^2 & \\ & & n_z^2 \end{pmatrix}$

Refractive indices on principle axes

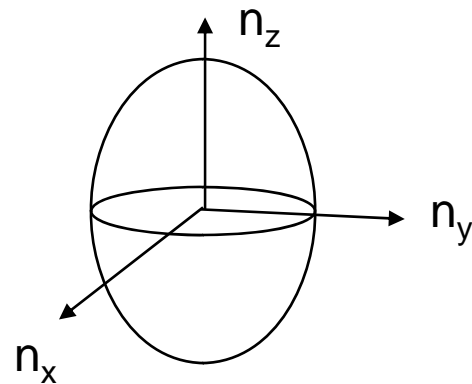
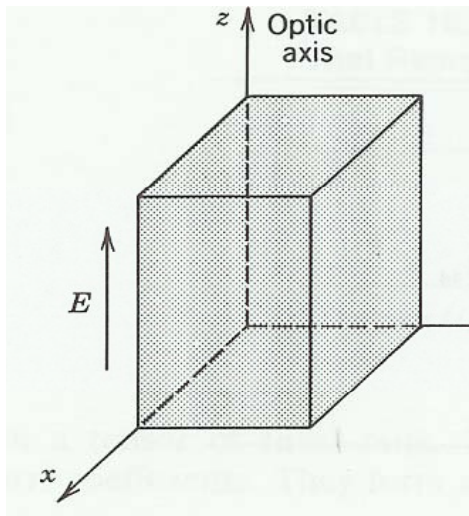
Refractive index ellipsoid:

$$U_e = \frac{1}{2} \vec{E} \cdot \vec{D} = \frac{1}{2} \frac{\vec{D}}{\epsilon} \cdot \vec{D}$$

When no electric field applied,

$$U_e = \frac{1}{2} \left(\frac{D_x^2}{\epsilon_{11}} + \frac{D_y^2}{\epsilon_{22}} + \frac{D_z^2}{\epsilon_{33}} \right) = \frac{D^2}{2\epsilon_0 r^2} \left(\frac{x^2}{n_x^2} + \frac{y^2}{n_y^2} + \frac{z^2}{n_z^2} \right),$$

$$\Rightarrow \left(\frac{x^2}{n_x^2} + \frac{y^2}{n_y^2} + \frac{z^2}{n_z^2} \right) = 1, \quad \text{Refractive index ellipsoid}$$



Refractive index ellipsoid:

$$U_e = \frac{1}{2} \vec{E} \cdot \vec{D} = \frac{1}{2} \frac{\vec{D}}{\epsilon} \cdot \vec{D} \quad \text{Generally,}$$

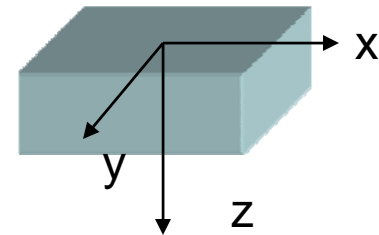
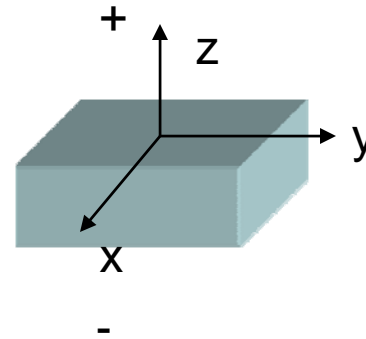
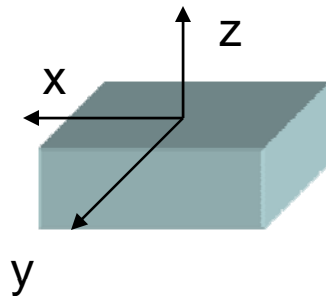
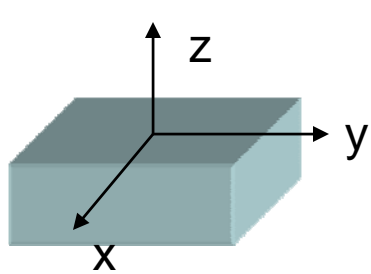
$$1 = \sum_{i,j} \eta_{ij} x_i x_j, \quad \eta \text{ is a } 3 \times 3 \text{ tensor, } \eta = \epsilon^{-1} \text{ or } \epsilon = \eta^{-1}$$

When electric field applied, $\eta_{ij} = \eta_0 + r_{ijk} E_k$,

r_{ijk} : 3x3x3 tensor, 27 components

$r_{ijk} = r_{jik}$, Why?

No EO effect for crystal with central symmetry, why?



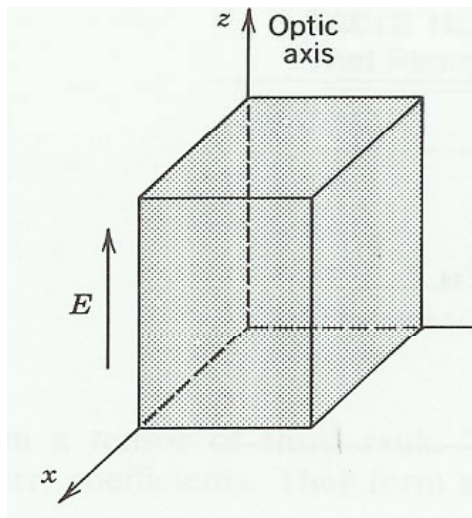
$$r_{ijz} E_z = -r_{jiz} E_z,$$

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}_1 + \varepsilon_0 r_{ijk} \vec{E}_k, \quad r_{ijk} = r_{jik},$$

j	i = 1	2	3
1	1	6	5
2	6	2	4
3	5	4	3

$$\boldsymbol{\eta} = \boldsymbol{\eta}_0 + \begin{pmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \\ r_{41} & r_{42} & r_{43} \\ r_{51} & r_{52} & r_{53} \\ r_{61} & r_{62} & r_{63} \end{pmatrix} \begin{pmatrix} E_1 \\ E_2 \\ E_3 \end{pmatrix}$$

When E_z only,



$$\eta = \eta_0 + \begin{pmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \\ r_{41} & r_{42} & r_{43} \\ r_{51} & r_{52} & r_{53} \\ r_{61} & r_{62} & r_{63} \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ E_3 \end{pmatrix}$$

TABLE 18.2-2 Pockels Coefficients r_{Ik} for Some Representative Crystal Groups

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ r_{41} & 0 & 0 \\ 0 & r_{41} & 0 \\ 0 & 0 & r_{41} \end{bmatrix}$$

Cubic $\bar{4}3m$
[e.g., GaAs, CdTe, InAs]

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ r_{41} & 0 & 0 \\ 0 & r_{41} & 0 \\ 0 & 0 & r_{63} \end{bmatrix}$$

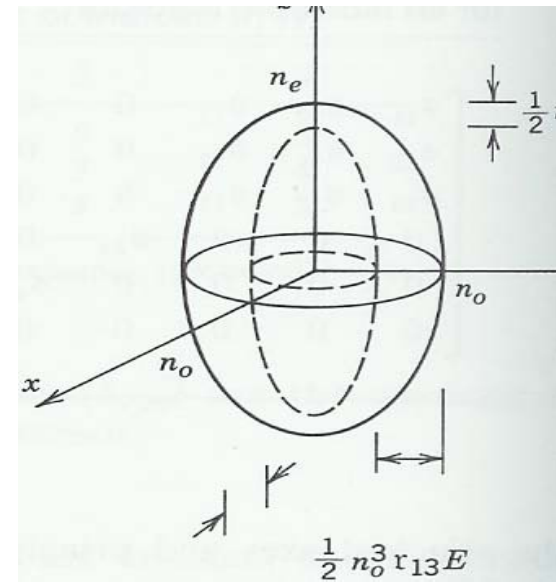
Tetragonal $\bar{4}2m$
[e.g., KDP, ADP]

$$\begin{bmatrix} 0 & -r_{22} & r_{13} \\ 0 & r_{22} & r_{13} \\ 0 & 0 & r_{33} \\ 0 & r_{51} & 0 \\ r_{51} & 0 & 0 \\ -r_{22} & 0 & 0 \end{bmatrix}$$

Trigonal $3m$
[e.g., LiNbO₃, LiTaO₃]

Take LiNbO_3 as an example :

$$\eta = \eta_0 + \begin{pmatrix} 0 & -r_{22} & r_{13} \\ 0 & r_{22} & r_{13} \\ 0 & 0 & r_{33} \\ 0 & r_{51} & 0 \\ r_{51} & 0 & 0 \\ -r_{22} & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ E_3 \end{pmatrix} = \eta_0 + r_{33} E_3$$



$$\left(\frac{1}{n_0^2} + r_{13} E_z \right) x^2 + \left(\frac{1}{n_0^2} + r_{13} E_z \right) y^2 + \left(\frac{1}{n_0^2} + r_{33} E_z \right) z^2 = 1,$$

$$\frac{1}{n_e^2} = \left(\frac{1}{n_0^2} + r_{33} E_z \right),$$

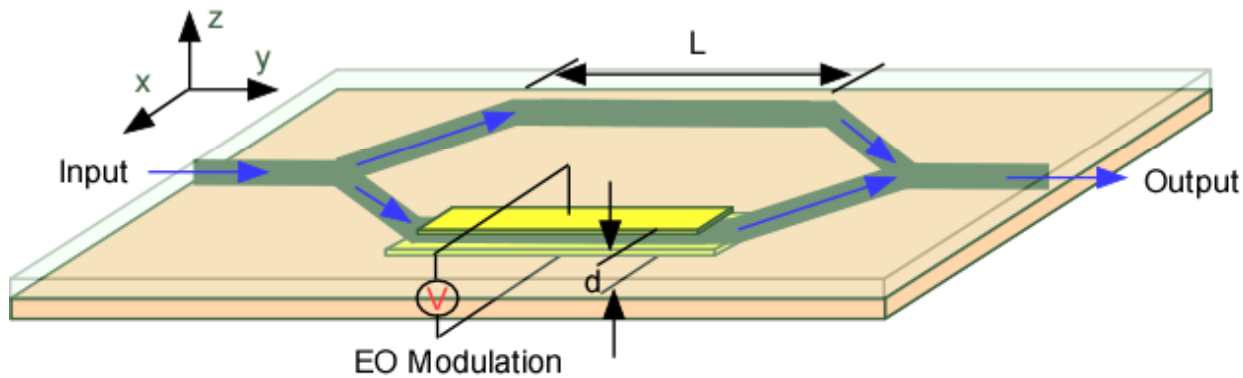
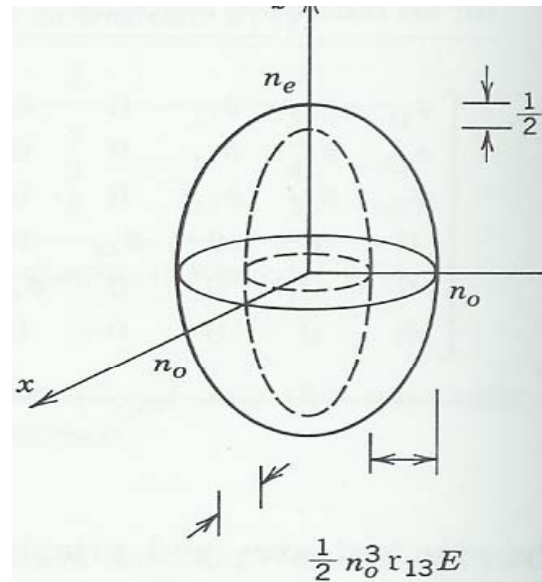
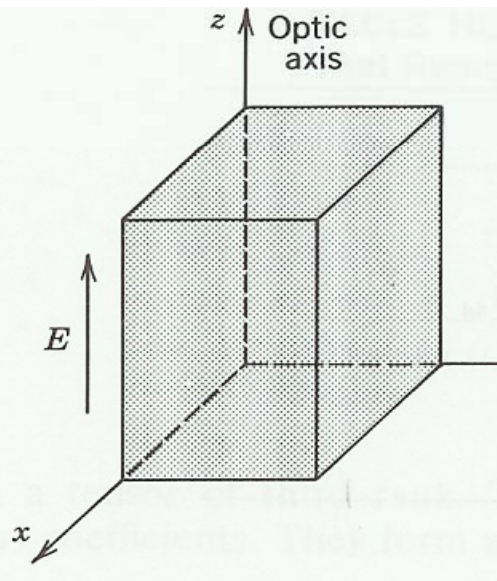
$$\frac{1}{n_o'^2} = \left(\frac{1}{n_0^2} + r_{13} E_z \right),$$

$$\Delta n_o = -\frac{1}{2} n_0^3 r_{13} E_z,$$

$$n_e = n_0 - \frac{1}{2} n_0^3 r_{33} E_z,$$

$$\Delta n_e = -\frac{1}{2} n_0^3 r_{33} E_z,$$

Take LiNbO₃ as an example: Z cut



$$\Delta n = -\frac{1}{2} n_o^3 r_{33} E_z,$$

TM polarization

$$\Delta n_o = -\frac{1}{2} n_o^3 r_{13} E_z,$$

TE polarization

EXAMPLE 18.2-1. Trigonal $3m$ Crystals (e.g., LiNbO_3 and LiTaO_3). Trigonal $3m$ crystals are uniaxial ($n_1 = n_2 = n_o$, $n_3 = n_e$) with the matrix \mathbf{r} provided in Table 18.2-2. Assuming that $\mathbf{E} = (0, 0, E)$, i.e., that the electric field points along the optic axis (see Fig. 18.2-3), we find that the modified index ellipsoid is

$$\left(\frac{1}{n_o^2} + r_{13}E \right) (x_1^2 + x_2^2) + \left(\frac{1}{n_e^2} + r_{33}E \right) x_3^2 = 1. \quad (18.2-3)$$

This is an ellipsoid of revolution whose principal axes are independent of E . The ordinary and extraordinary indices $n_o(E)$ and $n_e(E)$ are given by

$$\frac{1}{n_o^2(E)} = \frac{1}{n_o^2} + r_{13}E \quad (18.2-4)$$

$$\frac{1}{n_e^2(E)} = \frac{1}{n_e^2} + r_{33}E. \quad (18.2-5)$$

$$n_e = n_o - \frac{1}{2} n_o^3 r_{33} E_z,$$

$$\Delta n = -\frac{1}{2} n_o^3 r_{33} E_z,$$

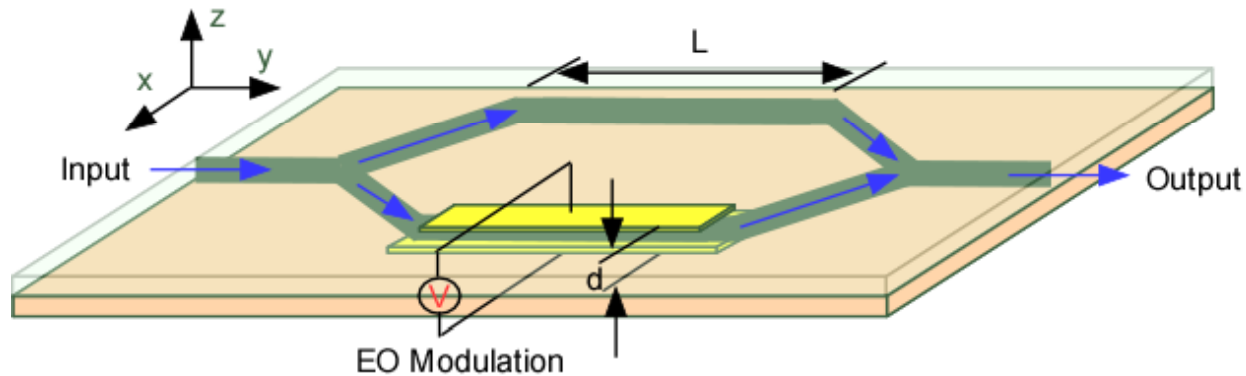
$$n_o = n_{o0} - \frac{1}{2} n_o^3 r_{13} E_z,$$

$$\Delta n = -\frac{1}{2} n_o^3 r_{13} E_z,$$

Different index changes of
TE and TM waves

Take LiNbO₃ Intensity modulator Z cut

TM polarization



$$A_{out} = A_1 + A_2, \quad A_1 = \frac{1}{2} A_0 e^{i\beta n_0 L}, \quad A_2 = \frac{1}{2} A_0 e^{i\beta(n_0 + \Delta n)L},$$

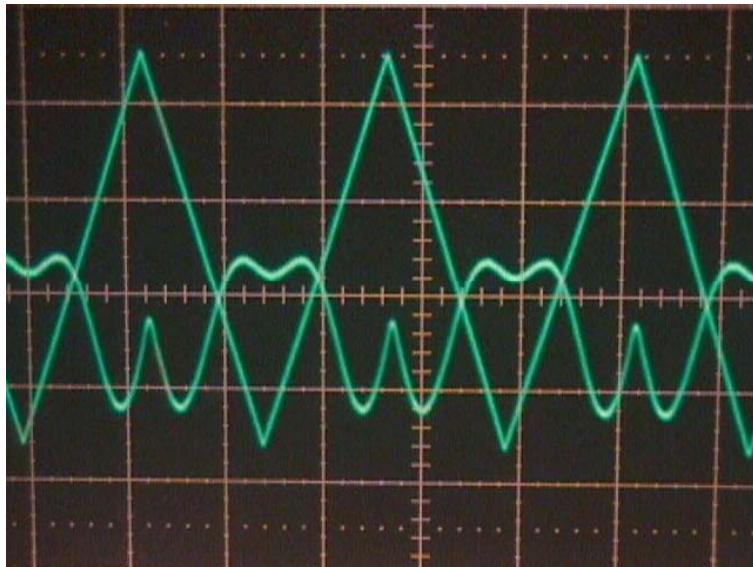
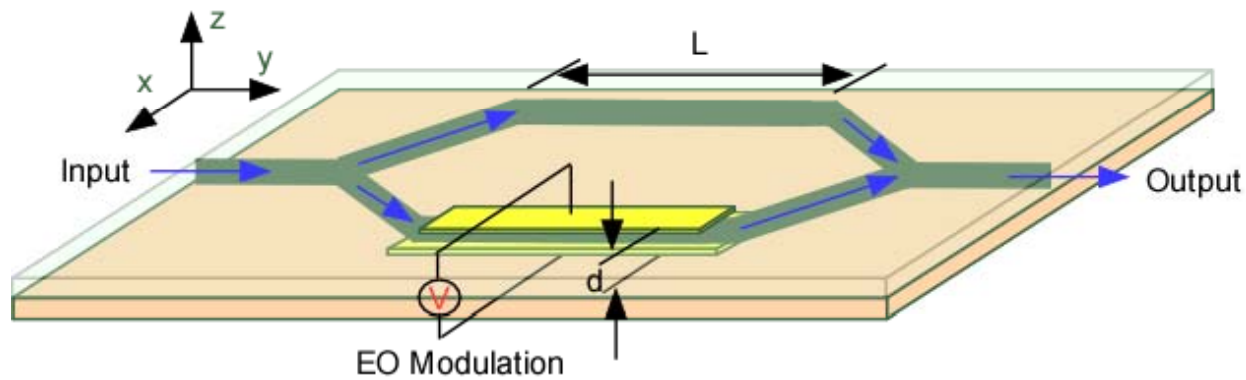
$$A_{out} = \frac{1}{2} A_0 e^{i\beta n_0 L} (1 + e^{i\beta \Delta n L}), \quad I_{out} = |A_{out}|^2 = \frac{1}{4} I_0 |1 + e^{i\beta \Delta n L}|^2 = I_0 \cos^2(\beta \Delta n L / 2),$$

$$I_{out} = I_0 \cos^2\left(\frac{\pi}{\lambda} \Delta n L\right) = I_0 \cos^2\left(\frac{\pi}{\lambda} \left(-\frac{1}{2} n_0^3 \gamma_{33} \frac{V}{d}\right) L\right),$$

$$\frac{\pi}{\lambda} \left(-\frac{1}{2} n_0^3 \gamma_{33} \frac{V_\pi}{d}\right) L = -\pi / 2, \quad V_\pi = \frac{\lambda d}{n_0^3 \gamma_{33} L}$$

Take LiNbO₃ Intensity modulator Z cut

TM polarization



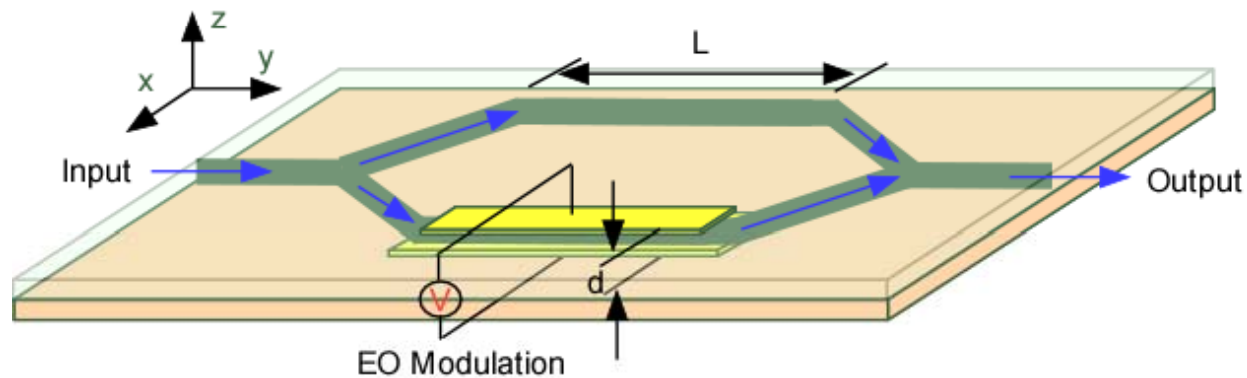
$$I_{out} = I_0 \cos^2\left(\frac{\pi}{\lambda} \Delta n L\right) = I_0 \cos^2\left(\frac{\pi}{\lambda} \left(-\frac{1}{2} n_0^3 \gamma_{33} \frac{V}{d}\right) L\right),$$

Linear region

Frequency double region

Operating characteristics

- Modulation depth $\eta = \frac{I_{\max} - I_{\min}}{I_{\max}}$, $\eta = 100\%$, $I_{\min} = 0$
- Bandwidth: the highest frequency the modulator can operate, R and C



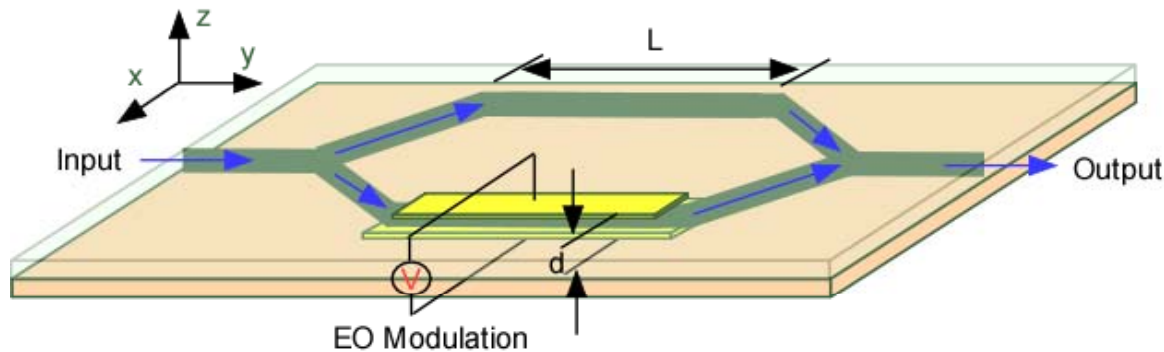
- Insertion loss (dB):

$$IL(dB) = -10 \log\left(\frac{I_{out}}{I_{in}}\right),$$

- Power consumption: $P/\Delta f$, Δf : bandwidth

$$P_e = \Delta f W = \Delta f \frac{1}{2} \int \epsilon E^2(\omega) dV,$$

for a channel waveguide, assuming the E field is uniform,



$$C = \epsilon \frac{A}{d} = \epsilon \frac{W_d L}{d}$$

$$C \sim 0.4 \text{ pF}$$

$$W = \frac{1}{2} \int \epsilon E^2(\omega) dV = \frac{L d W_d}{2} \epsilon E^2(\omega),$$

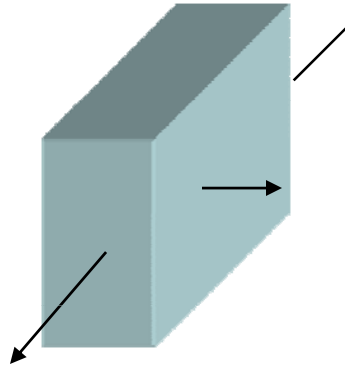
Take LiNbO_3 as an example: $E = V_\pi / d = \frac{\lambda}{n_0^3 \gamma_{33} L}$

$$W = \frac{1}{2} \int \epsilon E^2(\omega) dV = \frac{d W_d}{2 L} \epsilon \left(\frac{\lambda}{n_0^3 \gamma_{33}} \right)^2, \quad P/\Delta f \sim 2 \mu\text{W}/\text{MHz}$$

- Power consumption: $P/\Delta f$, Δf : bandwidth

$$P_e = \Delta f W = \Delta f \frac{1}{2} \int \epsilon E^2(\omega) dV,$$

for a bulk EO modulators, assuming the E field is uniform,



$$\Delta n = -\frac{1}{2} n_0^3 r_{33} E_z,$$

$$C = \epsilon \frac{A}{d} = \epsilon \frac{W_d L}{d}$$

$$C \sim 3\text{pF}$$

$$W = \frac{1}{2} \int \epsilon E^2(\omega) dV = \frac{L d W_d}{2} \epsilon E^2(\omega),$$

Take LiNbO₃ as an example: $E = V_\pi / d = \frac{\lambda}{n_0^3 \gamma_{33} L}$

$$W = \frac{1}{2} \int \epsilon E^2(\omega) dV = \frac{d W_d}{2L} \epsilon \left(\frac{\lambda}{n_0^3 \gamma_{33}} \right)^2, \quad P/\Delta f \sim 2W/\text{MHz}$$

Phase modulator:

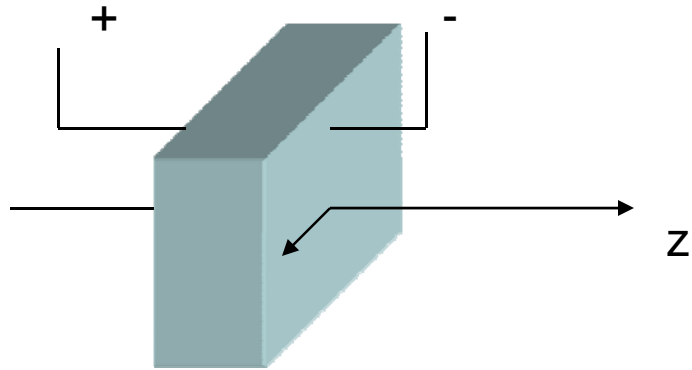
$$\Delta n = -\frac{1}{2}n_0^3 r_{33} E_z, \quad \text{Phase shift due to the applied voltage:}$$

$$\Delta\phi = -\frac{1}{2}n_0^3 r_{33} E_z \frac{2\pi}{\lambda_0} L, \quad V_\pi = \frac{d}{L} E_z \frac{\lambda_0}{n_0^3 r_{33}},$$

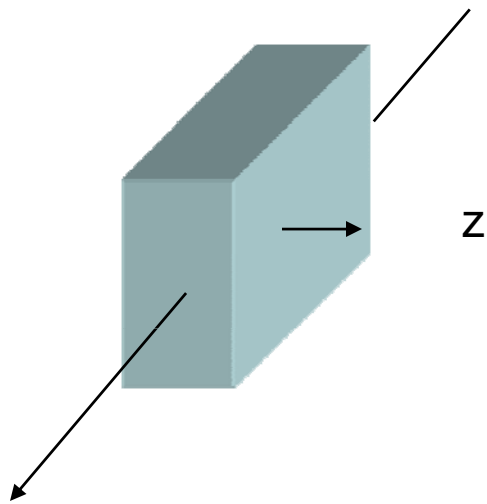
Examples:

Longitudinal Modulator: If a linearly polarized optical wave travels along the direction of the optic axis (parallel to the electric field), the appropriate parameters for the phase modulator are $n = n_o$, $r = r_{13}$, and $d = L$. For LiNbO₃, $r_{13} = 9.6$ pm/V, and $n_o = 2.3$ at $\lambda_o = 633$ nm. Equation (18.2-22) then gives $V_\pi = 5.41$ kV, so that 5.41 kV is required to change the phase by π .

Transverse Modulator: If the wave travels in the x direction and is polarized in the z direction, the appropriate parameters are $n = n_e$ and $r = r_{33}$. The width d is generally not equal to the length L . For LiNbO₃ at $\lambda_o = 633$ nm, $r_{33} = 30.9$ pm/V, and $n_e = 2.2$, giving a half-wave voltage $V_\pi = 1.9(d/L)$ kV. If $d/L = 0.1$, we obtain $V_\pi \approx 190$ V, which is significantly lower than the half-wave voltage for the longitudinal modulator.

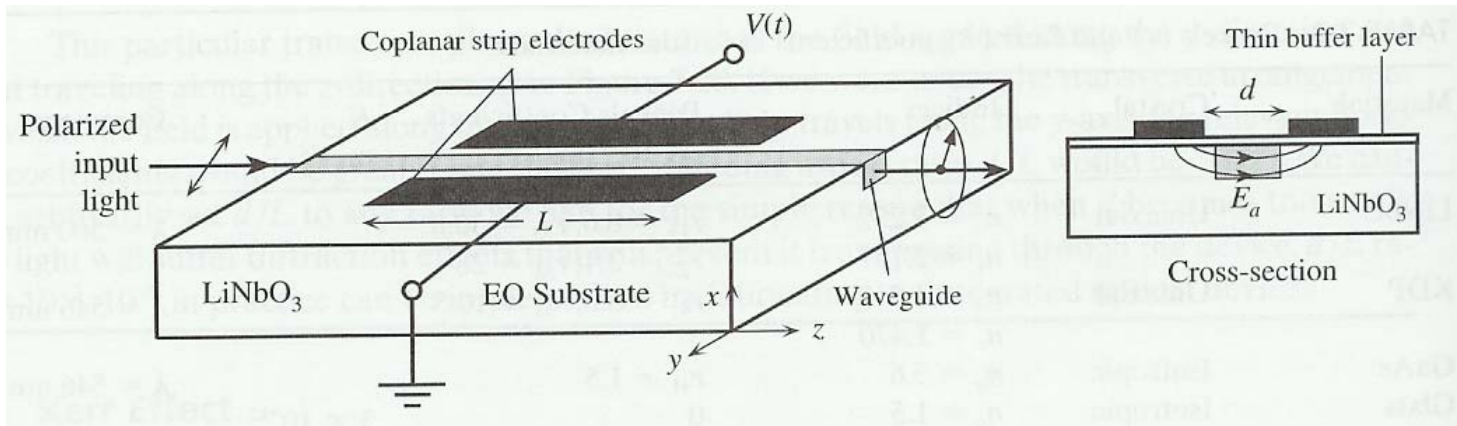


$$\Delta n = -\frac{1}{2}n_0^3 r_{13} E_z,$$



$$\Delta n = -\frac{1}{2}n_0^3 r_{33} E_z,$$

Polarization modulator:



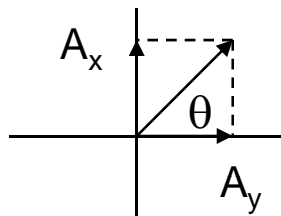
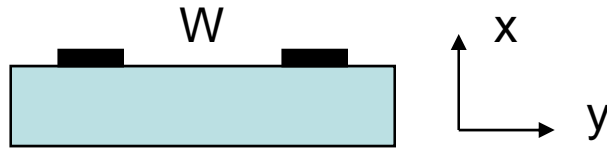
$$\eta = \eta_0 + \begin{pmatrix} 0 & -r_{22} & r_{13} \\ 0 & r_{22} & r_{13} \\ 0 & 0 & r_{33} \\ 0 & r_{51} & 0 \\ r_{51} & 0 & 0 \\ -r_{22} & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ E_2 \\ 0 \end{pmatrix}$$

$$\Delta n_x = -\frac{1}{2} n_0^3 r_{22} E_y,$$

$$\Delta n_y = \frac{1}{2} n_0^3 r_{22} E_y,$$

$$V_\pi = \frac{d}{2L} E \frac{\lambda_0}{n_0^3 r_{33}},$$

Phase shift due to the applied voltage:

Polarization modulator:

$$\Delta n_x = -\frac{1}{2}n_0^3 r_{22} E_y,$$

$$\Delta n_y = \frac{1}{2}n_0^3 r_{22} E_y,$$

$$A_{\pi,in} = A_0 \sin \theta,$$

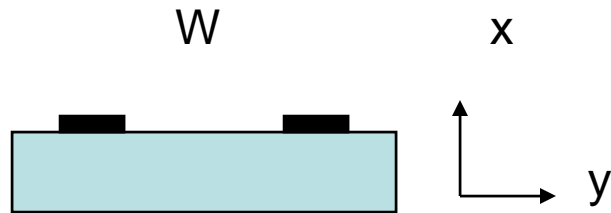
$$A_{\pi,out} = A_0 \sin \theta \exp(i\beta n_x L) = A_0 \sin \theta \exp(i\beta L(n_0 - \frac{1}{2}n_0^3 r_{22} E_y)),$$

$$A_{y,in} = A_0 \cos \theta,$$

$$A_{y,out} = A_0 \cos \theta \exp(i\beta n_y L) = A_0 \cos \theta \exp(i\beta L(n_0 + \frac{1}{2}n_0^3 r_{22} E_y)),$$

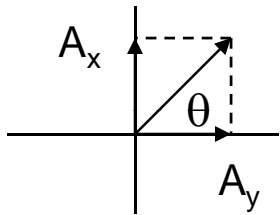
Phase difference due to the applied voltage:

$$\phi = \beta L n_0^3 r_{22} E_y = \beta L n_0^3 r_{22} V_y / W,$$

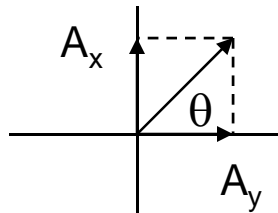
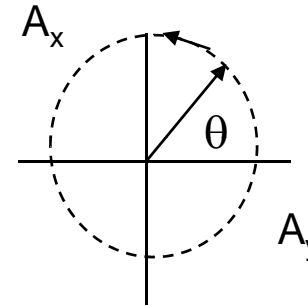
Polarization modulator:

Phase difference due to the applied voltage:

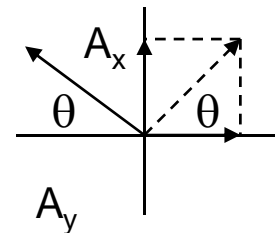
$$\phi = \beta L n_0^3 r_{22} E_y = \beta L n_0^3 r_{22} V_y / W,$$

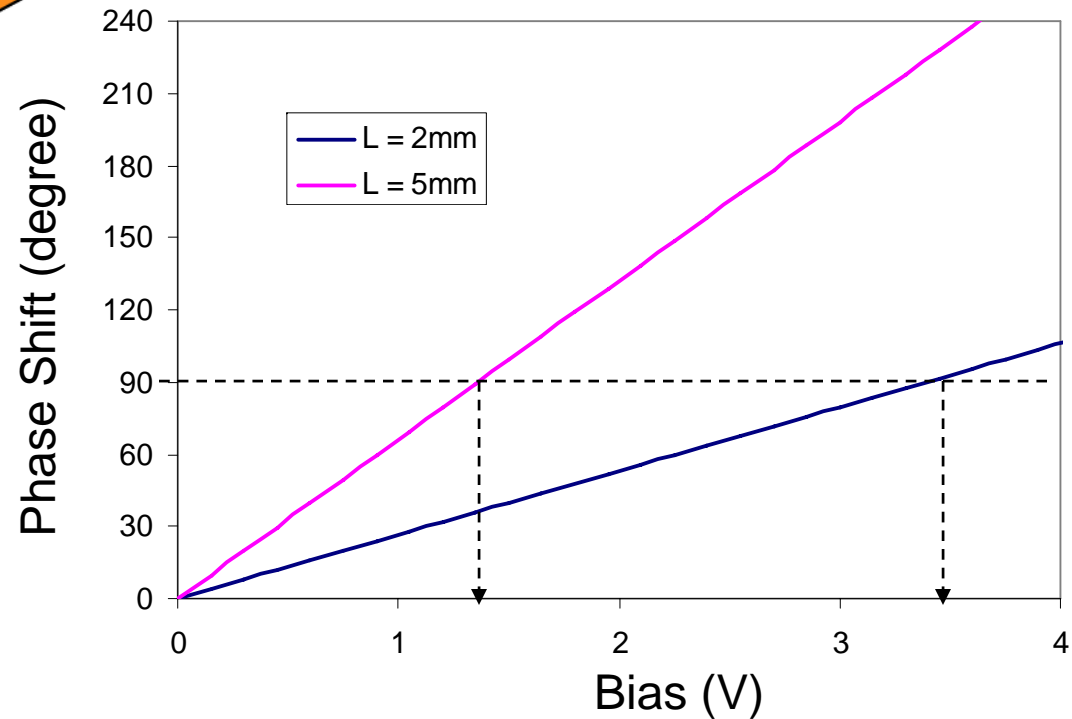
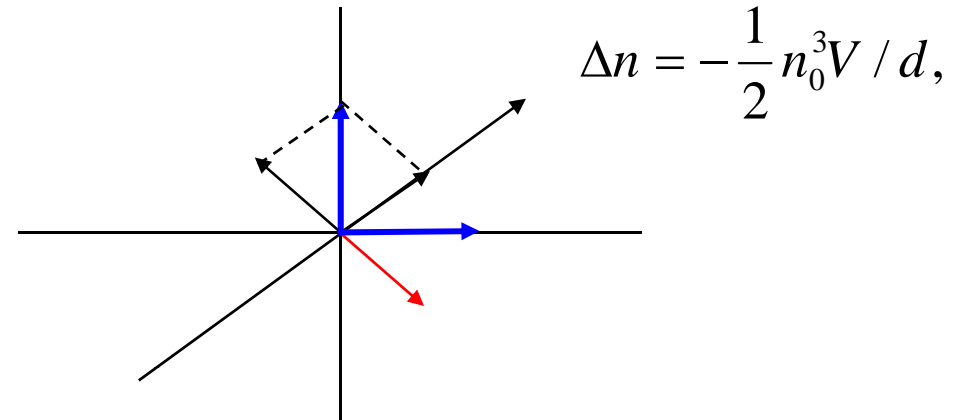
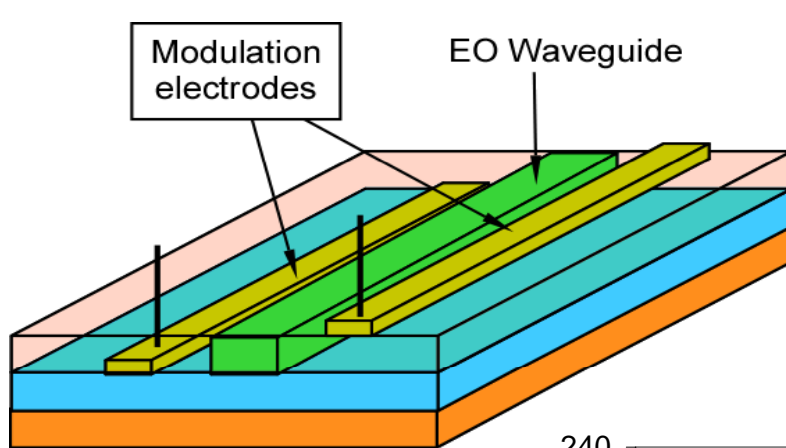


When $\phi = \pi/2$,



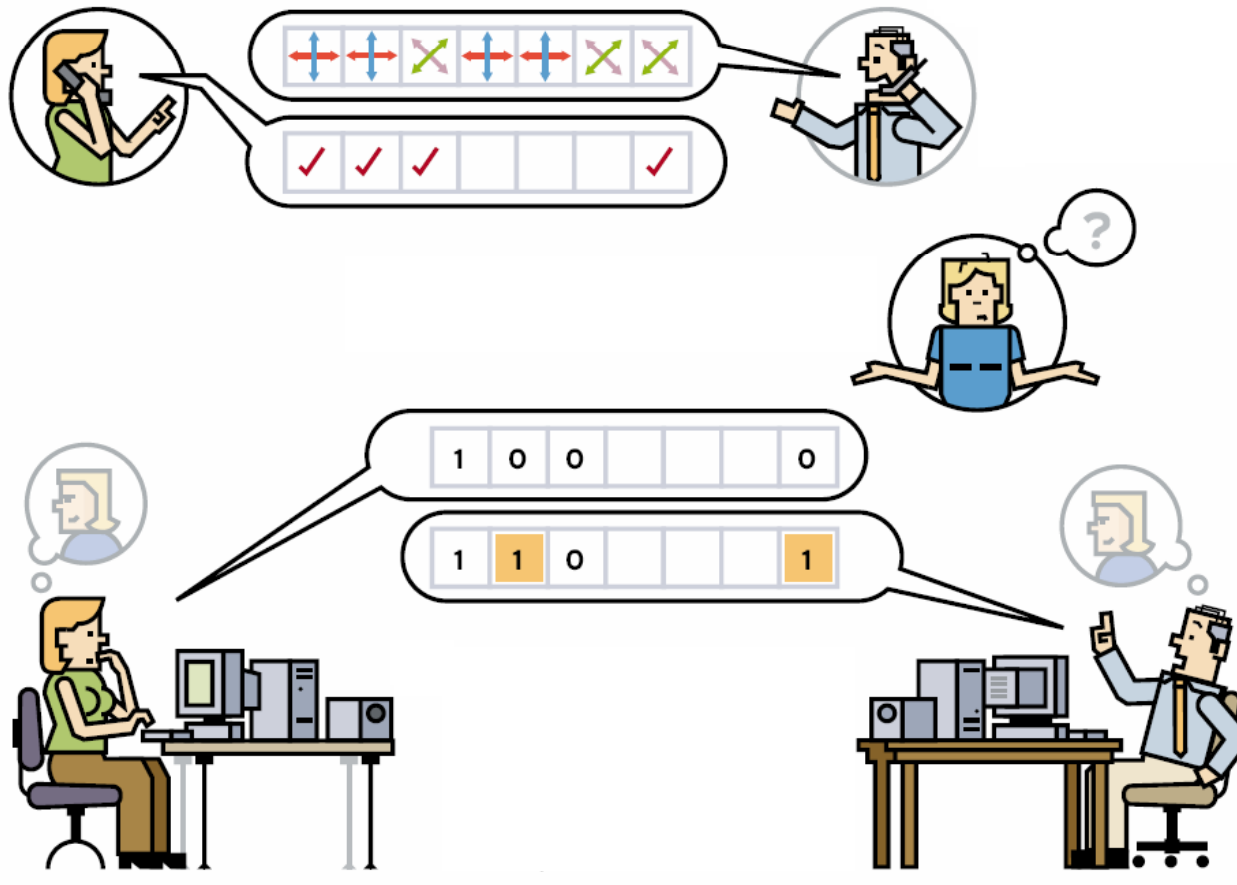
When $\phi = \pi$,



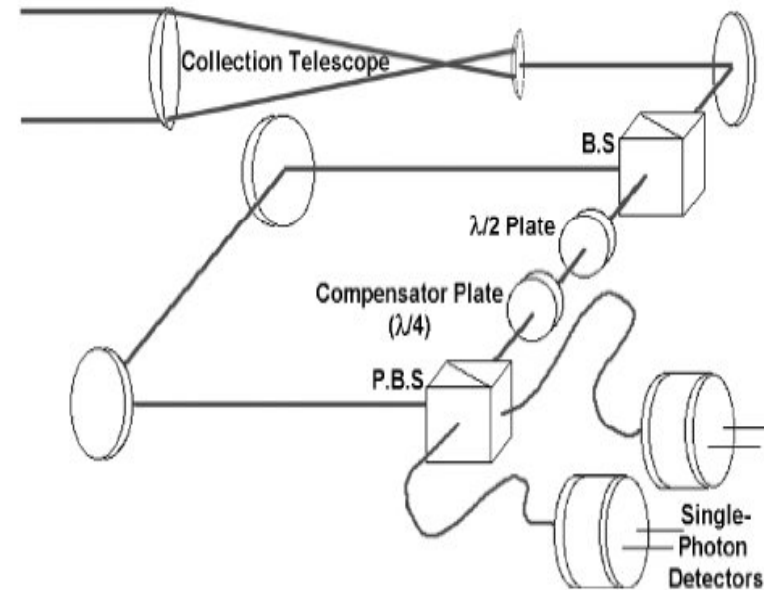
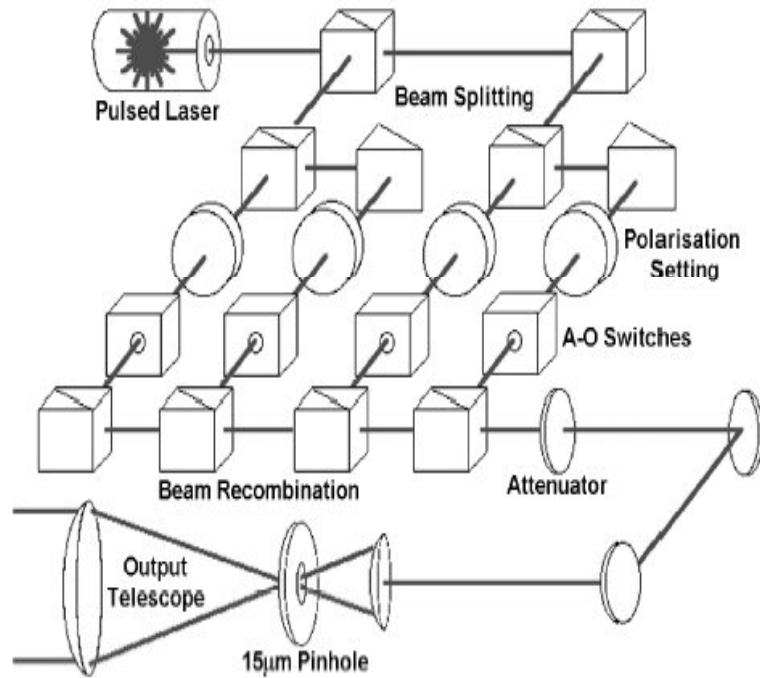
TE-TM converter:

Quantum Key Distribution (QKD) Bennett and Brassard 1984 protocol

Proc. IEEE Int. Conf. Computers, Systems and Signal Processing, 1984, pp. 175–179.

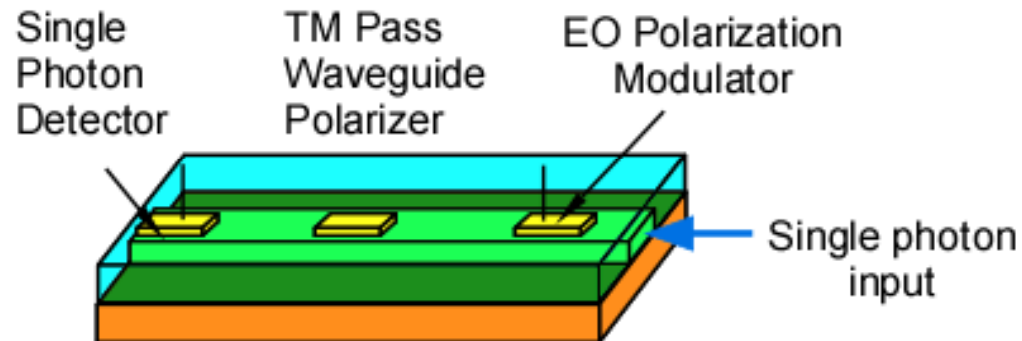


Quantum Key Distribution (QKD)

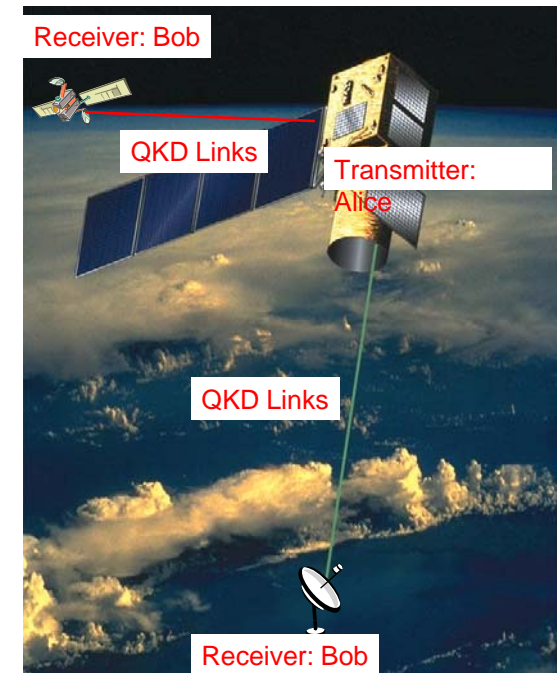


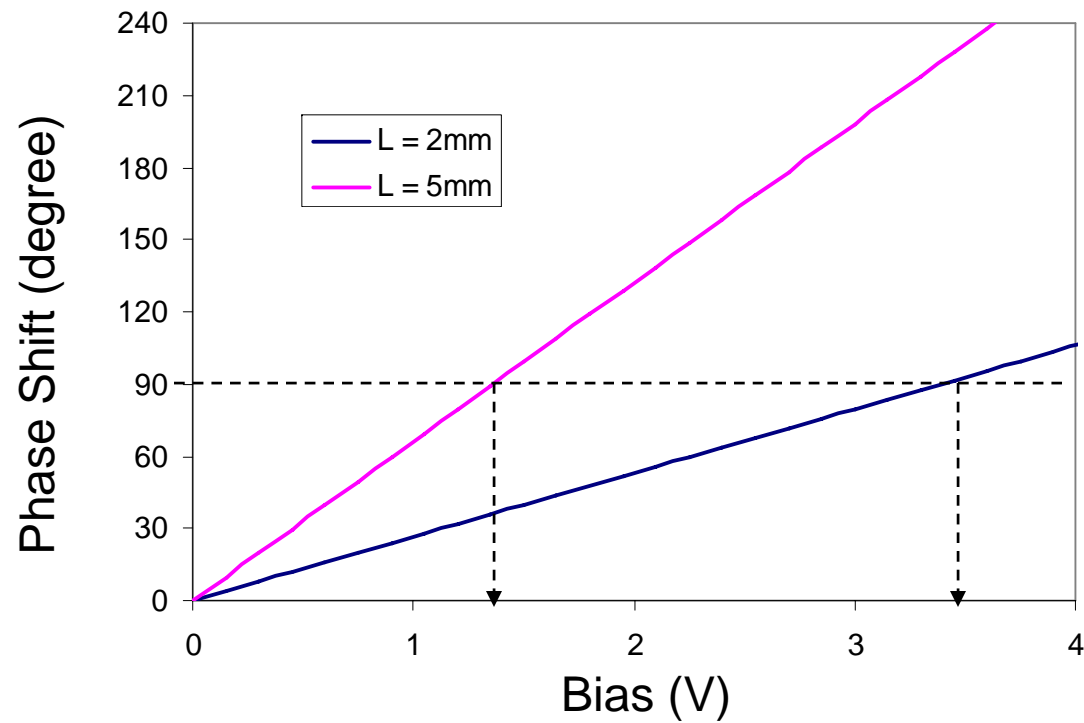
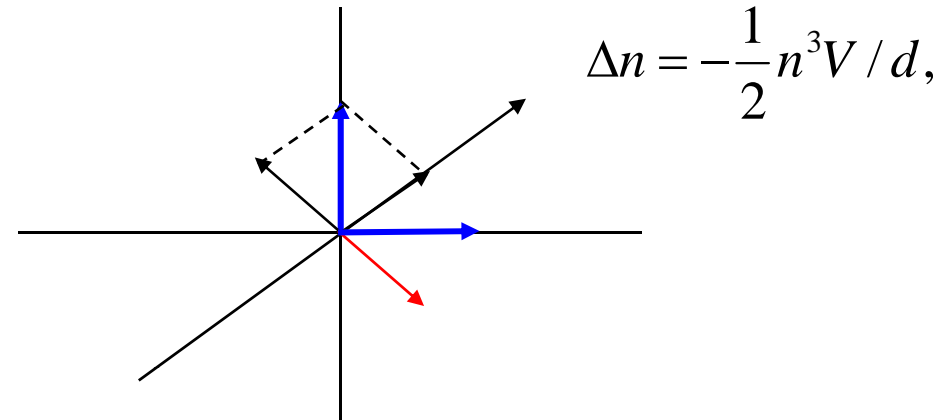
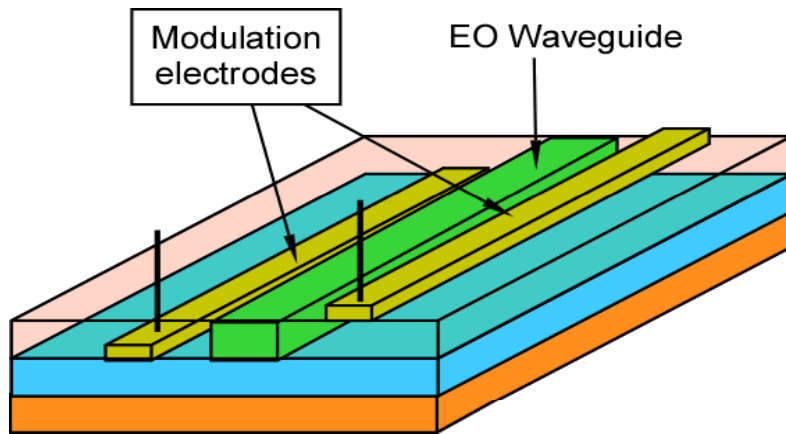
W. T. Buttler, et al. Physical Review A, Vol 57, 1998, pp. 2379-2382

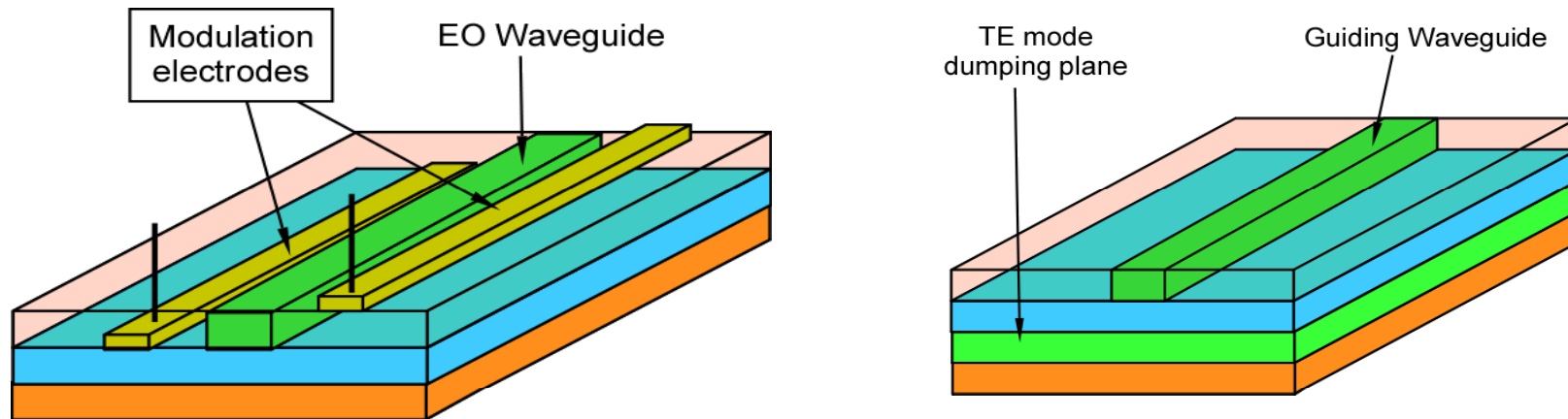
Integrated QKD Receiver



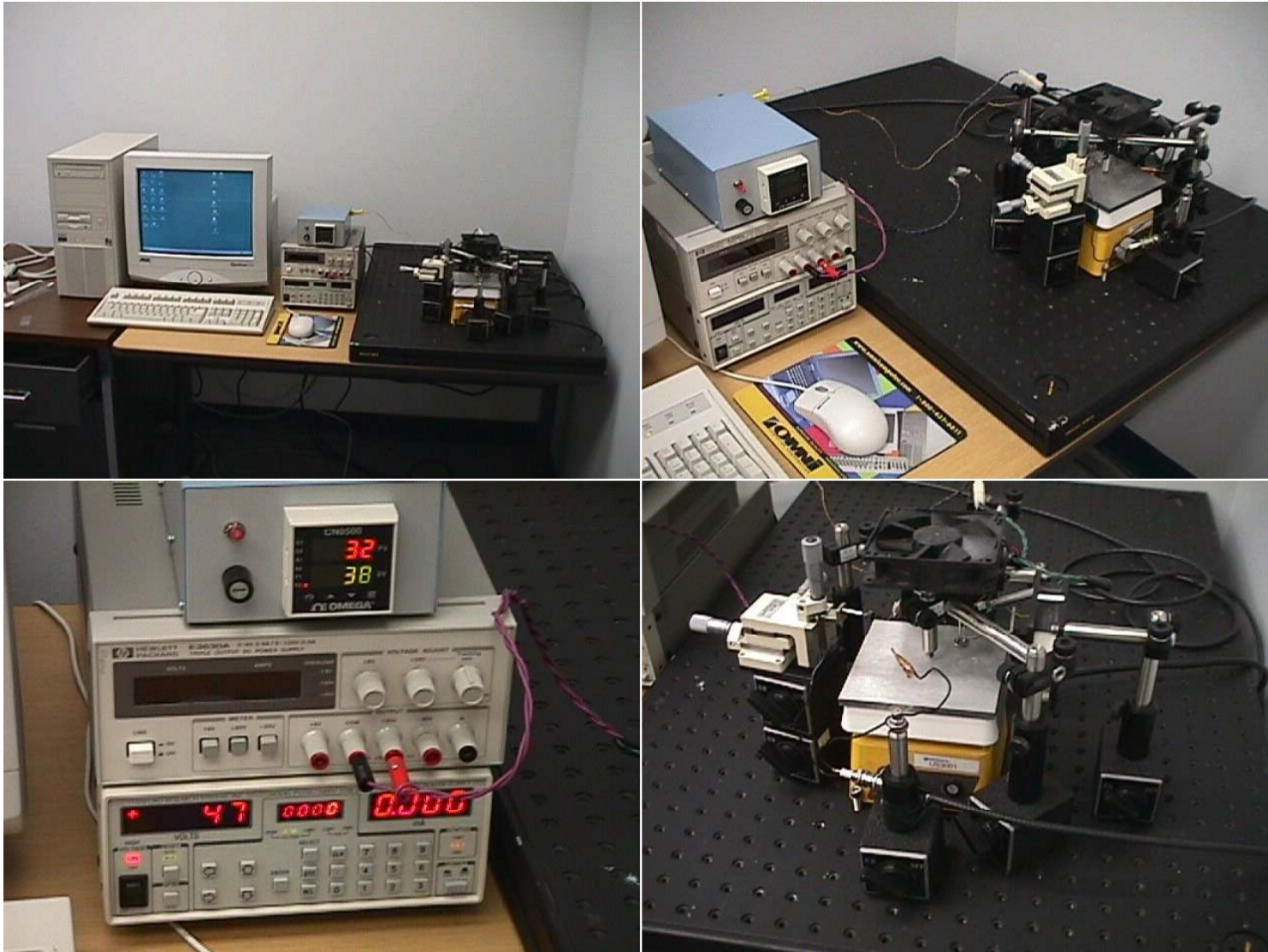
Input photon polarization states	Detection polarization basis	Final polarization states	Si single photon detector signal
TE	Linear	TE	0
TE	Circular	TE & TM	x
TM	Linear	TM	1
TM	Circular	TE & TM	x
Circular – left-hand	Linear	TE & TM	x
Circular – left-hand	Circular	TE	0
Circular – right-hand	Linear	TE & TM	x
Circular – right-hand	Circular	TM	1

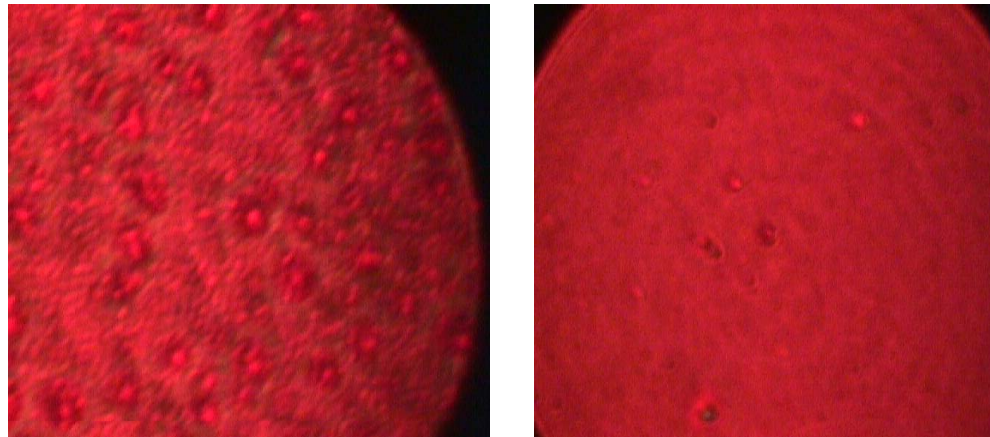
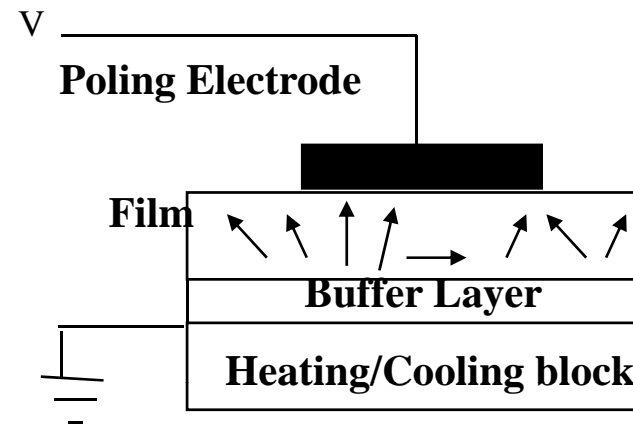
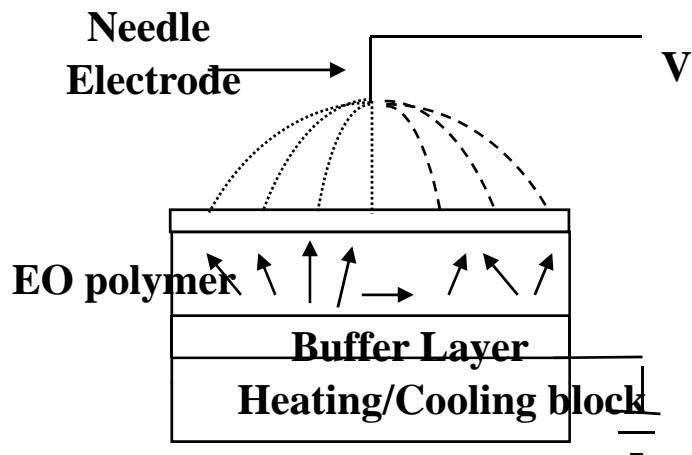






Input photon polarization states	Applied voltage	Detection polarization basis	Final polarization states	detected signal
TE	0	Linear	TE	0
TE	V	Circular	Circular	x
TM	0	Linear	TM	1
TM	V	Circular	Circular	x
Circular – left-hand	0	Linear	Circular	x
Circular – left-hand	V	Circular	TE	0
Circular – right-hand	0	Linear	Circular	x
Circular – right-hand	V	Circular	TM	1

Poling of polymer materials, contact poling and corona poling:

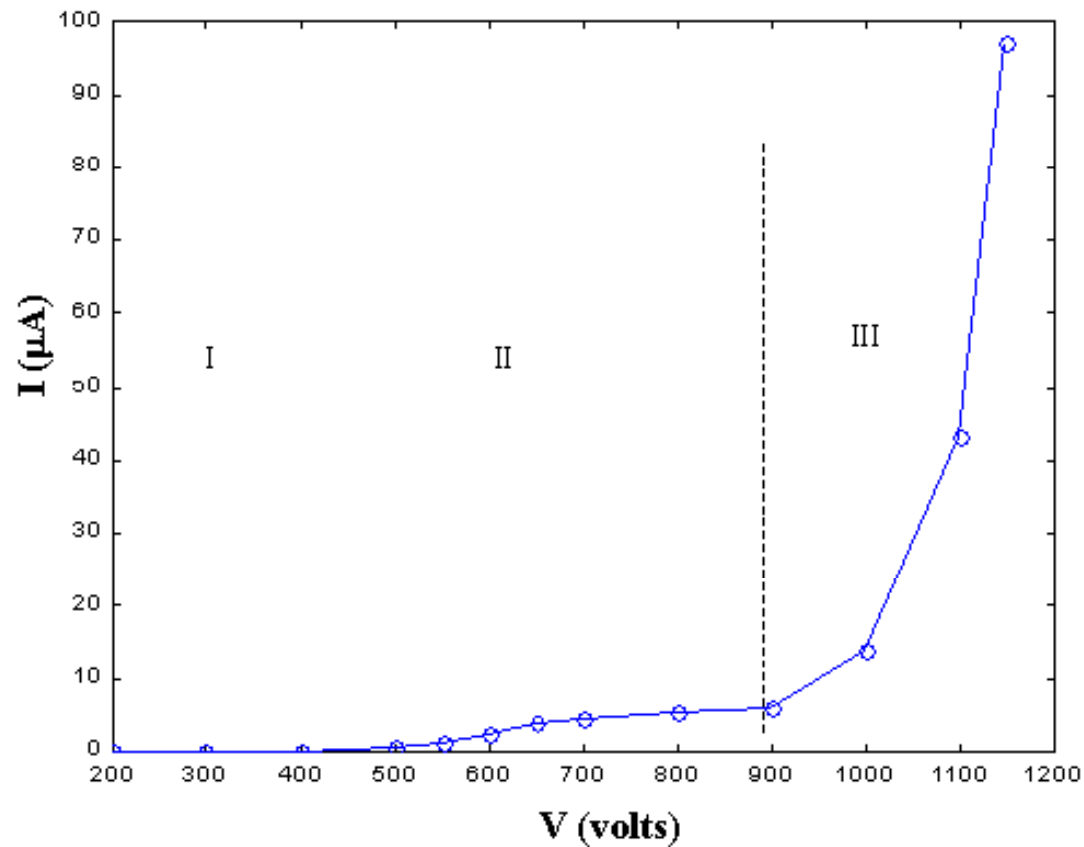


Advantages:

- Lower voltage $\sim 800V$
- Good film quality
- Uniform poling voltage
- Easy control of poling voltage
- Select poling area

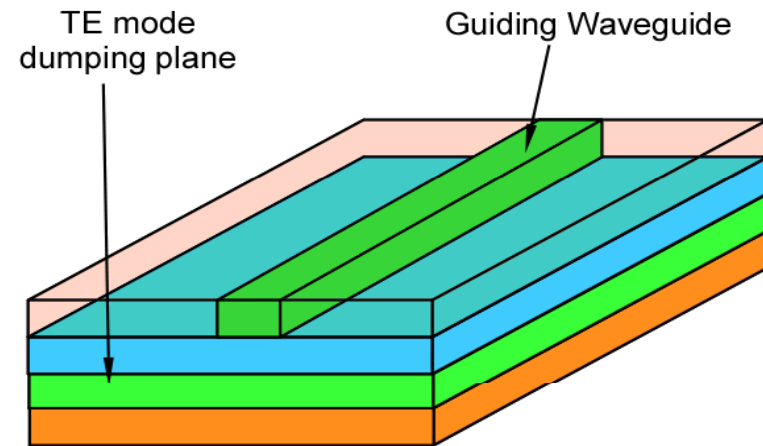
I-V curve during poling:

- Poling voltage : 900V
- EO coefficient $\sim 22\text{pm/V}$

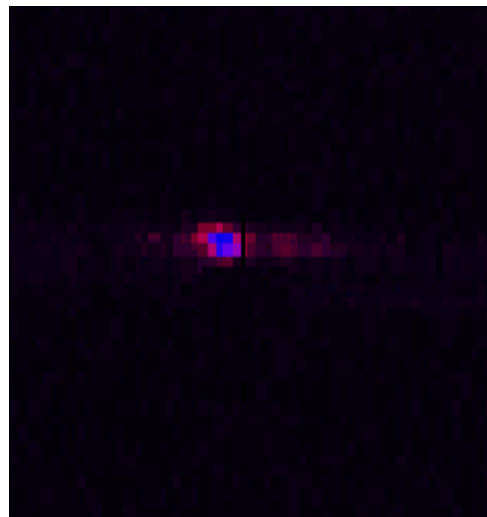


TM-pass waveguide Polarizer

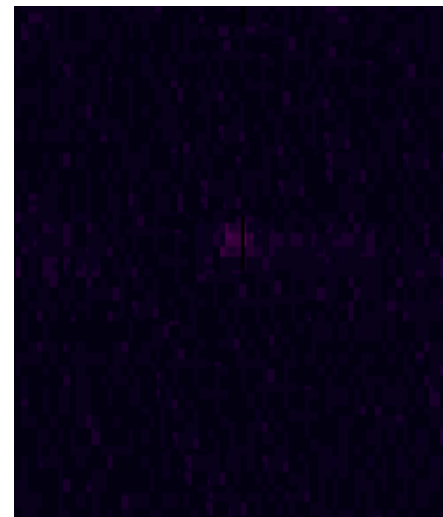
	Before poling	After poling
n (TE)	1.594	1.591
n (TM)	1.594	1.598

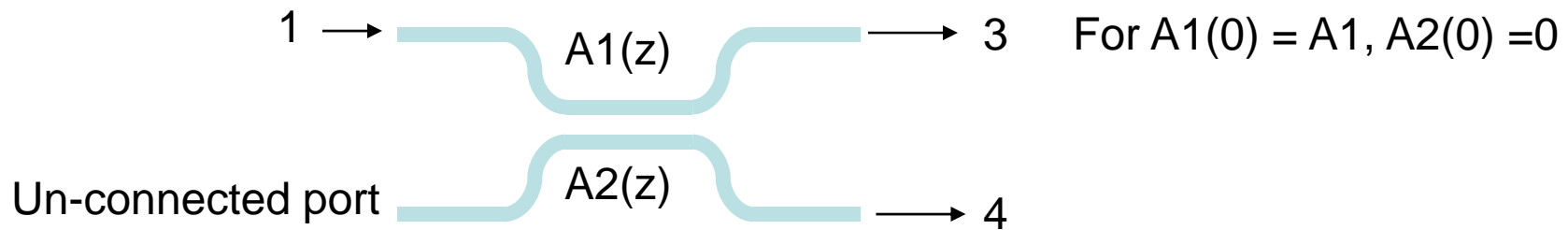


TM input



TE input



Directional coupler:

$$\frac{dA_1(z)}{dz} = i\kappa A_2(z)e^{i\Delta\beta z}$$

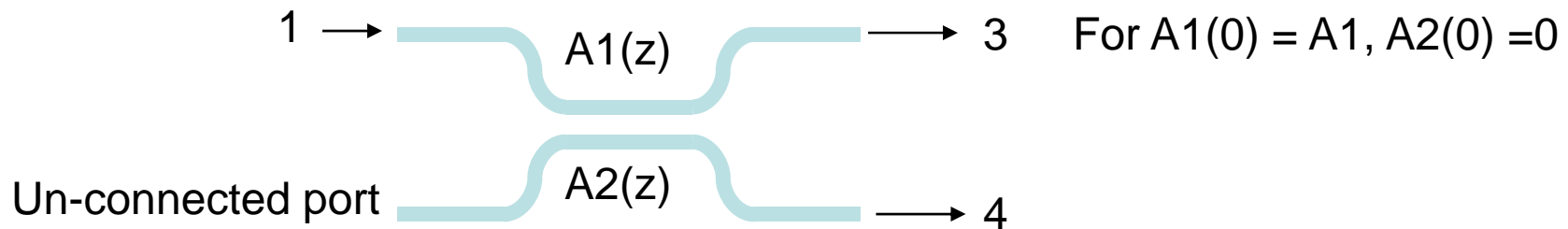
$$\frac{dA_2(z)}{dz} = i\kappa A_1(z)e^{-i\Delta\beta z}$$

$$\Delta\beta = \beta_2 - \beta_1$$

$$\frac{d}{dz} \left(e^{-i\Delta\beta z} \frac{dA_1(z)}{dz} \right) = i\kappa \frac{d}{dz} A_2(z) = -\kappa^2 A_1(z) e^{-i\Delta\beta z}$$

$$\frac{d^2 A_1(z)}{dz^2} - i\Delta\beta \frac{dA_1(z)}{dz} + \kappa^2 A_1(z) = 0$$

$$\frac{d^2 A_2(z)}{dz^2} + i\Delta\beta \frac{dA_2(z)}{dz} + \kappa^2 A_2(z) = 0$$

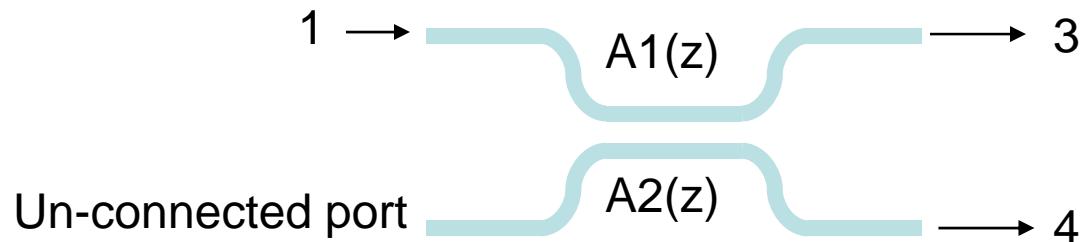
Directional coupler:

$$\frac{d^2 A_1(z)}{dz^2} - i\Delta\beta \frac{dA_1(z)}{dz} + \kappa^2 A_1(z) = 0$$

$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$\frac{d^2 A_2(z)}{dz^2} + i\Delta\beta \frac{dA_2(z)}{dz} + \kappa^2 A_2(z) = 0$$

$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(C \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$



$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

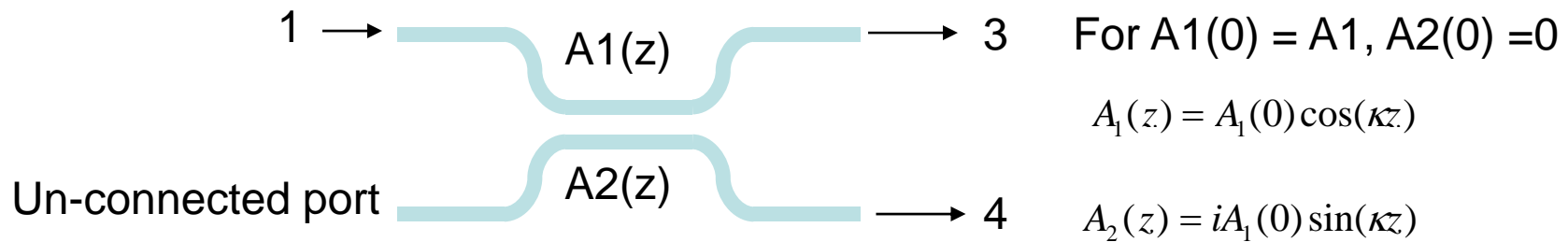
$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(C \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

For $A_1(0) = A_1$, $A_2(0) = 0$ $A_2(z) = e^{\frac{i\Delta\beta}{2}z} iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z)$

$$\begin{aligned} \frac{d}{dz} A_2(z) &= e^{\frac{i\Delta\beta}{2}z} iD \sqrt{(\Delta\beta/2)^2 + \kappa^2} \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) - D \frac{\Delta\beta}{2} e^{\frac{i\Delta\beta}{2}z} \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \\ &= i\kappa A_1(z) \end{aligned}$$

$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB e^{\frac{i\Delta\beta}{2}z} \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z)$$

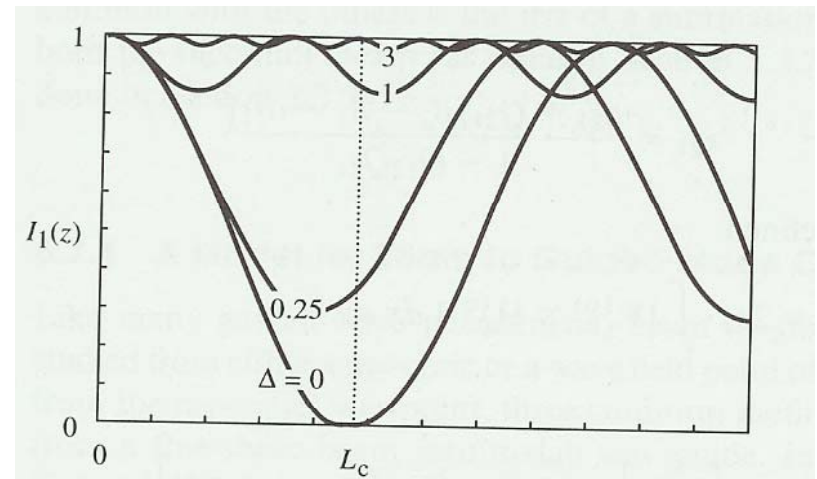
$$D = \frac{\kappa A_1(0)}{\sqrt{(\Delta\beta)^2 + 4\kappa^2}} \quad B = \frac{\frac{\Delta\beta}{2} A_1(0)}{\sqrt{(\Delta\beta)^2 + 4\kappa^2}}$$

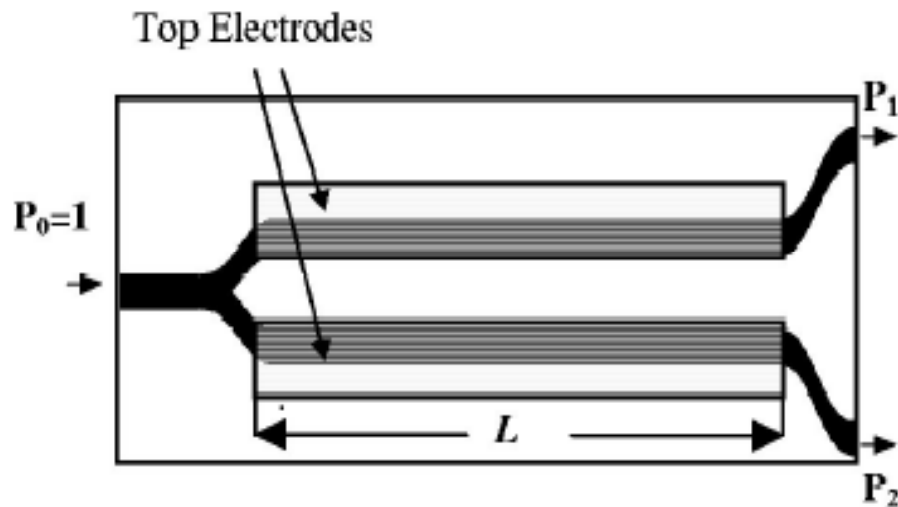
Directional coupler:

$$A_2(z) = e^{\frac{i\Delta\beta}{2}z} i \frac{\kappa A_1(0)}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z)$$

$$P_2 / P_0 = \frac{|\kappa|^2}{|\kappa|^2 + \left(\frac{\Delta\beta}{2}\right)^2} \sin^2 \left(\sqrt{|\kappa|^2 + \left(\frac{\Delta\beta}{2}\right)^2} L \right)$$

$$\Delta\beta = \frac{2\pi}{\lambda} \Delta n = \frac{2\pi}{\lambda} \left(-\frac{1}{2} n_0^3 r_{33} E_z \right),$$





$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(C \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

For $A_1(0) = A_2(0) = \frac{1}{2} A(0)$,

$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(A_2(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$\begin{aligned} \frac{d}{dz} A_1(z) &= e^{\frac{i\Delta\beta}{2}z} \left(-A_1(0) \sqrt{(\Delta\beta/2)^2 + \kappa^2} \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sqrt{(\Delta\beta/2)^2 + \kappa^2} \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right) \\ &\quad + \frac{i\Delta\beta}{2} e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right) \end{aligned}$$

$$\frac{dA_1(z)}{dz} = i\kappa A_2(z) e^{i\Delta\beta z} = i\kappa e^{\frac{i\Delta\beta}{2}z} \left(A_2(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$i\kappa A_2(0) = iB \sqrt{(\Delta\beta/2)^2 + \kappa^2} + \frac{i\Delta\beta}{2} A_1(0)$$

$$B = \frac{\kappa - \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_2(0)$$

$$\frac{d}{dz} A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(-A_1(0) \sqrt{(\Delta\beta/2)^2 + \kappa^2} \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sqrt{(\Delta\beta/2)^2 + \kappa^2} \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right) \\ + \frac{i\Delta\beta}{2} e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

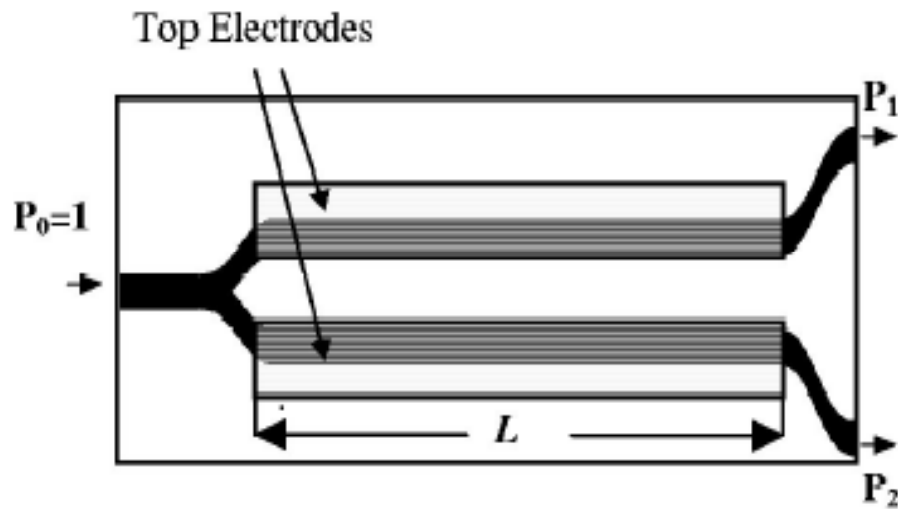
$$\frac{dA_1(z)}{dz} = i\kappa A_2(z) e^{i\Delta\beta z} = i\kappa e^{\frac{i\Delta\beta}{2}z} \left(A_2(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(A_2(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$B = \frac{\kappa - \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_1(0)$$

$$D = \frac{\kappa + \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_1(0)$$

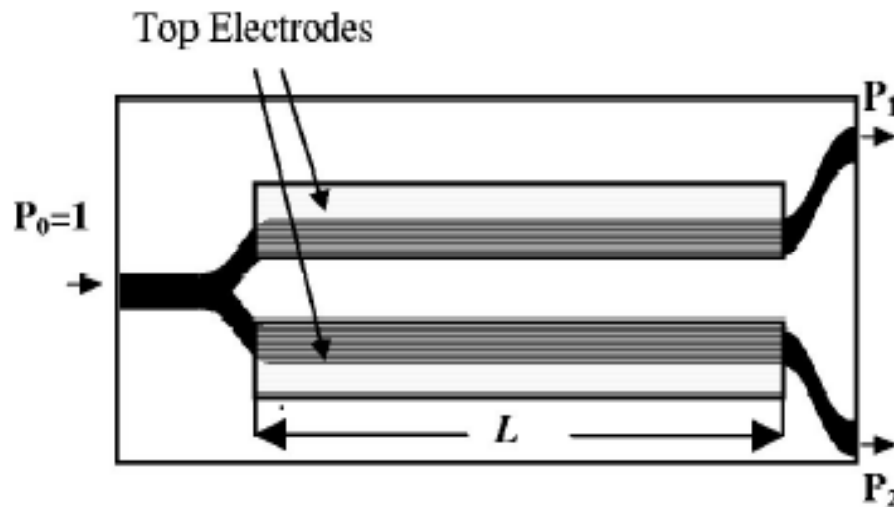


$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(A_2(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$B = \frac{\kappa - \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_1(0)$$

$$D = \frac{\kappa + \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_1(0)$$



When $\Delta\beta = 0$,

$$A_1(z) = A_1(0)(\cos(\kappa z) + i \sin(\kappa z))$$

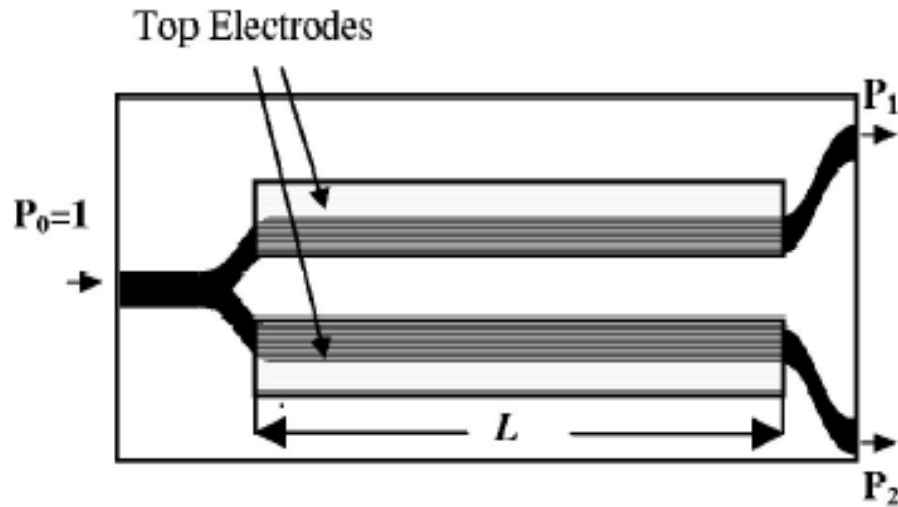
$$A_2(z) = A_1(0)(\cos(\kappa z) + i \sin(\kappa z))$$

$$A_1(z) = e^{\frac{i\Delta\beta}{2}z} \left(A_1(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iB \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$A_2(z) = e^{-\frac{i\Delta\beta}{2}z} \left(A_2(0) \cos(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + iD \sin(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

$$B = \frac{\kappa - \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_1(0)$$

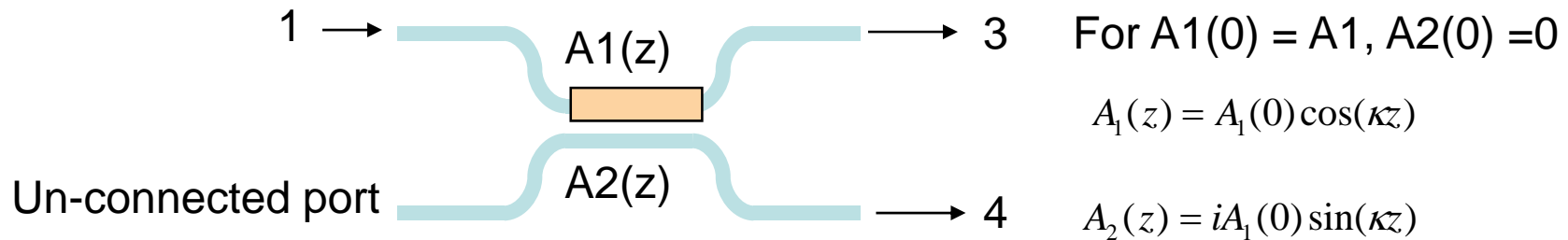
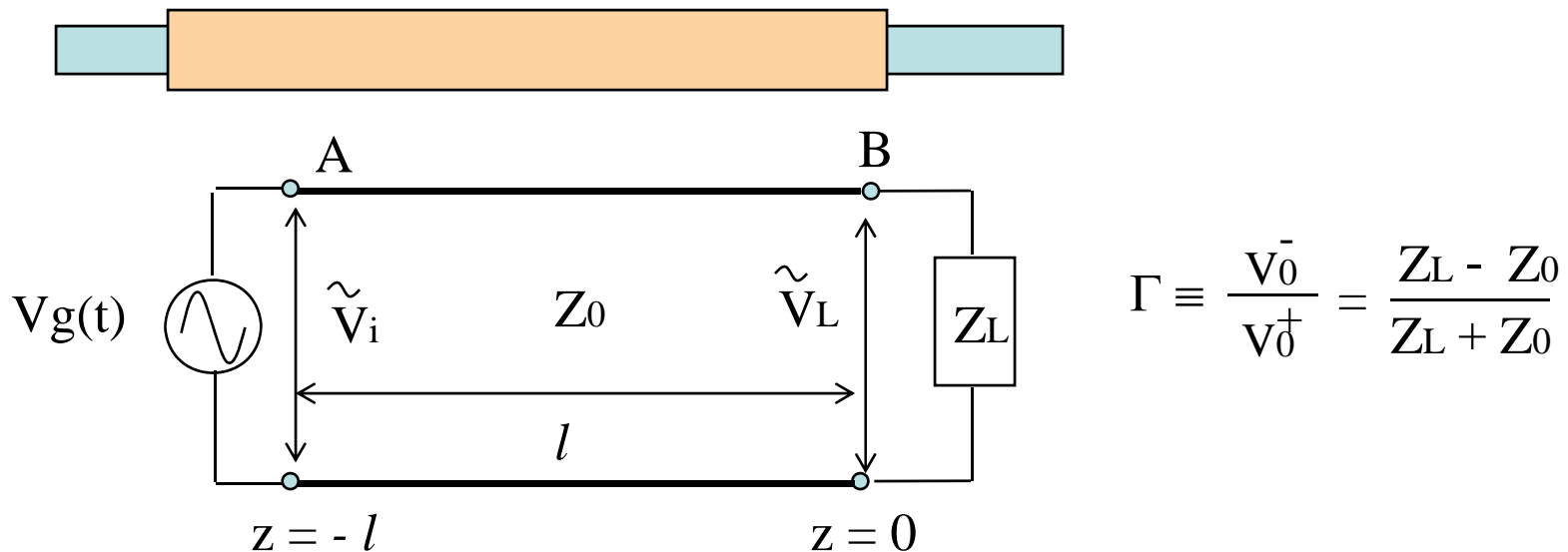
$$D = \frac{\kappa + \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} A_1(0)$$



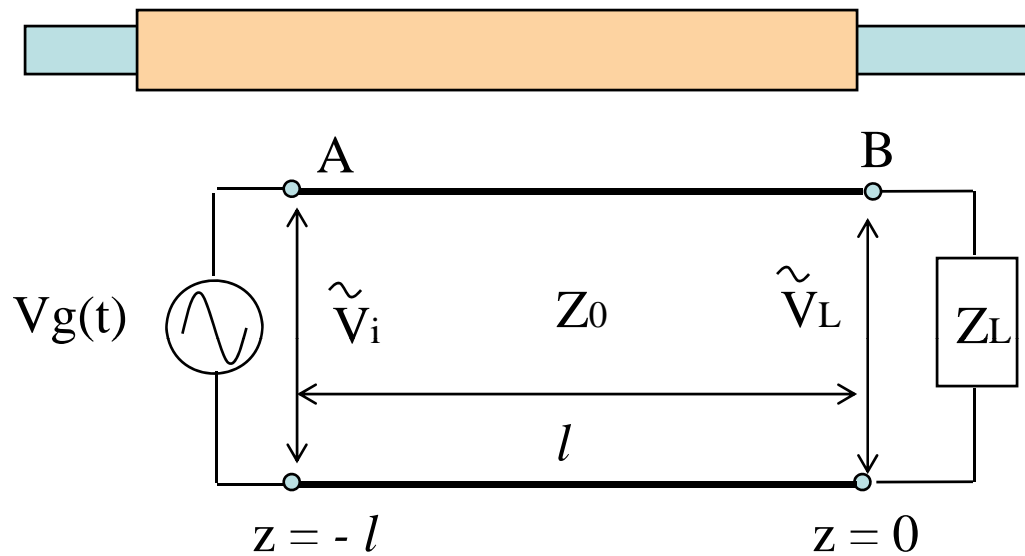
When $\Delta\beta \neq 0$,

$$P_1(z) = P_1(0) \left(\cos^2(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + \left(\frac{\kappa - \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} \right)^2 \sin^2(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

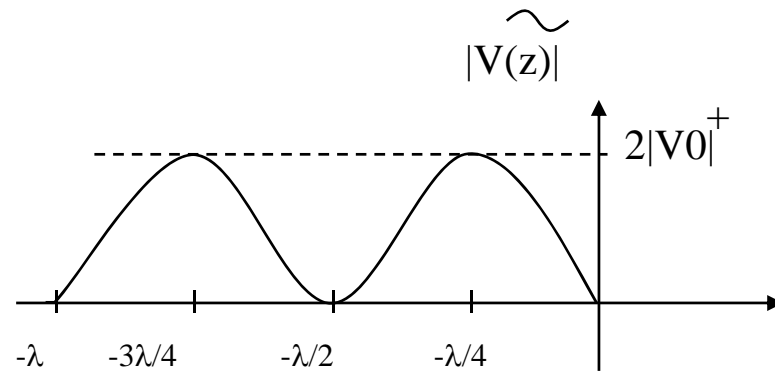
$$P_2(z) = P_2(0) \left(\cos^2(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) + \left(\frac{\kappa + \frac{\Delta\beta}{2}}{\sqrt{(\Delta\beta/2)^2 + \kappa^2}} \right)^2 \sin^2(\sqrt{(\Delta\beta/2)^2 + \kappa^2} z) \right)$$

Traveling-wave electrodes:For high-speed electrode using transmission lines:

Standing waves for impedance mismatching:



$$\Gamma \equiv \frac{V_0^-}{V_0^+} = \frac{Z_L - Z_0}{Z_L + Z_0}$$

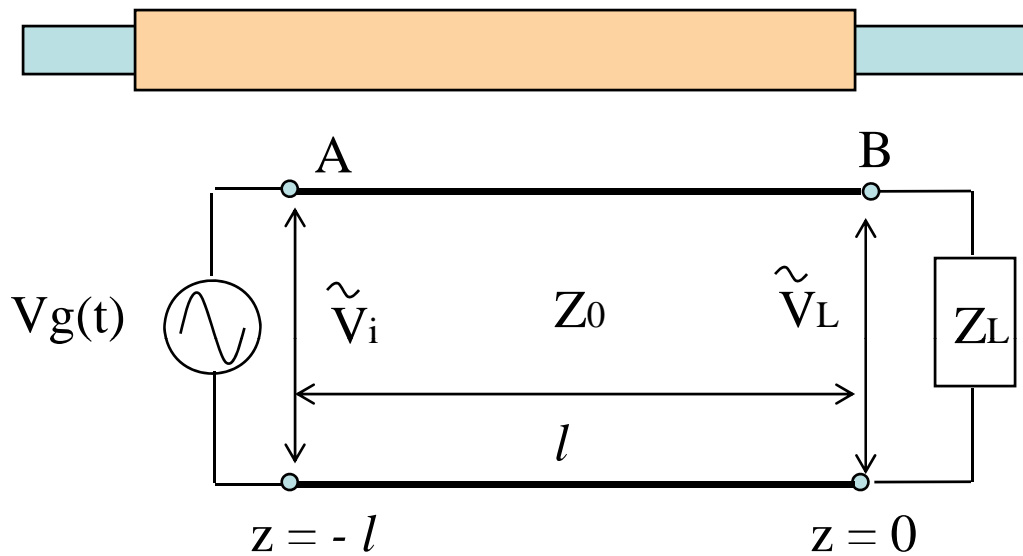


Standing waves

$$\Delta n = -\frac{1}{2} n_0^3 r_{33} E_z,$$

Cancelled

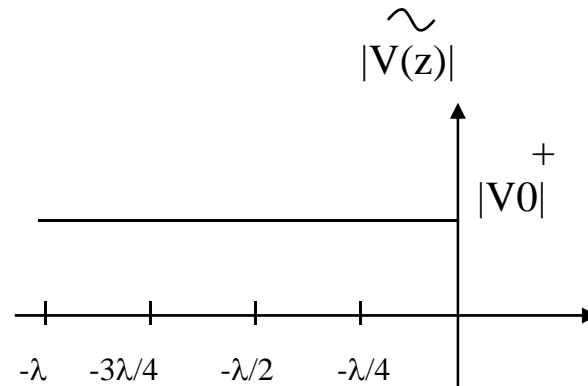
Traveling waves for impedance matching:



$$\Gamma \equiv \frac{V_0^-}{V_0^+} = \frac{Z_L - Z_0}{Z_L + Z_0}$$

traveling waves

$$\Delta n = -\frac{1}{2} n_0^3 r_{33} E_z,$$



Kerr effect : $\Delta n = \lambda K E_a^2$

TABLE 7.2 Pockels (r) and Kerr (K) coefficients in various materials.

Material	Crystal	Indices	Pockels Coefficients $\times 10^{-12}$ m/V	K m/V ²	Comment
LiNbO ₃	Uniaxial	$n_o = 2.272$ $n_e = 2.187$	$r_{13} = 8.6; r_{33} = 30.8$ $r_{22} = 3.4; r_{51} = 28$		$\lambda = 500$ nm
KDP	Uniaxial	$n_o = 1.512$ $n_e = 1.470$	$r_{41} = 8.8; r_{63} = 10.5$		$\lambda \approx 546$ nm
GaAs	Isotropic	$n_o = 3.6$	$r_{41} = 1.5$		$\lambda \approx 546$ nm
Glass	Isotropic	$n_o \approx 1.5$	0	3×10^{-15}	
Nitrobenzene	Isotropic	$n_o \approx 1.5$	0	3×10^{-12}	

EXAMPLE 7.5.2 Kerr Effect Modulator

Suppose that we have a glass rectangular block of thickness (d) 100 μm and length (L) 20 mm and we wish to use the Kerr effect to implement a phase modulator in a fashion depicted in Figure 7.22. The input light has been polarized parallel to the applied field E_a direction, along the z -axis. What is the applied voltage that induces a phase change of π (half-wavelength)?

Solution The phase change $\Delta\phi$ for the optical field E_z is

$$\Delta\phi = \frac{2\pi\Delta n}{\lambda} L = \frac{2\pi(\lambda K E_a^2)}{\lambda} L = \frac{2\pi L K V^2}{d^2}$$

For $\Delta\phi = \pi$, $V = V_{\lambda/2}$,

$$V_{\lambda/2} = \frac{d}{\sqrt{2LK}} = \frac{(100 \times 10^{-6})}{\sqrt{2(20 \times 10^{-3})(3 \times 10^{-15})}} = 9.1 \text{ kV!}$$

Although the Kerr effect is fast, it comes at a costly price. Note that K depends on the wavelength and so does $V_{\lambda/2}$.

Applications of Kerr effect :

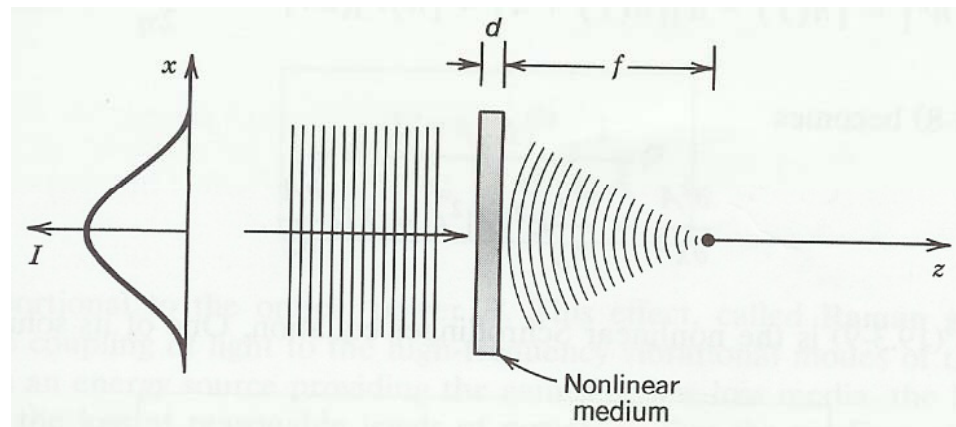
$$\Delta n = \lambda K E^2 \propto I = n_2 I,$$

- Self-phase modulation

$$\Delta\phi = 2\pi n_2 \frac{L}{\lambda_0 A} P, \quad P_{\pi} = \lambda_0 A / 2Ln_2.$$

$$A = 10^{-2} \text{ mm}^2, \quad n_2 = 10^{-10} \text{ cm}^2/\text{W} \quad L = 1 \text{ m}, \quad P_{\pi} \approx 0.5 \text{ W}.$$

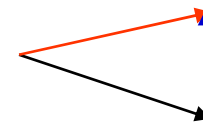
- Self-Focusing



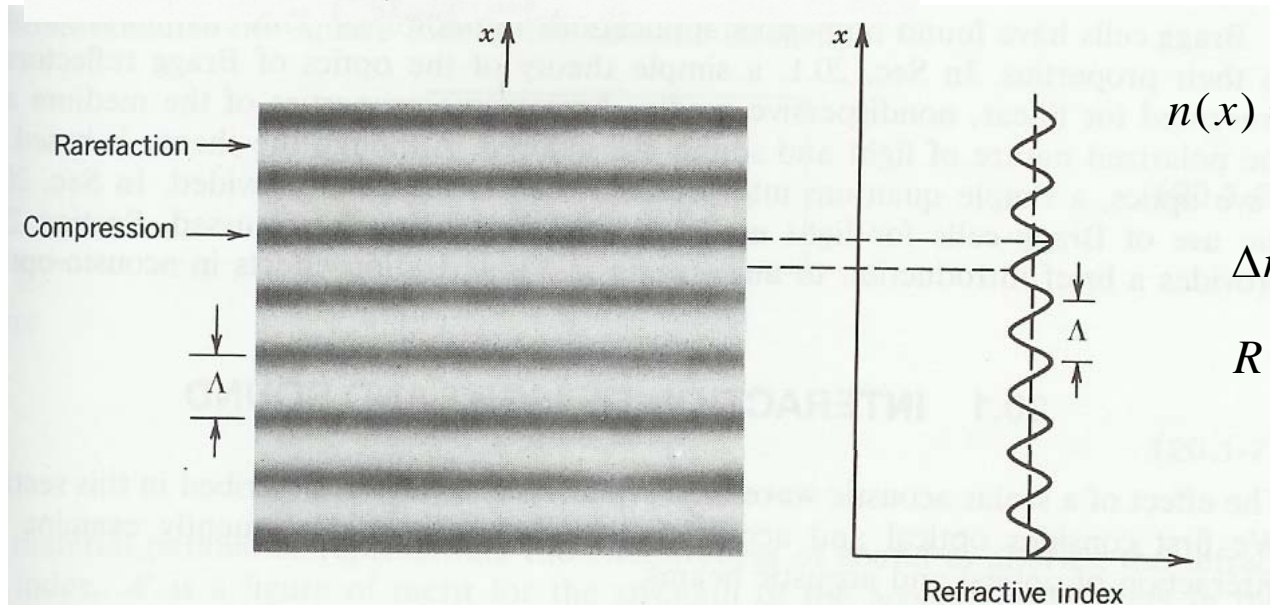
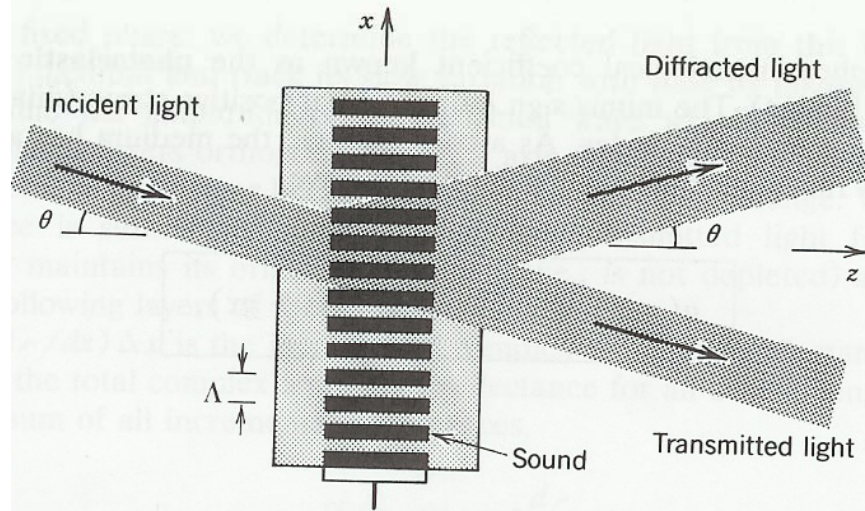
Acoustic-optic Modulators

- Bragg condition:

$$\sin \theta = \frac{\lambda}{2\Lambda},$$



$$2 \frac{2\pi}{\lambda} \sin \theta = \frac{2\pi}{\Lambda},$$



$$n(x) = n_0 - \Delta n_0 \cos(\Omega t - \frac{2\pi}{\Lambda} x),$$

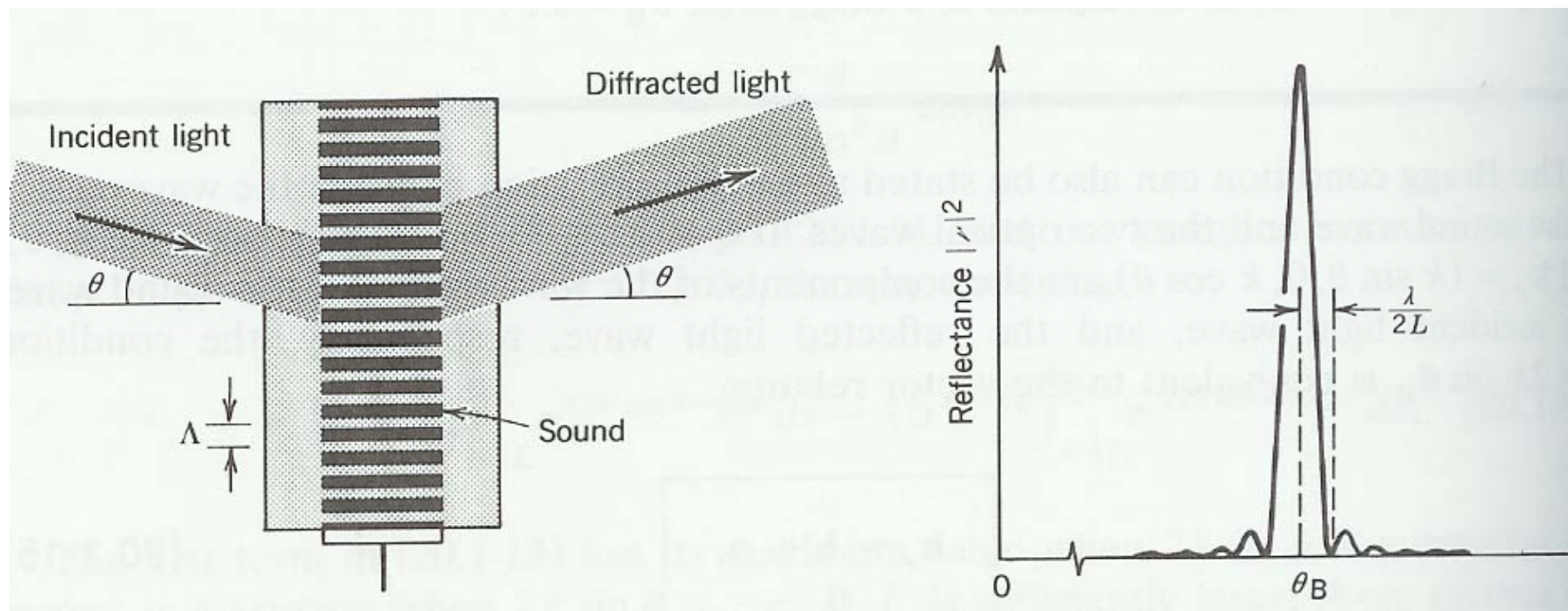
$$\Delta n \propto I_s^{\frac{1}{2}},$$

$$R \propto \Delta n^2 \propto I_s,$$

Doppler shift

$$\omega_r = \omega + \Omega.$$

Angle mismatch

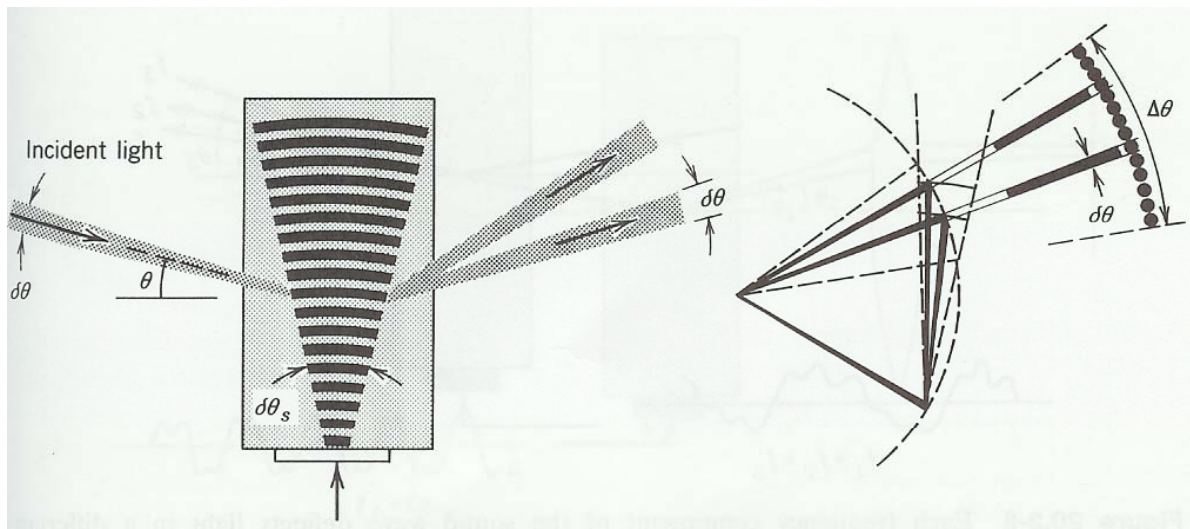


Acoustic –optics devices

- Modulator

Bandwidth: $\sin \theta \approx \theta \approx \frac{\lambda}{2\Lambda} = \frac{\lambda}{2v_s} f$, $\delta\theta = \frac{\lambda}{v_s} B = \frac{\lambda}{D}$,

- Beam Scanner

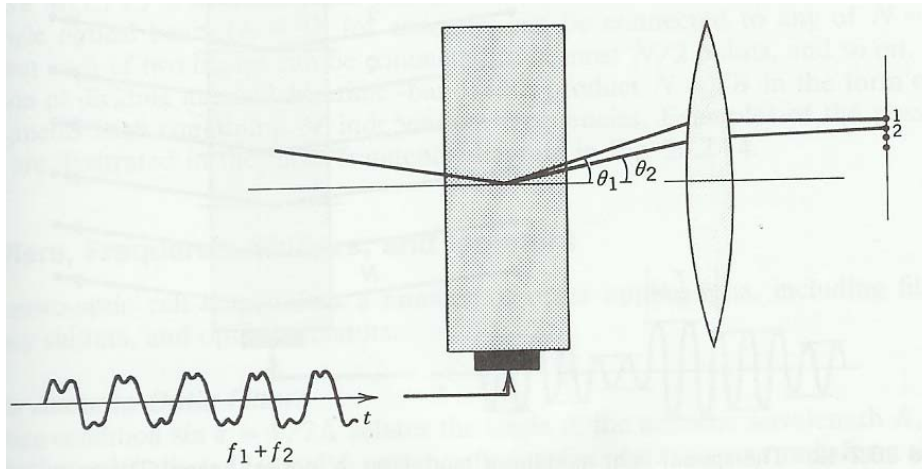


Number of resolvable spots:

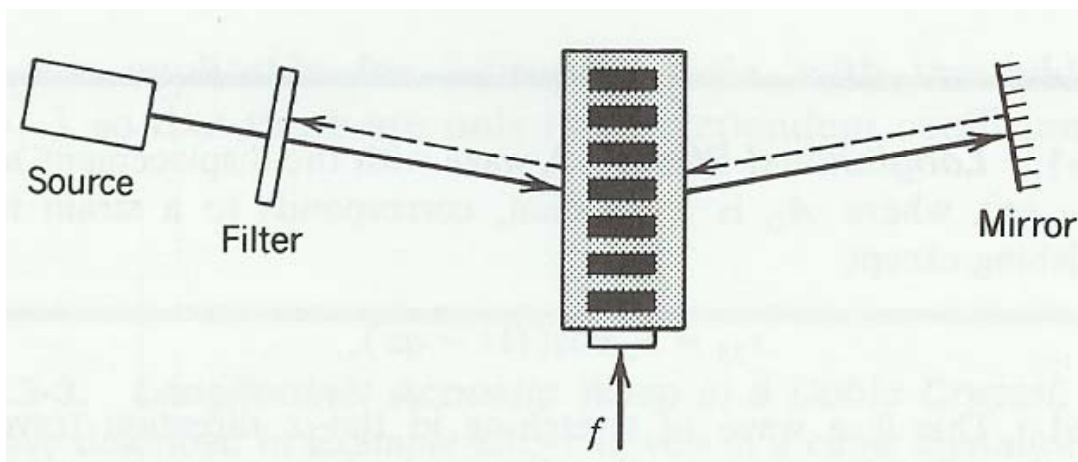
$$N = \frac{\Delta\theta}{\delta\theta}$$

Acoustic –optics devices

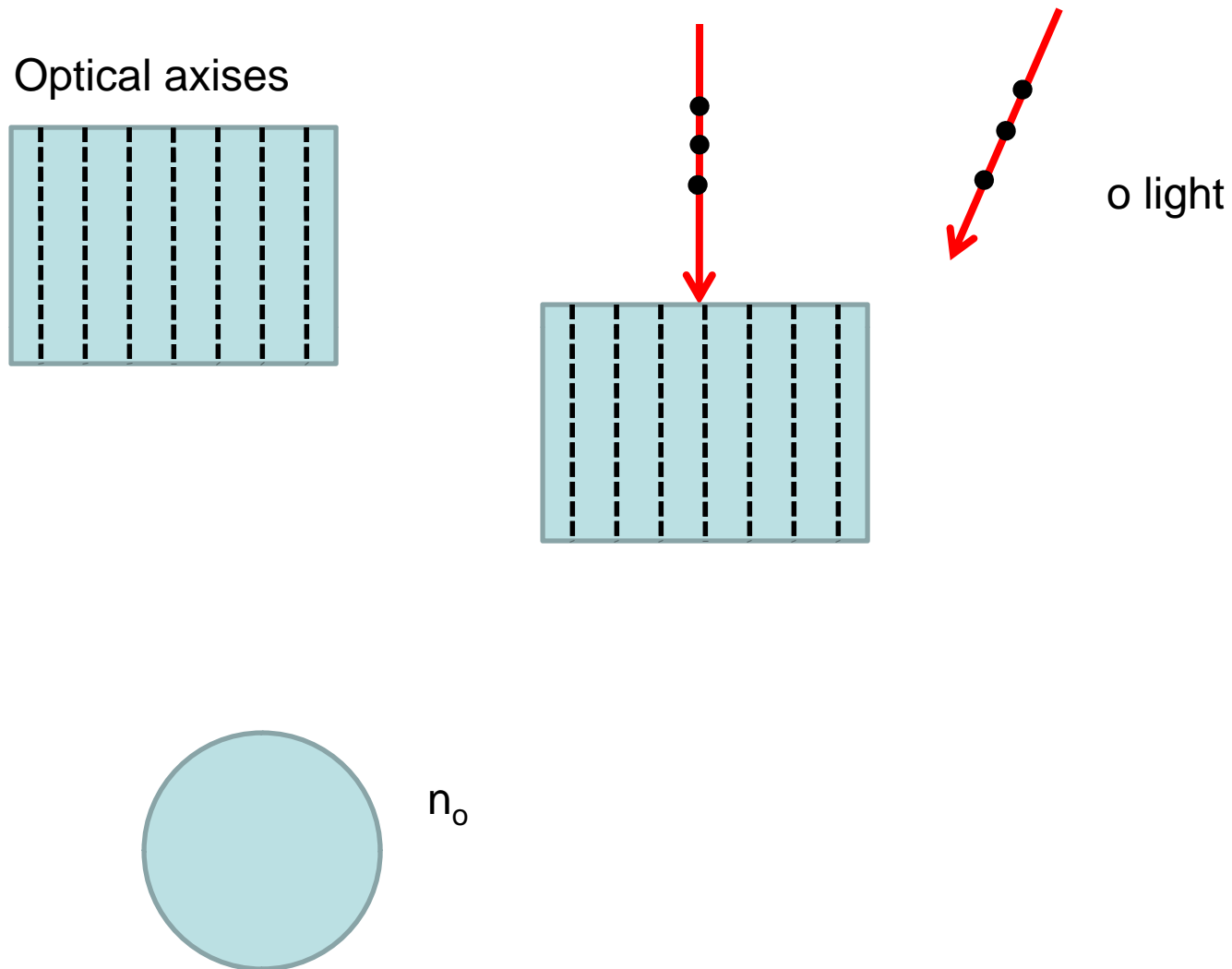
- Free space inter-connector



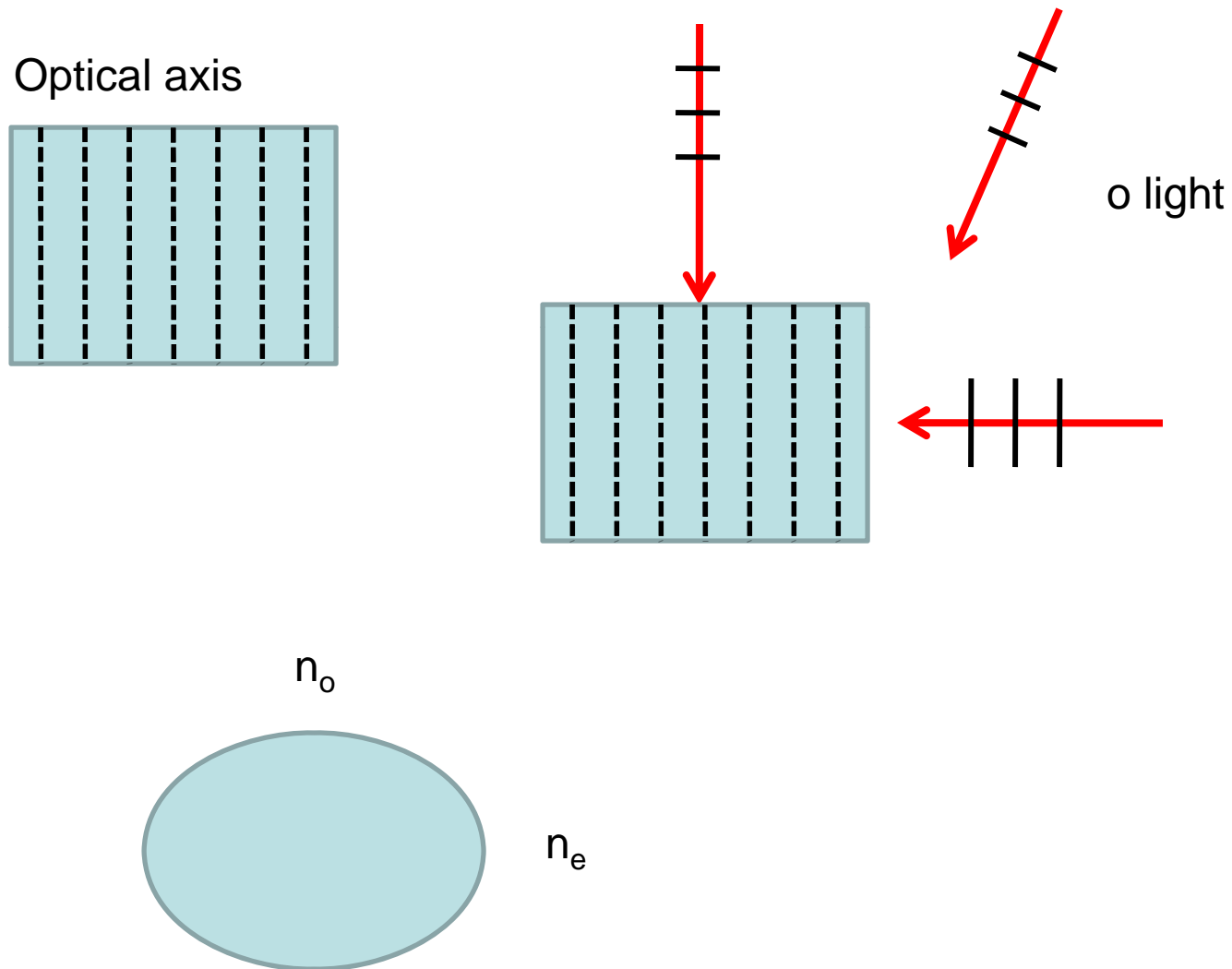
- Isolator



Light propagation in anisotropic crystals

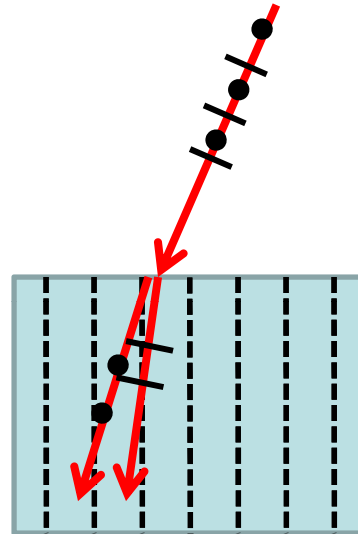
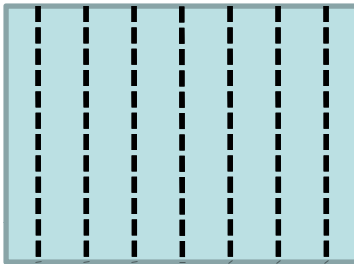


Light propagation in anisotropic crystals



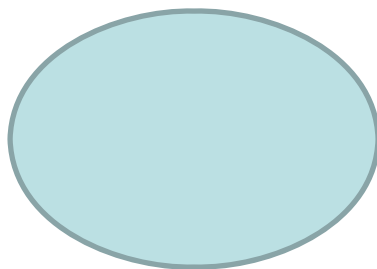
Light propagation in anisotropic crystals

Optical axis



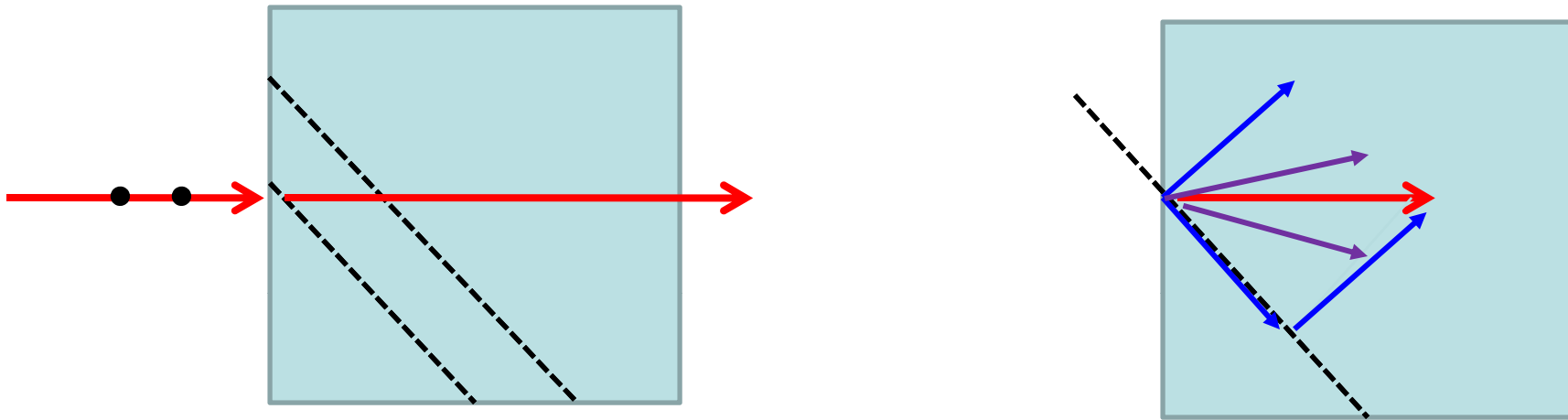
Polarization beam splitter

n_o

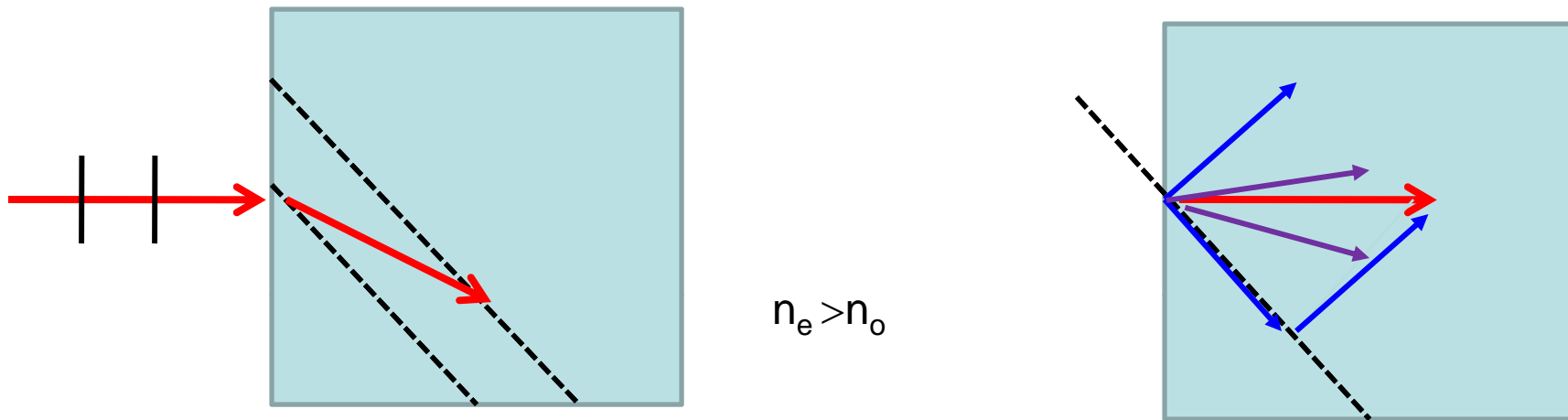


n_e

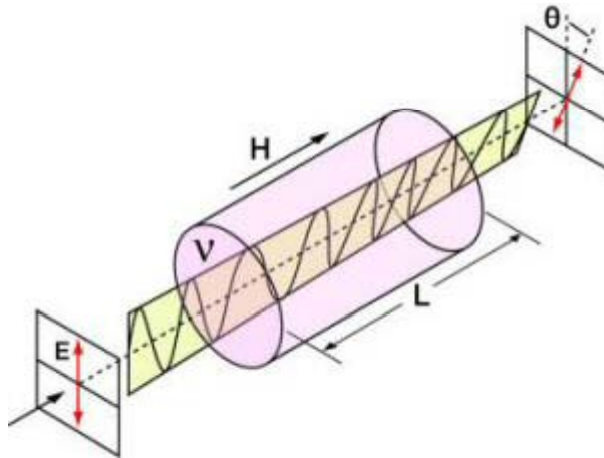
Light propagation in anisotropic crystals



Light propagation in anisotropic crystals



Faraday rotator



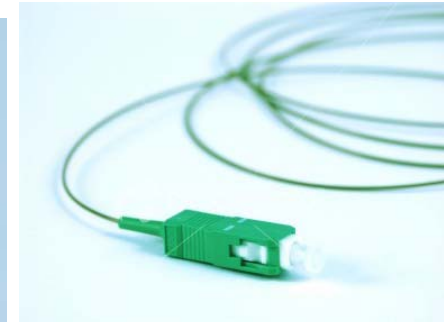
Fiber-optics devices

- Coupler

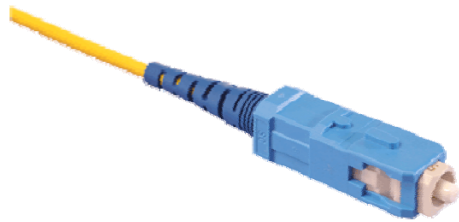
FC/PC



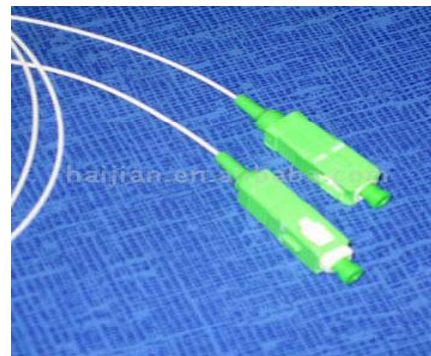
FC/APC



SC/PC



SC/APC



Circulator

