Skoog – Chapter 7 Components of Optical Instruments

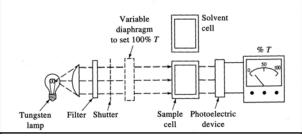
- General Design of Optical Instruments
- Sources of Radiation
- Wavelength Selectors
- Sample Containers
- Radiation Transducers (Detectors)
- Signal Processors and Readouts
- Fiber Optics

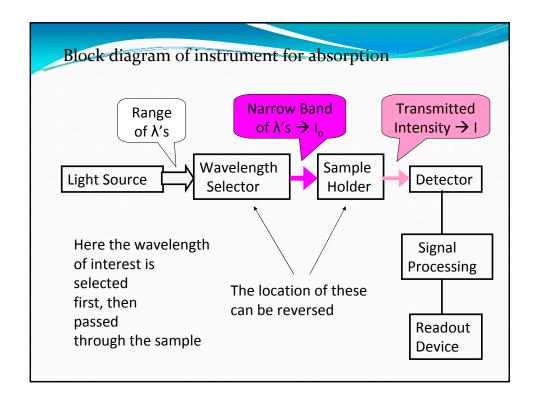
Optical spectroscopic based on 6 phenomena:

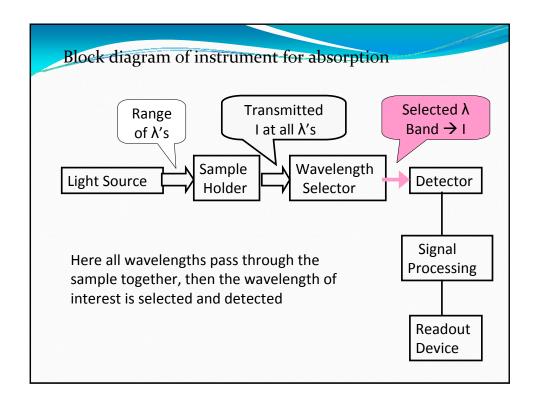
- Adsorption
- Fluorescence
- Phosphorescrence
- Scattering
- Emission
- Chemiluminscrence

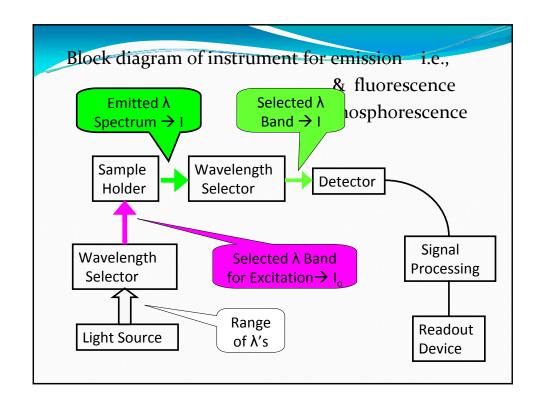
Absorption measurements require:

- 1) source of radiation
- 2) device for dispersing radiation into component wavelengths
- 3) a means of putting sample into the optical path, i.e., cell
- 4) Detector to convert the EM to an electrical signal
- 5) readout device or circuitry, i.e., meter, computer, recorder, integrator, etc.









Emission measurements require:

- 1) means of exciting emission i.e., way of populating upper energy level which spontaneously emits
- 2) device for dispersing radiation into component wavelengths
- 3) a means of putting sample into the optical path, i.e., cell
- 4) Detector to convert the EM to an electrical signal
- 5) readout device or circuitry, i.e., meter, computer, recorder, integrator, etc.

The requirements for the various components used in different instruments change with the type of spectroscopy as well as for different kinds of measurements within a type of spectroscopy

We will consider the components separately then combine them to make the overall instrument

And finally look at the measurements with regard to theory and practice

Radiation Source

Sufficient energy for easy detection and measurement Stable for a reasonable period

Regulated power source (radiant power source varies with voltage power supply)

Double beam design, detection intensity of two beams simultaneously

Sources - important characteristics

- Spectral distribution i.e., intensity vs. λ
 (continuum vs. line sources)
- 2) Intensity
- 3) Stability short term fluctuations (noise), long term drift
- 4) Cost
- 5) Lifetime
- 6) Geometry match to dispersion device

CONTINUUM SOURCES

Absorption and Fluorescence spectroscopy

UV region deuterium

High pressure gas-filled lamp contains argon, xenon or mercury for high intensity source

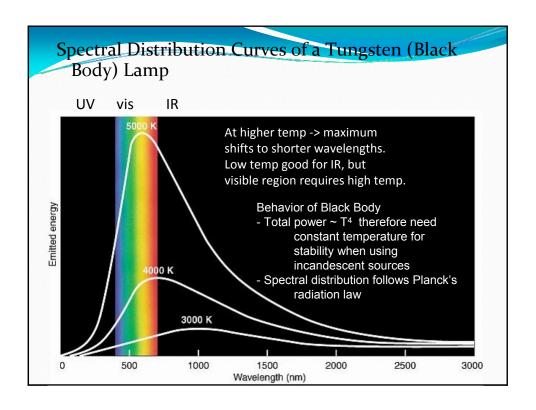
Visible region

Tungsten filament lamp

IR region

Inert solid heat to 1500-2000k

<u>Thermal radiation</u> (incandescence) – heated solid emits radiation close to the theoretical "Black Body" radiation i.e., perfect emitter, perfect absorber



IR Region thermal sources (Black Body) are:

- a) Nernst Glower fused mixture of ZrO₂, Y₂O₃, and ThO₂ normally operated at 1900 °C better for shorter IR λ's (near IR)
- b) <u>Globar</u> silicon carbide normally operated at 1200 to 1400 °C better at longer IR λ's (doesn't approach Black Body) 1-40μm
- Incandescent Wire e.g., nichrome wire cheapest way

All operated at relatively low temperature.

- Good for IR and give some visible emission.
- Operated in air so will burn up if temp goes too high

Advantages

- Nernst Glower low power consumption, operates in air, long lifetime
- Globar more stable than Nernst Glower, requires more power & must be cooled. Long lifetime, but resistance changes with use

Visible Region sources are:

- a) Glass enclosed Tungsten (W) filament normally operated at ~3000 °K with inert atmosphere to prevent oxidation. Useful from 350 nm to 2000 nm, below 350 nm glass envelope absorbs & emission weak
- b) Tungsten-Halogen lamps can be operated as high as 3500 °K. More intense (high flux). Function of halogen is to form volatile tungstenhalide which redeposits W on filament, i.e., keeps filament from burning out. Requires quartz envelope to withstand high temps (which also transmits down to shorter wavelengths). Fingerprints are a problem also car headlights

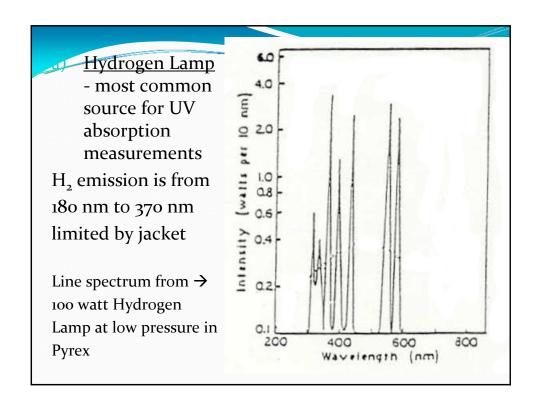
Gas Discharge Lamps – two electrodes with a current between them in a gas filled tube. Excitation results from electrons moving through gas. Electrons collide with gas → excitation → emission

At high pressure → "smearing" of energy levels → spectrum approaches continuum

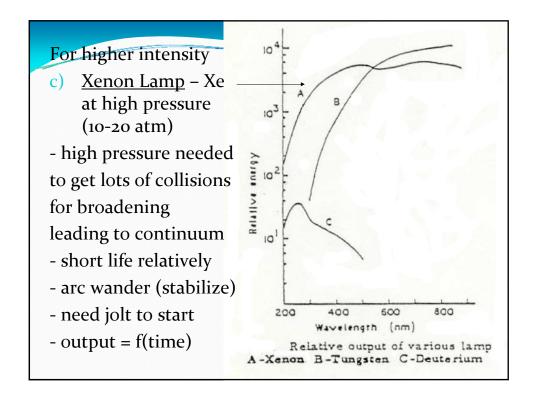
The higher the pressure, the greater the probability that any given molecule or atom will be perturbed by its neighbor at the moment of emission.

D₂ +E electrical -- \rightarrow D₂* -- \rightarrow D(KE₁) + D(KE₂) +h υ KE₁ +KE₂ + h υ = E electrical -BDE

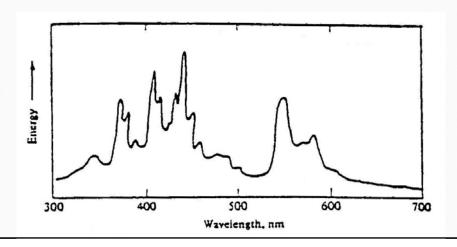
bond dissociation energy



Deuterium <u>Lamp</u> – same λ distribution as 103 H, but with higher intensity (3 to 5 times) -D₂ is a heavier molecule & moves slower so there is less loss of energy by collisions 200 400 600 800 High pressure $D_2 \rightarrow$ Wavelength (nm) with quartz jacket Relative output of various lamp A-Xenon B-Tungsten C-Deuterium



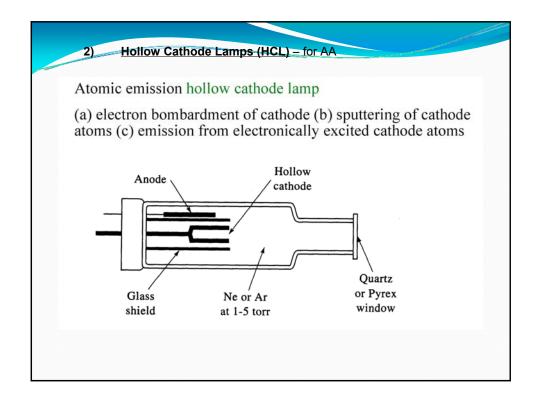
d) <u>High Pressure Mercury Lamp</u> - can't completely eliminate bands associated with particular electronic transitions even at very high pressures (e.g., 100 atm)



- For UV-vis absorption spectrophotometry usually use H₂ for UV and tungsten for visible region (switching mid scan)
- Sometimes use D₂ instead of H₂
- For fluorescence spectrophotometry use xenon arc lamp in scanning instruments
- Can use He below 200 nm
- Hg at low pressure is used in fixed wavelength (non scanning) fluorometers
- Can use mixture of Hg and Xe

LINE SOURCES

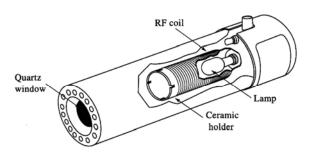
- Gas (Vapor) Discharge Lamps at low pressure (i.e., few torr) minimize collisional interaction so get line spectrum
 - most common are Hg and Na
 - often used for λ calibration
 - Hg pen lamp
 - fluorescent lights are another example
 - also used UV detectors for HPLC



2) Electrodeless Discharge Lamps (EDL) - AA

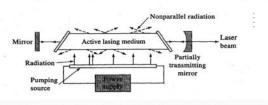
Electrodeless discharge lamps (EDL)

(a) Ar ions created by RF energy (b) ions collide with gaseous metal atoms which then (c) emit excite (Fig. 9-12)



4) <u>Lasers (Light Amplification by Stimulated</u>
<u>Emission of Radiation)</u> – start with material that
will exhibit stimulated emission and populate upper
states typically using another light source

Lasing medium can be solid (Nd:YAG, semiconductor diode laser AlGaAs), gas (noble gas Ar^+ , He/Ne, CO_2 , N_2) or liquid (dye)



(a) pumping; (b) Spontaneous emission; (c) Stimulated emission and (d) Adsorption

Stimulated Emission – photon strikes excited state causing it to emit a burst of photons

Pumping source used to populate upper states can be flashlamp, another laser or electrical

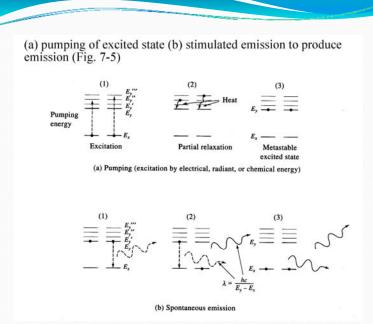
Often use prism to select pumping wavelength

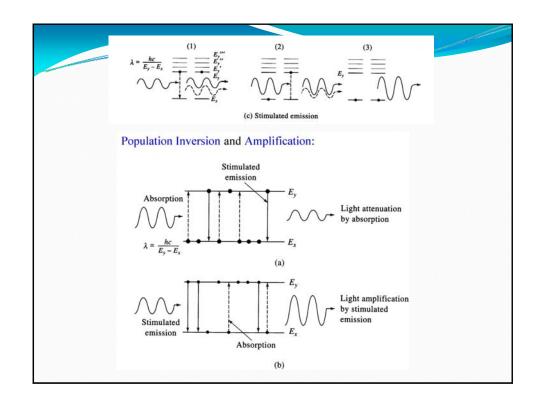
Advantages of lasers

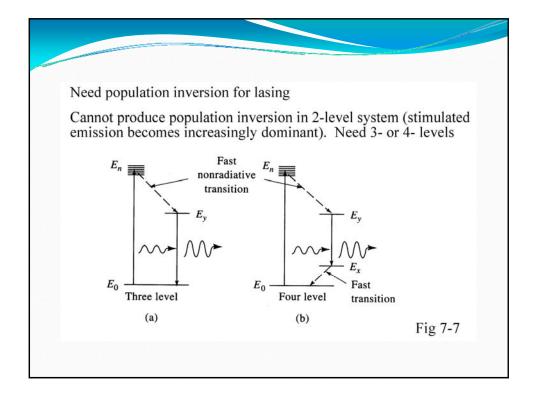
- 1) Intense
- 2) Monochromatic very narrow band or line sources
- 3) Coherent all radiation at same phase angle
- 4) Directional full intensity emitted as beam

Limitations of lasers

- 1) High cost in many cases
- 2) Wavelength range is somewhat limited
- 3) Many operate in pulsed mode some are continuous wave (CW)







Types of Lasers:

- 1) Solid State Lasers 0.05%
- a) Ruby laser Al_2O_3 + Cr(III) 694.3 nm pumped with Xe arc flashlamp pulsed (can be continuous)
- b) <u>Nd/YAG laser</u> yttrium aluminum garnet + Nd (Neodymium) - 1064 nm Frequency doubled (532nm)
- 2) Gas Lasers
- a) <u>Neutral atom</u> He-Ne 632.8 nm continuous
 - b) <u>Ion lasers</u> Ar⁺ or Kr⁺ 514.5 nm

- c) Molecular lasers CO_2 (10,000 nm = 1000 cm⁻¹) or N_2 (337.1 nm) pulsed
- d) <u>Excimer lasers</u> inert gas + fluorine creates excimers ArF⁺ (193 nm), KrF⁺ (248 nm), XeF⁺ (351nm) pulsed
- 3) <u>Dye Lasers</u> tunable over 20 50 nm many dyes available for wide range of λ 's
- **Semiconductor Diode Lasers** wide range of λ's available, continuous

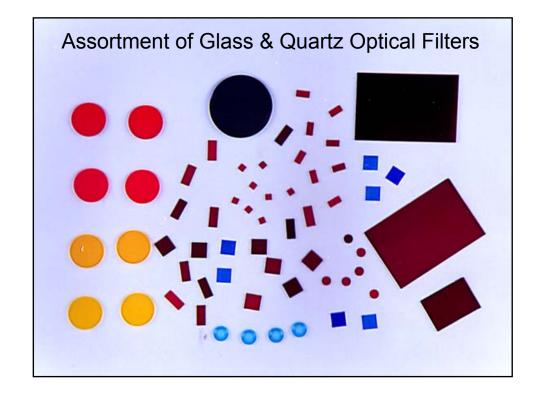
Wavelength Selection

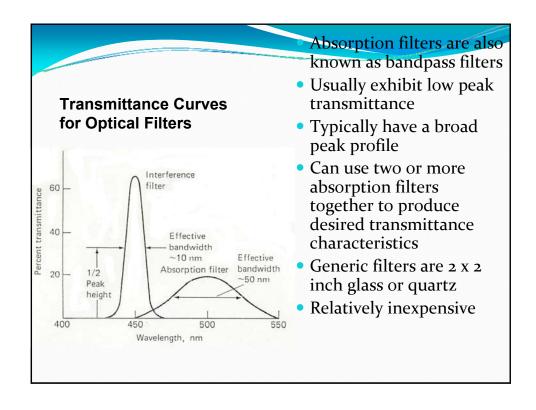
Three main approaches:

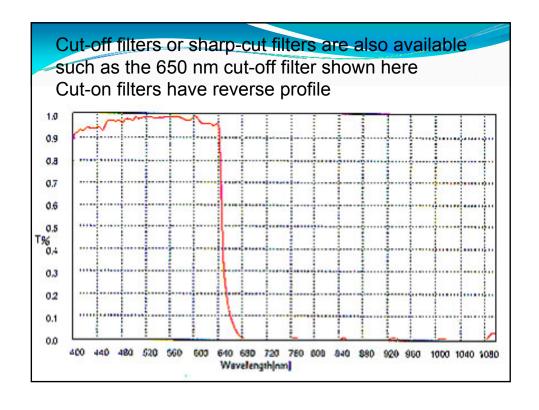
- 1) Block off unwanted radiation optical filters
- 2) Disperse radiation & select desired band monochromator (prism)
- 3) Modulate wavelengths at different frequencies interferometer

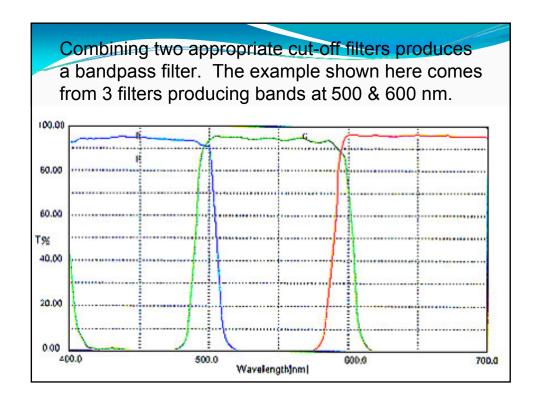
FILTERS

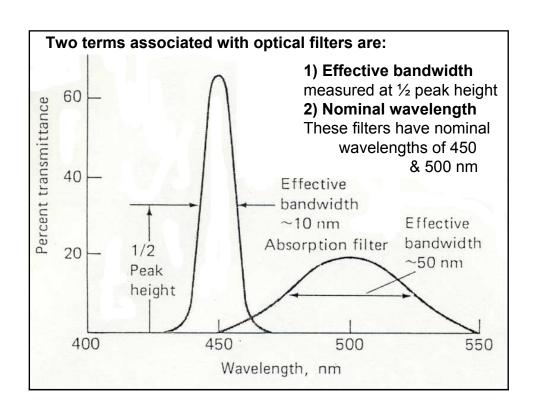
1) Absorption – colored glass, colored film, colored solutions – cheapest way

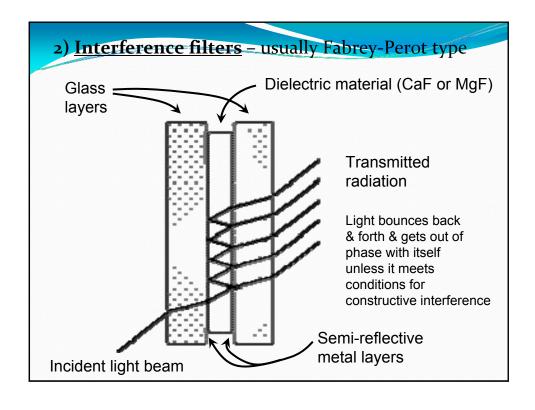


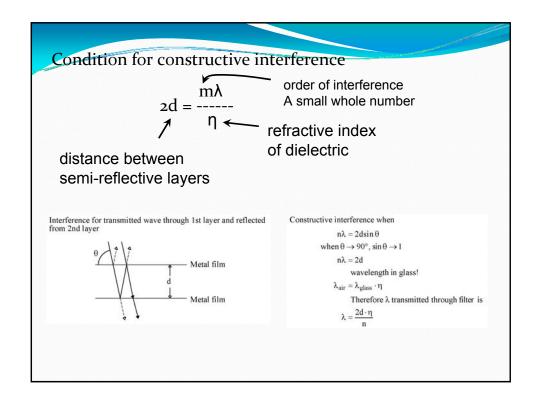


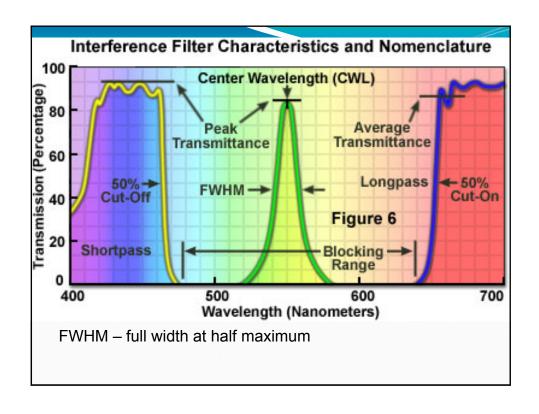


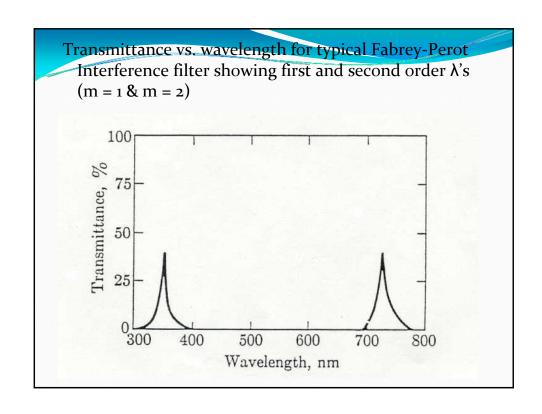


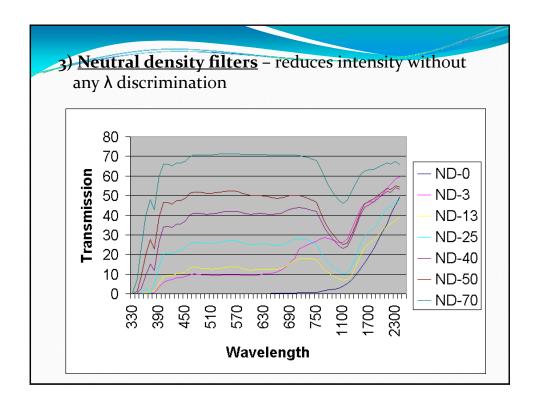


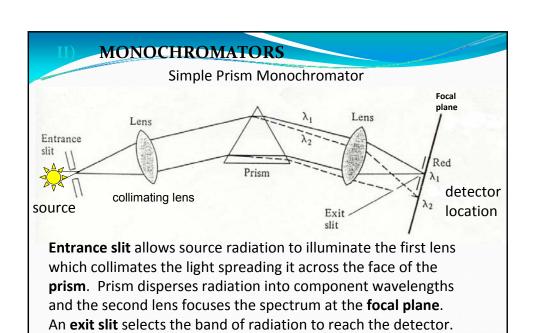












Dispersing element can be a prism or a diffraction grating.

Focusing elements can be lenses or mirrors.

Optical Materials – need optically transparent materials for lenses, prisms & sample cells

- In visible region can use glass down to 350 nm
- In the UV region quartz is material of choice
- In the IR region NaCl, KBr, etc. The heavier the atoms of the salt, the farther into the IR region (i.e., longer λ) before significant absorption occurs

Problem - sensitivity to moisture

Spectral purity

scattered or stray light in exit beam

Use entrance and exit windows, dust and light-tight housing, coat interior with light absorbing paint

Resolution – ability to distinguish as separate, nearly identical frequencies; measured in terms of closest frequencies Δv in a spectrum that are distinguishable

Dispersion – spread of wavelengths in space Angular Dispersion – angular range $d\theta$ over which waveband $d\lambda$ is spread $\rightarrow d\theta$ rad ---- in ----- $d\lambda$ nm

Linear Dispersion − distance dx over which a waveband dλ is spread in the focal plane of a monochromator → dx mm
----- in ------

Linear Reciprocal Dispersion – range of λ 's spread over a unit distance in the plane of a monochromator \rightarrow d λ nm

---- in ----dx mm

Related terms **spectral slit width** or **bandwidth** or **bandpass** = range of λ 's included in a beam of radiation measured at half max intensity

Light gathering

light collection efficiency

nm

f/number

$$f = \frac{F_{collimating mirror}}{dia_{collimating mirror}}$$

f/ of a monochromator is important if have a weak source. For lenses in series, the smallest f/ sets the overall f/ for the system.

Lens Summary:

- 1) rugged, easy to use, inexpensive
- 2) can have chromatic aberrations = focal length depends on η which varies with λ solution is to fabricate lenses out of a composite glasses so η is constant with λ . This increases cost
- 3) Each lens results in some light loss due to reflection

Energy throughput must be sufficient for detector to measure signal with adequate precision.

In practice the image of the entrance slit in a monochromator should just fill the exit slit for optimum conditions. Otherwise the larger slit establishes (i.e, limits) the resolution and the smaller slit establishes (or limits) the energy throughput.

There is a theoretical minimum for slit widths imposed by diffraction.

(4) Spectral bandwidth

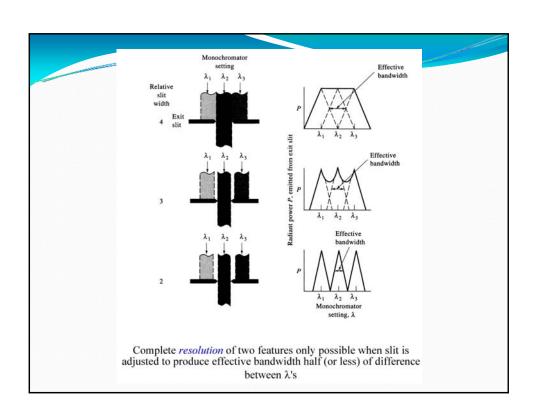
range of wavelengths exiting the

monochromator

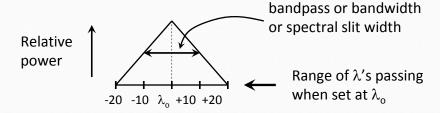
Related to dispersion and entrance/exit

slit widths

Effective bandwidth = $\frac{bandwidth}{2} = \frac{\Delta \lambda}{\Delta y} = D^{-1}$



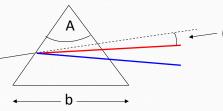
Light exiting a monochromator exit slit has a triangular distribution Optical Efficiency = throughput x resolution Good criterion for comparing optical systems Prism < Grating < Interferometer Monochromator Monochromator





Prisms

A = apical angle b = base length

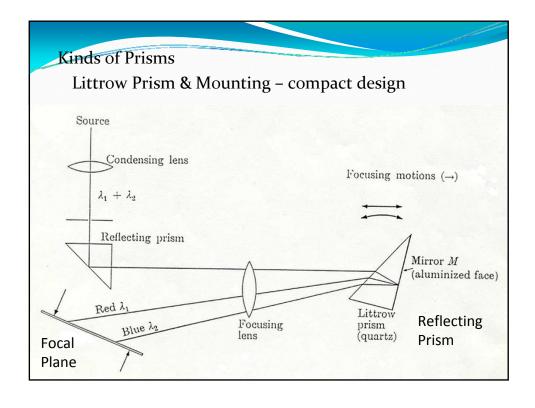


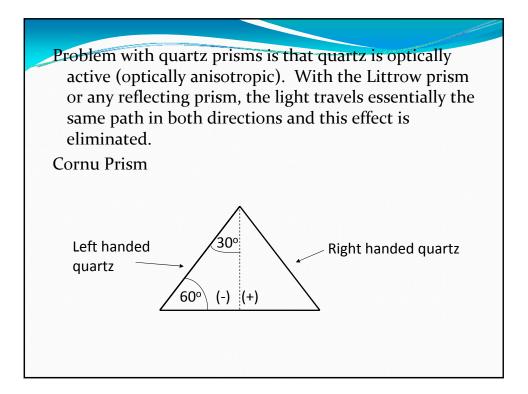
function of prism design (i.e. angle A)

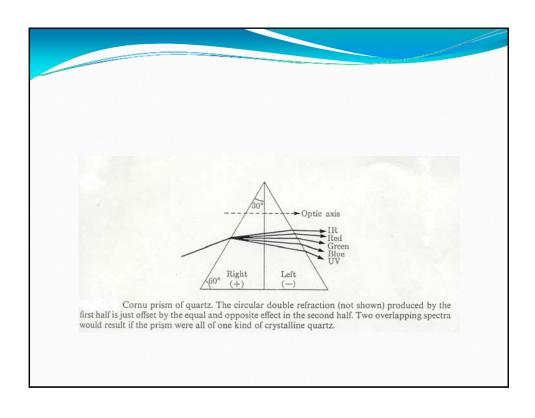
Light bends due to $\eta = f(\lambda)$

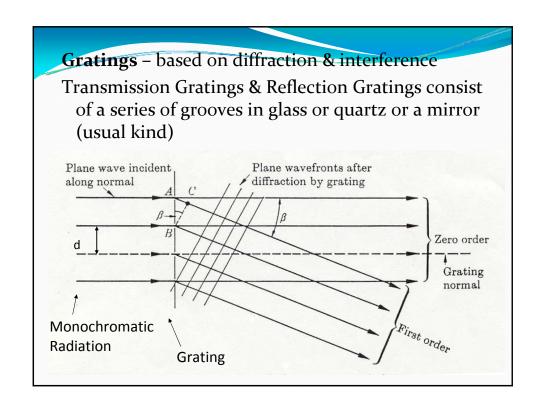
$$\frac{Angular\ Dispersion}{d\lambda} = \frac{d\theta}{d\lambda} = \frac{d\theta}{d\eta} \times \frac{d\eta}{d\lambda} \qquad \begin{array}{c} \text{function} \\ \text{of prism} \\ \text{material} \end{array}$$

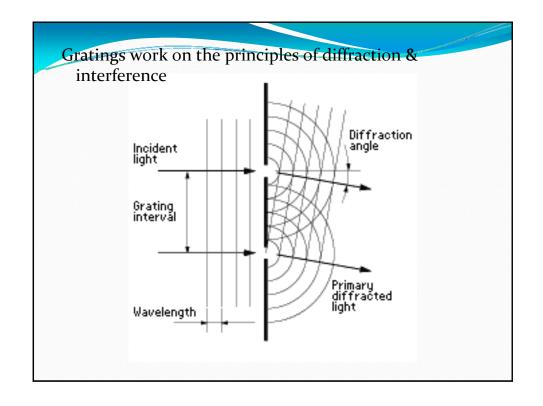
Angle changes with $\lambda \rightarrow$ the larger the better

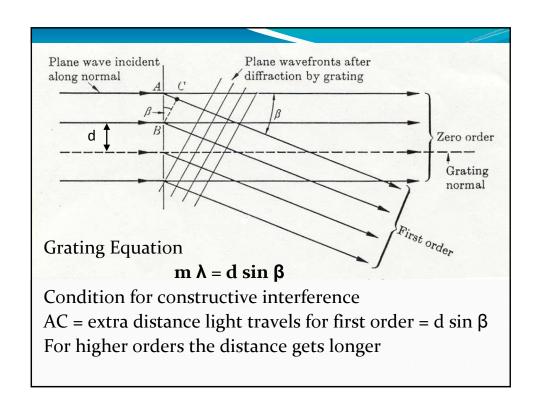


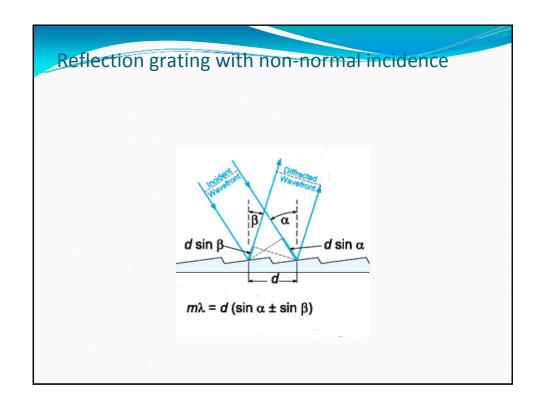


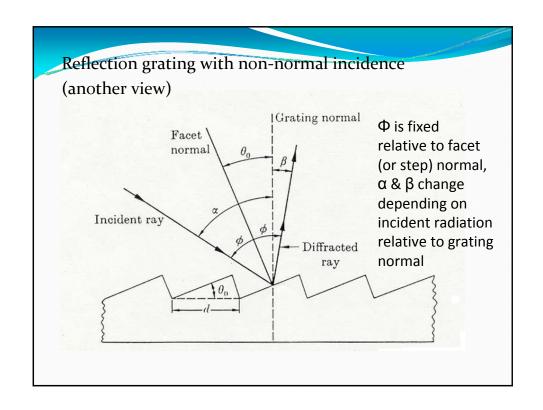












Preparation of reflection gratings – a master grating is prepared by ruling grooves in a reflective aluminum surface on glass (from 20 – 3000 grooves/mm or 10,000 lines/inch)

Replicate gratings can be prepared from master grating which brings down the cost

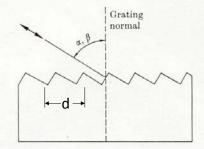
fraction of monochromatic light diffracted in a particular order

Grating Efficiency = -----fraction specularly reflected

Efficiency is maximum for situation where diffracted ray & specularly reflected ray coincide = blaze wavelength = $\lambda_B = \lambda$ of maximum efficiency

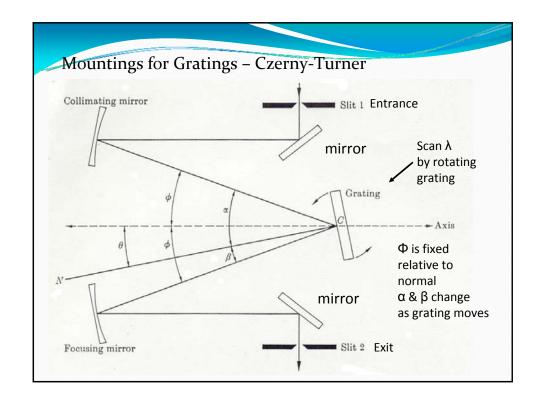
Efficiency is maximum for situation where diffracted ray & specularly reflected ray coincide = blaze wavelength = $\lambda_B = \lambda$ of maximum efficiency

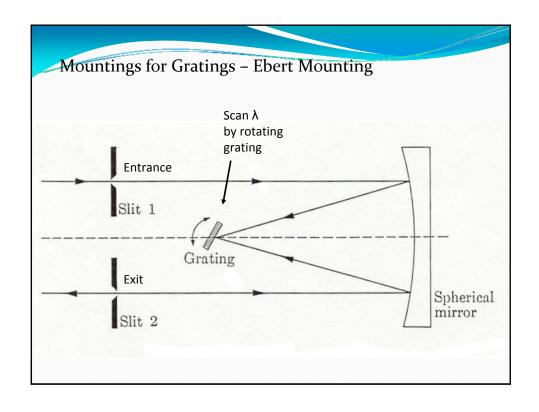
An Echelle type reflection grating has a coarse ruling (i.e. large d) and produces good spectral efficiency in higher orders making very high resolution possible



The echellette grating concentrates most of the intensity in the first few orders

- First order efficiency at λ_B is 60 70 % and typically falls off by about half at 2/3 λ_B and $2\lambda_B$
- Choose angle for λ region of interest
- Echellette is the normal grating for UV, vis, IR
- Echelle grating used for atomic emission
 - Concentrates intensity in higher orders
 - Uses steeper steps





Littrow mounting is the same as for prism except use grating in place of prism

Grating Characteristics - Resolution & Dispersion are very high for a long, finely ruled grating esolution (theoretical) order number of

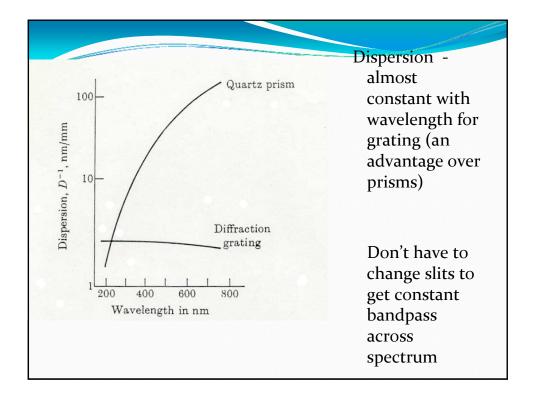
order Resolution (theoretical) rulings

R = m Nilluminated

Combine with grating equation (given previously)

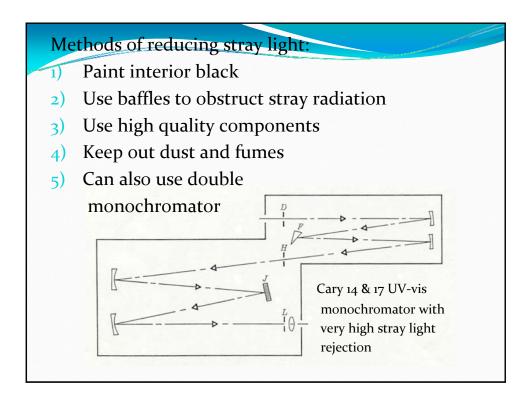
$$R = W (\sin \beta) / \lambda$$

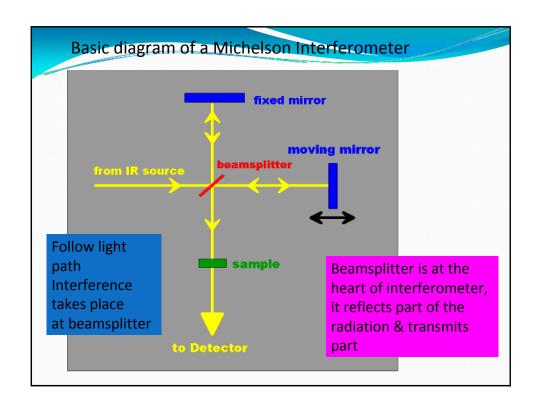
where W (length of ruled area) = N d***The length of ruled area is important***



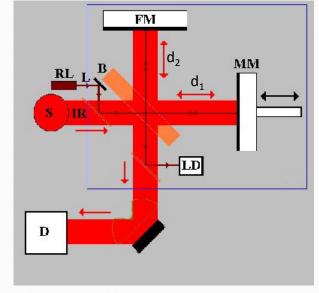
Disadvantages of gratings relative to prisms:

- 1) they are less rugged
- 2) they generate slightly more scattered light which is stray light → radiation present at unwanted orders
- 3) order overlap \rightarrow multiples of λ present Stray Radiation sources:
- 1) Diffracted from grating at unwanted angle
- Diffracted from slit edges
- 3) Reflected from interior surfaces of filters, lenses, prisms & other components of system
- 4) Scattered by imperfections in optical components









Where:

S = IR source

IR = infrared beam

D = detector

B = beamsplitter

FM = fixed mirror

MM = moving mirror

RL = reference laser

L = laser beam

LD = laser detector

d₁ = distance to moving mirror

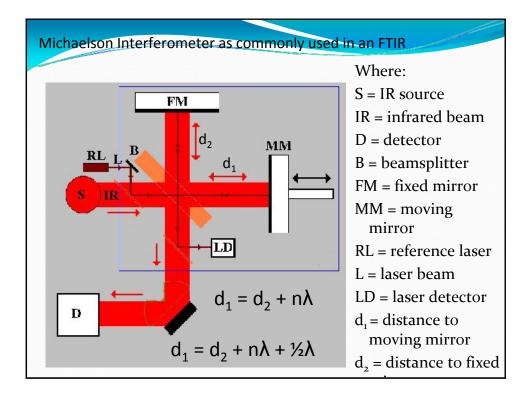
 d_2 = distance to fixed

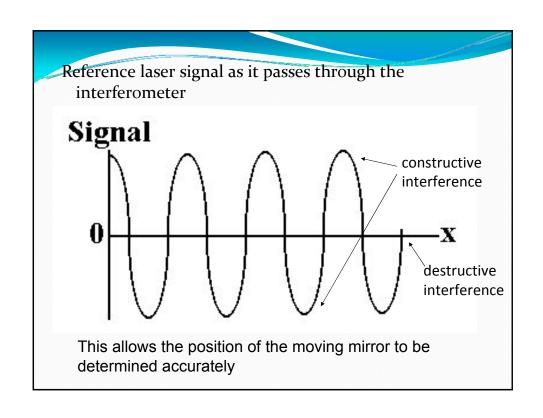
Interferometers have no slits so a wide beam of radiation can be used

Assuming monochromatic radiation

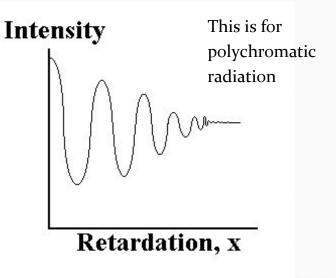
 $d_1 = d_2 + n\lambda \rightarrow \text{ for constructive interference}$

 $d_1 = d_2 + n\lambda + \frac{1}{2}\lambda \rightarrow destructive interference$





Interferogram is a plot of energy vs mirror displacement from zero (i.e. $d_1 = d_2$)



Mechanical specifications for mirror movement are very exacting → gets worse as λ gets shorter, therefore interferometers are used in the IR region but are not very feasible in the visible and UV regions

Extracting a conventional spectrum (i.e. I vs λ) from interferogram involves the complex mathematics of the Fourier integral also known as Fourier Transform \rightarrow need computer to do calculations

Advantages of Interferometers:

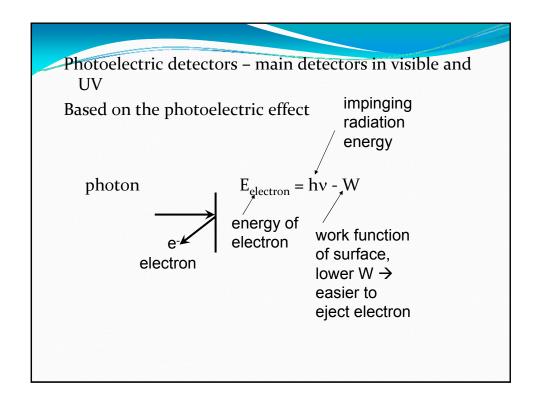
- Energy throughput is much grater than for monochromators → better signal to noise ratio because there are no slits – this is particularly important in IR where the sources are relatively weak
- 2) High resolution
- 3) Multiplex Advantage all signals are viewed simultaneously, obtain data in 1s or less

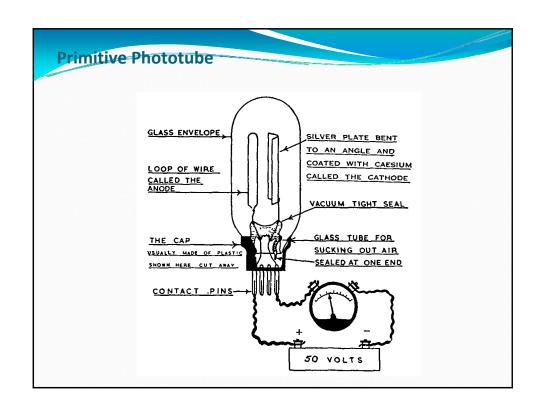
Disadvantage: Mechanical tolerance for mirror movement is severe – can't do interferometry in the UV-vis region, λ too short

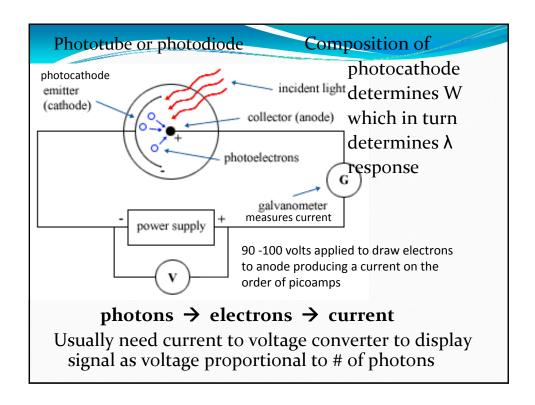
DETECTORS

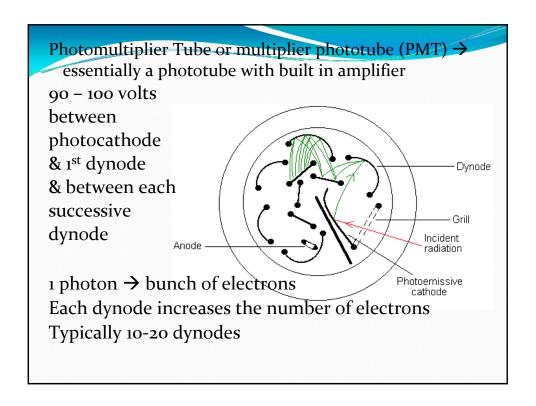
Important characteristics:

- 1) Wavelength response
- 2) Quantum response how light is detected
- 3) Sensitivity
- 4) Frequency of response (response time)
- 5) Stability
- 6) Cost
- 7) convenience











Standard PMT Normal device for **UV-vis** absorption



End-On PMT Typically used where required by space or geometry constraints



Characteristic Parameters of PMTs: (typically specified by manufacturers)

- Quantum efficiency = $\mathbf{f}(\lambda)$
 - photoelectrons ejected
 - photons striking photocathode
- b) Cathode sensitivity = μ A/lumen or μ A/watt have to specify λ and use a standard source at known

temperature

Gain = $f(g \delta)^n$ c)

number of

Typical gain 10⁶

electrons/photon in

collector efficiency

transfer efficiency dynode to dynode

 $g \delta = 4.5$ # of electrons emitted electron striking dynode

- d) Spectral response depends on photocathode work function (sensitivity as a function of wavelength) *Very Important*- must be corrected for when scanning e.g. in fluorescence spectrum
 - e) Dark current current when photomultiplier is operated in complete darkness. Lower limit to the current that can be measured → dark current needs to be minimized if low intensities are to be measured

Thermionic emission is an important source of dark current → this thermal dark current is temperature dependent

Therefore, cooling the photomultiplier tube reduces dark current (-40 °C is sufficient to eliminate the thermal component of dark current for most photocathodes

Smaller w → higher dark current (smaller W's are associated with photocathodes that respond at longer λ's i.e. red sensitive cathodes) → low energy photons

If photocathode is exposed to bright daylight without power, it traps energy and it takes 24 – 48 hrs in the dark with high voltage on in order for dark current to go back to equilibrium value

Long term exposures to bright light leads to sensitivity loss particularly at longer λ

Noise – due to random fluctuations in:

- 1) Electron current (shot noise)
- 2) Thermal motion of conducting electrons in the load resistor (Johnson noise)
- Incident photon flux (quantum noise) flux of photons varies statistically

Advantages of PMTs

- 1) Stable except after exposure to high light levels
- 2) Sensitive
- 3) Linear over several orders of magnitude
- 4) Reasonable cost
 - 1) Simple PMT for visible region = \$200
 - 2) Quartz jacketed PMT for UV & red sensitive tubes for near IR can be more expensive
- 5) Long lifetime
- 6) Rapid response (on the order of nanoseconds)

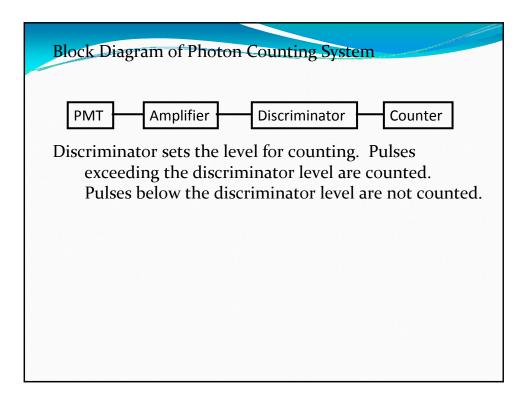
IR detectors not nearly as good as PMTs

Normally measure DC level of current resulting from all electrons generated in PMT. However, at low light levels it is possible to do **photon counting**Each photon gives rise to a pulse of electrons

Signal pulses from photons striking PMT

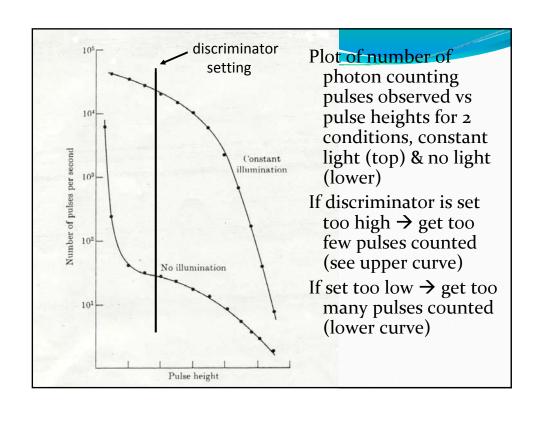
Discriminator setting

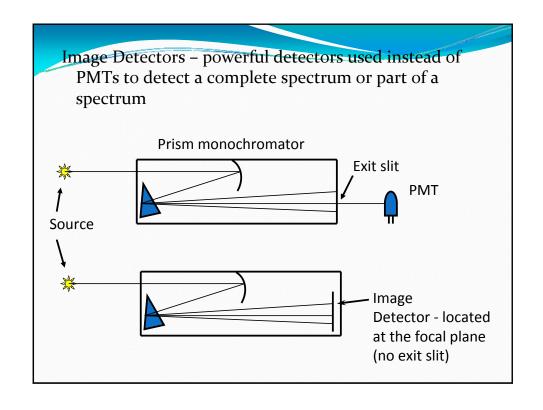
Dark current – pulse not counted

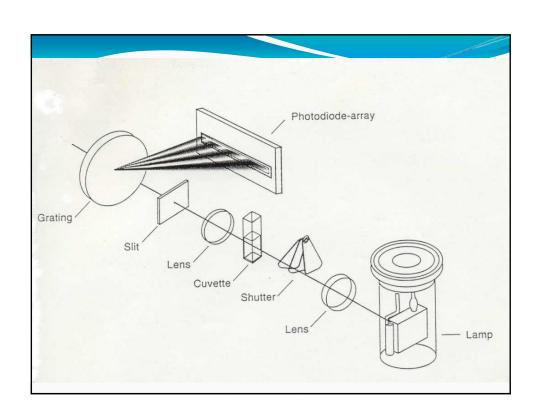


Dead Time – after each pulse, electronics need some time to recover = dead time. Any pulse arriving during the dead time interval will not be counted (typically 0.1 to 0.01 µsec)

Dead Time Loss – decrease in signal because of uncounted pulses arriving during the dead time. This becomes significant at count rates somewhere between 10⁵ & 10⁶ counts/sec = upper limit to intensities measured by photon counting





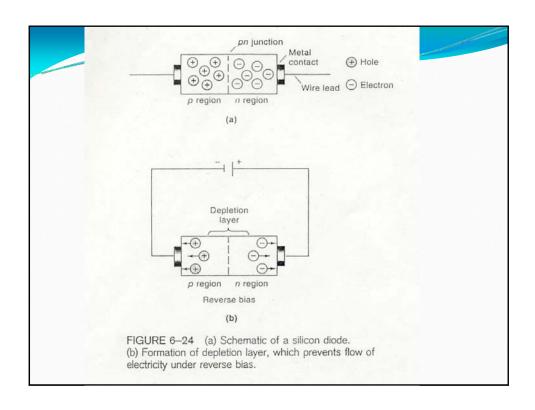


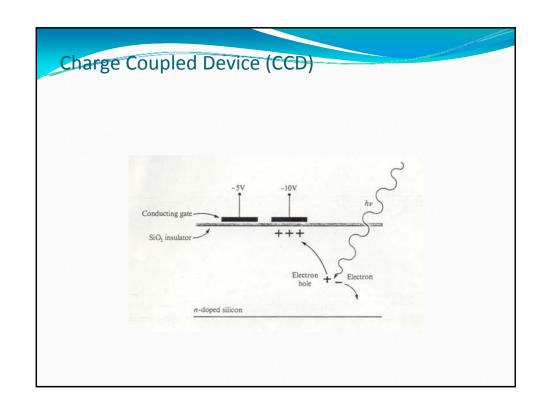
Common Image Detectors

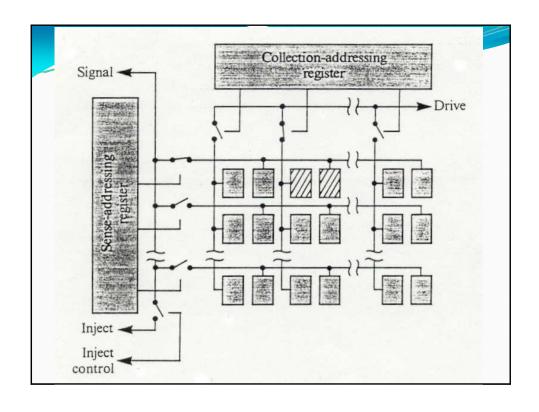
- 1) Electron Image Intensifiers
- 2) Image Dissectors
- 3) Solid-State Imaging Systems
 - a) Vidicon tubes
 - b) Optical Multichannel Analyzers (OMAs)
 - c) Photo Diode Arrays (PDAs)
- 4) Charge Coupled Devices (CCDs)

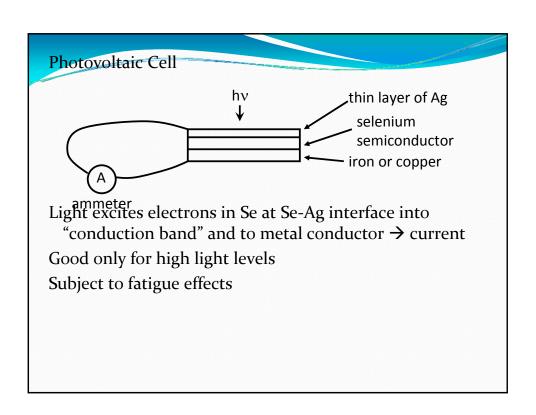
These are often used with intensifiers – device to increase sensitivity

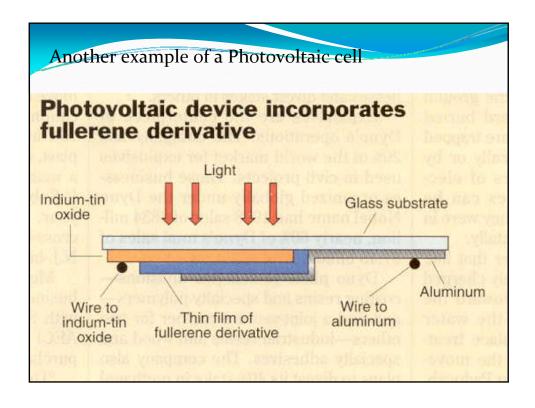












Photoconductive detector – semiconductor used with voltage applied across it

Photons → electrons promoted to conduction band → high conductivity (lower resistance)

PbS, PbSe, InSb good for 0.7 to 4.5 µm (near IR)

Ge activated with Cu, Au or Zn good from 2 to 15 μ m – operated at ~5 $^{\circ}$ K

Considerably less sensitive than PMTs

Better than thermal detectors in IR

Photographic detection - place film at focal plane and expose (integrating detector)

Advantages:

- 1) good resolution
- 2) fairly sensitive
- 3) covers entire spectral region

Disadvantages:

- 1) very old technique
- 2) quantitatively very bad (can use densitometer)

Thermal Detectors for IR – in IR region photons have lower energies → necessary to resort to thermal detectors – radiation absorbed and temperature change is detected

Response time is limited by rate of heat transfer → slow Sensitivity is also much poorer

Three types of thermal detectors:

 Thermocouples (most common) – junction between dissimilar metals often covered with black substance to increase absorption

Voltage difference across junction is a function of temperature

Amplify signal and detect

Response time ~60 msec (i.e. slow)

Sensitivity is greater using a <u>thermopile</u> = a bundle of many thermocouples

Bolometer (thermistor) - resistance is a function of temperature

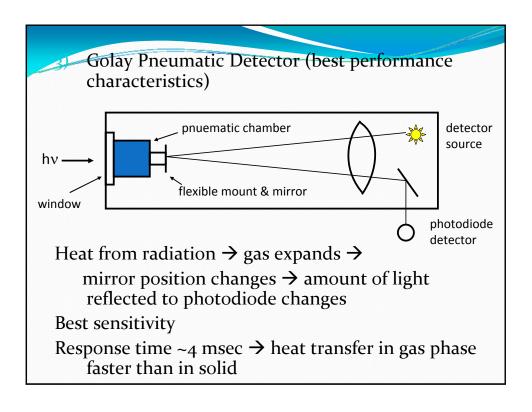
Different kinds → Ni or Pt metal or oxides like NiO, CoO or MnO

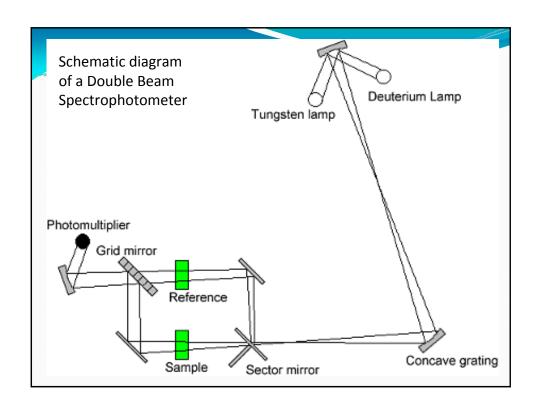
Many have black coating on side toward source and a heat shield around them

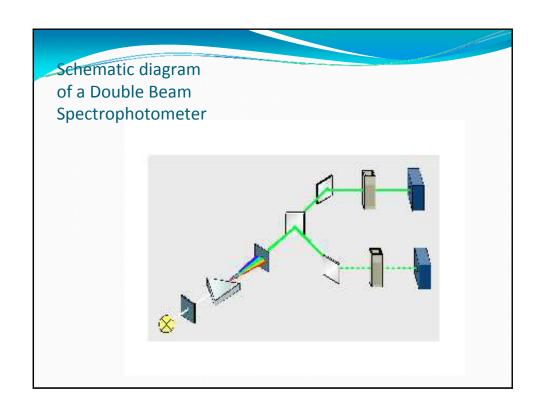
Typically connected to a bridge circuit

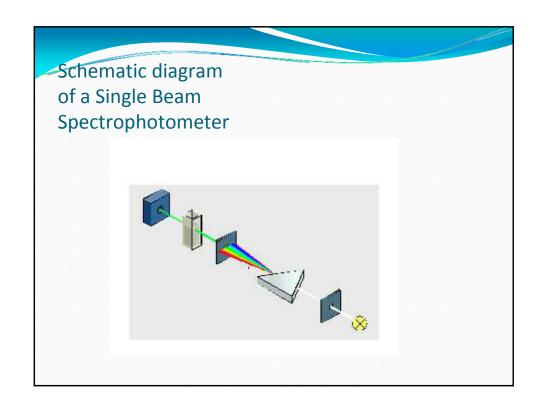
Johnson noise is important

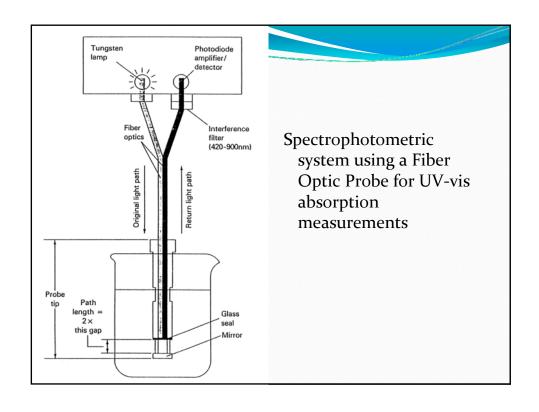
Requires stable power supply

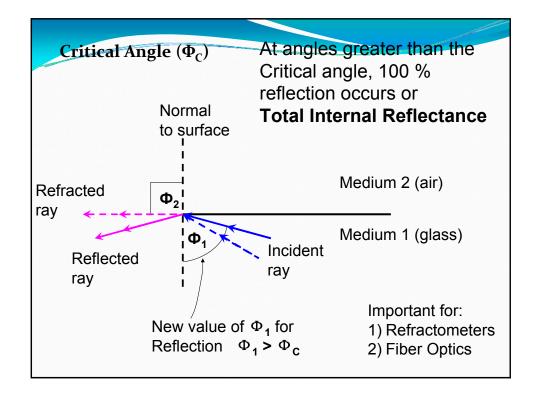


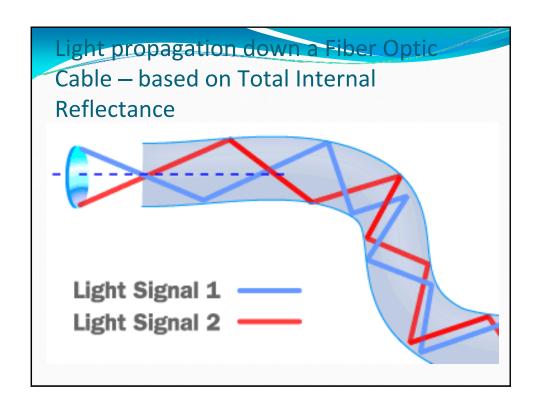


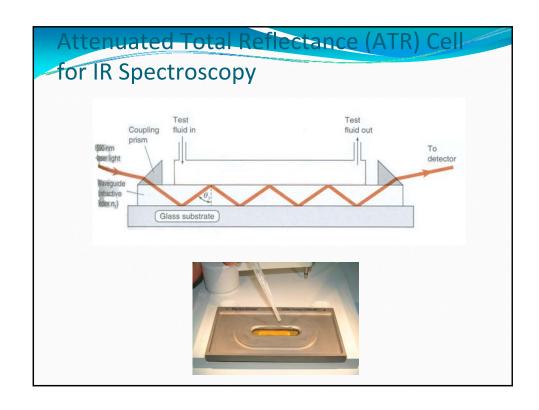












HW3

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