

# **Theory and Applications of Dielectric Materials**

## **– Classical Theories of Dielectrics**

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# Outline

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- **Types of Polarizations**
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- **The Statistical Theories of Static Permittivity**
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# Types of Polarization

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- Interfacial polarization (Maxwell-Wagner) – From static to very low frequencies ( $10^0$  Hz)
- Dipolar polarization – Orientational polarization for polar molecules; distortional polarization for non-polar molecules; in the frequency range of  $10^9$  –  $10^{11}$  Hz
- Ionic polarization – In the frequency range of  $10^{13}$  Hz
- Electronic polarization – In the frequency range of  $10^{15}$  Hz or higher

# Debye's Theory

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- Assume that the permanent electric moments of an assembly of dipolar molecules are distributed about an externally-applied field in accordance with Boltzmann's law. If there is no directional forces due to the structure of the dielectric, a dipole moment  $\mu$  (Note that this is not the magnetic permeability) at an angle  $\theta$  to an electric field  $F$  has potential energy  $U$  in the following expression.

$$U = -\mu F \cos \theta \quad (1)$$

Boltzmann's law states that, the probability of the dipole axis lying within an element of solid angle  $d\omega$  at an average angle  $\theta$  to the direction of the field  $F$  is

$$\frac{\exp\left\{\frac{\mu F \cos \theta}{kT}\right\} d\omega}{\int \exp\left\{\frac{\mu F \cos \theta}{kT}\right\} d\omega} \quad (2)$$

# Debye's Theory

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where  $k$  is Boltzmann's constant ( $k = 1.3806488 \times 10^{-23} \text{ m}^2 \text{ kg} \text{ s}^{-2} \text{ K}^{-1}$ ). The element of solid angle can be taken as the value between cones of semi-angle  $\theta$  and  $\theta + d\theta$  having the field  $F$  along the axis. This means

$$d\omega = 2\pi \sin \theta d\theta \quad (3)$$

The average component of the dipole moment in the direction of  $F$  is

$$\mu \langle \cos \theta \rangle = \frac{\int_0^\pi \mu \cos \theta \exp\left\{\frac{\mu F \cos \theta}{kT}\right\} \sin \theta d\theta}{\int_0^\pi \exp\left\{\frac{\mu F \cos \theta}{kT}\right\} \sin \theta d\theta} \quad (4)$$

$$= \frac{\int_{+1}^{-1} \mu x \exp\left\{\frac{\mu F x}{kT}\right\} dx}{\int_{+1}^{-1} \exp\left\{\frac{\mu F x}{kT}\right\} dx} \quad (5)$$

# Debye's Theory

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where  $\langle \cos \theta \rangle$  is the average value of  $\cos \theta$  and  $x = \cos \theta$ . Applying integration by parts leads to

$$\langle \cos \theta \rangle = \frac{x \left[ \frac{kT}{\mu F} \exp\left\{\frac{\mu F x}{kT}\right\} \right]_{-1}^{+1} - \left[ \left(\frac{kT}{\mu F}\right)^2 \exp\left\{\frac{\mu F x}{kT}\right\} \right]_{-1}^{+1}}{\left[ \left(\frac{kT}{\mu F}\right) \exp\left\{\frac{\mu F x}{kT}\right\} \right]_{-1}^{+1}} \quad (6)$$

$$= \coth\left(\frac{\mu F x}{kT}\right) - \left(\frac{kT}{\mu F x}\right) \quad (7)$$

$$= L\left(\frac{\mu F x}{kT}\right) \quad (8)$$

where  $L(y) = \coth(y) - \frac{1}{y}$  is called Langevin's function.

- Under the condition  $\left(\frac{\mu F x}{kT}\right) \ll 1$ , the polarization (electric

# Debye's Theory

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moment per unit volume) due to the dipoles is

$$P_{\text{dipole}} = N_1 \langle \cos \theta \rangle = N_1 \frac{\mu^2 F}{3kT} \quad (9)$$

where  $N_1$  is the number of dipoles per unit volume. If we add this polarization to the distortional polarization  $N_1 \alpha F$ , the total polarization becomes

$$P = N_1 \left( \alpha + \frac{\mu^2}{3kT} \right) F \quad (10)$$

The local field  $F$  needs to be determined by the applied field  $E$ .

- Debye considered the Lorentz equation for the internal field by considering a parallel plate condenser model. In

# Debye's Theory

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this model, the potential difference between two plates is

$$E = \frac{V}{t} = \frac{4\pi Q}{\epsilon'} \quad (11)$$

where  $Q$  is the charge per surface area on the plates of the empty condenser when a potential difference  $V$  is applied to the the condenser. The internal field at the center of the spherical dielectric inclusion inside a homogeneous dielectric matrix is due to three parts.

1. The field due to the charges on the condenser plates and the dielectric surface adjacent to them,  $F_1 = \frac{4\pi Q}{\epsilon}$ ;
2. the field due to the polarization charges on the spherical surface,  $F_2$ ; and

# Debye's Theory

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3. the field due to the molecules in the spherical region,  
 $F_3$ ,

in which we have two terms to determine;  $F_2$  and  $F_3$ .

- The field due to the surface polarization charges – The surface of this spherical dielectric inclusion is  $2\pi r^2 \sin \theta d\theta$  between the angles  $\theta$  and  $\theta + d\theta$ . By symmetry, it produces no field at the center of the dielectric sphere, perpendicular to the direction of  $P$ , while in the direction parallel to  $P$  it produces a field in the following expression

$$\frac{2\pi r^2 \sin \theta d\theta P \cos \theta \cos \theta}{\epsilon r^2} \quad (12)$$

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After integrating both sides over  $\theta$ , we have

$$F_2 = \frac{2\pi}{\epsilon'} \int_0^\pi P \cos^2 \theta \sin \theta d\theta = \frac{4\pi P}{3\epsilon} \quad (13)$$

- The field due to the molecules in the spherical region –  $F_3$  can only be determined if the distribution of individual molecules in space is known. Consider a special case in which the molecules are distributed on a cubic lattice and when the molecules form an ideal gas,  $F_3$  becomes zero. In this case,

$$F = F_1 + F_2 = E + \frac{4\pi P}{3\epsilon} \quad (14)$$

Since

$$4\pi P = (\epsilon_0 - 1)\epsilon E \quad (15)$$

# Debye's Theory

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it provides

$$F = \frac{(\epsilon_0 + 2)E}{3} \quad (16)$$

Considering Eq.(10), it leads to

$$P = N_1 \left( \alpha + \frac{\mu^2}{3kT} \right) \frac{(\epsilon_0 + 2)E}{3} \quad (17)$$

Now we have

$$\frac{\epsilon_0 - 1}{\epsilon_0 + 2} = 4\pi N_1 \frac{\left( \alpha + \frac{\mu^2}{3kT} \right)}{3\epsilon} \quad (18)$$

which is Debye's equation for the static permittivity. It

# Debye's Theory

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can also be written as

$$\frac{\epsilon_0 - 1}{\epsilon_0 + 2} \cdot \frac{M}{\rho} = 4\pi N \frac{\left( \alpha + \frac{\mu^2}{3kT} \right)}{3\epsilon} \quad (19)$$

where  $N$  is the Avogadro's number. The quantity

$$\frac{\epsilon_0 - 1}{\epsilon_0 + 2} \cdot \frac{M}{\rho} \quad (20)$$

is called the molar polarization.

- Assumptions in Debye's theory –
  1. No local directional forces due to neighboring molecules act on the dipole, such that they are distributed according to Langevin's law.

# Onsager's Theory

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2. The component  $F_3$  of the local field is zero.
- Conclusions drawn from Debye's theory –
    1. For a non-polar material the molar polarizability should be a constant, independent of temperature and pressure.
    2. For a polar material the molar polarizability will fall within the increasing temperature, since the thermal agitation decreases the dipolar polarization.
    3. The left-hand side of Eq.(19) tends to  $\frac{M}{\rho}$  as  $\epsilon_0$  approaches infinity.

# Onsager's Theory

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- In order to calculate the internal field inside a molecule, Onsager considered a polarizable point dipole at the center of a spherical cavity inside a homogeneous dielectric matrix with  $\epsilon_0$ . The radius of this spherical cavity is assumed to meet the following condition.

$$\frac{4\pi N_1 a^3}{3} = 1 \quad (21)$$

$$\Rightarrow a = \left[ \frac{3}{4\pi N_1} \right]^{1/3} \quad (22)$$

suggesting the volume of the spherical cavity equals to the total volume of the material. This assumption is only applicable when there is no strong local fields inside the materials.

# Onsager's Theory

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- To calculate the total internal field, Onsager considered two parts in his calculation:
  1. The cavity field  $G$  produced by the external applied field  $E$ , and
  2. the reaction field  $R$  built up inside the cavity by the polarization which the dipole induces in its surroundings.

Two potentials can be calculated by these two parts;  $V_1$  for the internal field (inside the spherical region) and  $V_2$  for the external field (outside the spherical region).

- The potential outside the spherical region  $V_2$  is assumed

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to be in the form:

$$V_2 = -Er \cos \theta + \frac{A \cos \theta}{r^2} \quad (23)$$

where  $A$  is the constant determined by the boundary conditions.

- The potential inside the spherical region  $V_1$  is assumed to be in the form:

$$V_1 = Br \cos \theta + \frac{B' \cos \theta}{r^2} \quad (24)$$

where  $B$  and  $B'$  are the constants determined by the boundary conditions. As we can see,  $B'$  must be zero

# Onsager's Theory

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to avoid the onset of singularity when  $r = 0$ . To determine these constants, we apply the potential boundary conditions in the normal and tangential directions.

$$-\epsilon_2 \frac{\partial V_2}{\partial r} = -\epsilon_1 \frac{\partial V_1}{\partial r} \quad (25)$$

at  $r = a$  to preserve field continuity at the interface in the normal direction. In the tangential direction, we have

$$-\frac{1}{r} \cdot \frac{\partial V_2}{\partial \theta} = -\frac{1}{r} \cdot \frac{\partial V_1}{\partial \theta} \quad (26)$$

at  $r = a$ . These two boundary conditions lead to

$$\epsilon_2 \left( E \cos \theta + 2A \frac{\cos \theta}{a^3} \right) = \epsilon_1 (-B \cos \theta) \quad (27)$$

$$-E \sin \theta + A \frac{\sin \theta}{a^3} = B \sin \theta \quad (28)$$

# Onsager's Theory

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With these equations, we obtain the conditions to solve the constants.

$$\frac{A}{a^3} = -\frac{\epsilon_2 - \epsilon_1}{2\epsilon_2 + \epsilon_1} E \quad (29)$$

$$B = -\frac{3\epsilon_2}{2\epsilon_2 + \epsilon_1} E \quad (30)$$

Therefore, the potentials are

$$V_1 = -\frac{3\epsilon_2}{2\epsilon_2 + \epsilon_1} Er \cos \theta \quad (31)$$

$$V_2 = -Er \cos \theta - \frac{\epsilon_2 - \epsilon_1}{2\epsilon_2 + \epsilon_1} \cdot \frac{E \cos \theta}{r^2} a^3 \quad (32)$$

such that the field inside the spherical region is

$$F_1 = \frac{3\epsilon_2}{2\epsilon_2 + \epsilon_1} E \quad (33)$$

# Onsager's Theory

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In Onsager's model,  $\epsilon_1 = 1$  and  $\epsilon_2 = \epsilon_0$ , the cavity field is

$$G = \frac{3\epsilon_0}{2\epsilon_0 + 1} E \quad (34)$$

- Reaction field – To calculate the reaction field in Onsager's model, a point dipole moment  $m$  needs to be considered at the center of the spherical region, with its axis in the direction of  $\theta = 0$ , in the absence of an external field. Onsager assumed the following potentials.

$$V_2 = \frac{A \cos \theta}{r^2} V_1 = Br \cos \theta + \frac{B' \cos \theta}{r^2} \quad (35)$$

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in which  $B'$  cannot be set to be zero (due to singularity).  
After applying the boundary conditions,

$$A = -\frac{3\epsilon_1}{2\epsilon_2 + \epsilon_1} B' \quad (36)$$

$$B = -\frac{2(\epsilon_2 - \epsilon_1)}{2\epsilon_2 + \epsilon_1} \cdot \frac{B'}{a^3} \quad (37)$$

It follows that the potentials are

$$V_1 = -\frac{2(\epsilon_2 - \epsilon_1)}{2\epsilon_2 + \epsilon_1} \cdot \frac{B' r \cos \theta}{a^3} + \frac{B' \cos \theta}{r^2} \quad (38)$$

$$V_2 = \frac{3\epsilon_1}{2\epsilon_2 + \epsilon_1} \cdot \frac{B' \cos \theta}{r^2} \quad (39)$$

- An isolated dipole in a medium of permittivity of  $\epsilon_1$  –  
If we set the radius of the spherical region to approach

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infinity , we have

$$V_1 = \frac{m \cos \theta}{\epsilon \epsilon_1 r^2} \quad (40)$$

from which we found

$$B' = \frac{m}{\epsilon \epsilon_1} \quad (41)$$

In summary,

$$V_1 = -\frac{2(\epsilon_2 - \epsilon_1)}{2\epsilon_2 + \epsilon_1} \cdot \frac{mr \cos \theta}{\epsilon \epsilon_1 a^3} + \frac{m \cos \theta}{\epsilon \epsilon_1 r^2} \quad (42)$$

$$V_2 = \frac{3\epsilon_1}{2\epsilon_2 + \epsilon_1} \cdot \frac{m \cos \theta}{\epsilon \epsilon_1 r^2} \quad (43)$$

- Total internal field – The total internal field on the molecule

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is

$$F = G + R = gE + \frac{rm}{a^3} \quad (44)$$

where

$$g = \frac{3\epsilon_0}{2\epsilon_0 + 1} \quad (45)$$

$$r = \frac{2(\epsilon_0 - 1)}{(2\epsilon_0 + 1)\epsilon} \quad (46)$$

It is noteworthy to point out that, when  $\epsilon_0$  approach infinity, Onsager's internal field tends to approach a finite value, while Debye's internal field tends to infinity.

- Total moment and polarization – The total moment,  $m$  of the molecule is the vector sum of its permanent moment,

# Onsager's Theory

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$\mu$ , and the moment  $\alpha F$  induced in it by the local field

$$m = \mu + \alpha F \quad (47)$$

$$= \mu + \alpha g E + \frac{r \alpha m}{a^3} \quad (48)$$

$$= \frac{\mu + \alpha g E}{1 - \frac{r \alpha}{a^3}} \quad (49)$$

The couple moment acting on the molecule is

$$C = m \times F \quad (50)$$

$$= \frac{(\mu + \alpha g E) \times \left( g E + \frac{r \mu}{a^3} \right)}{\left( 1 - \frac{r \alpha}{a^3} \right)^2} \quad (51)$$

# Onsager's Theory

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$$= \frac{\left(g - \frac{rg\alpha}{a^3}\right)}{\left(1 - \frac{r\alpha}{a^3}\right)^2} \times E \quad (52)$$

$$= \frac{g}{\left(1 - \frac{r\alpha}{a^3}\right)} \mu \times E \quad (53)$$

We can also calculate the energy of the dipole induced at an angle  $\theta$  to the applied field.

$$U = -\frac{g}{\left(1 - \frac{r\alpha}{a^3}\right)} \mu E \cos \theta \quad (54)$$

The average value of  $\cos \theta$ ,  $\langle \cos \theta \rangle$ , can be found using

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Boltzmann's equation.

$$\langle \cos \theta \rangle = \frac{g}{\left(1 - \frac{r\alpha}{a^3}\right)} \cdot \frac{\mu E}{3kT} \quad (55)$$

The mean moment parallel to the field is

$$\frac{\mu \langle \cos \theta \rangle + \alpha g E}{\left(1 - \frac{r\alpha}{a^3}\right)} = \frac{g}{\left(1 - \frac{r\alpha}{a^3}\right)^2} \cdot \frac{\mu^2 E}{3kT} + \frac{\alpha g E}{\left(1 - \frac{r\alpha}{a^3}\right)} \quad (56)$$

The polarization is

$$\frac{\epsilon}{4\pi} (\epsilon_0 - 1) E = P \quad (57)$$

$$= \frac{N_1 g}{\left(1 - \frac{r\alpha}{a^3}\right)} \left( \alpha + \frac{1}{1 - \frac{r\alpha}{a^3}} \cdot \frac{\mu^2}{3kT} \right) E \quad (58)$$

# Onsager's Theory

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- Internal refraction index – Onsager defined an internal refraction index in the following.

$$\frac{n^2 - 1}{n^2 + 2} = \frac{\alpha}{\epsilon a^3} \quad (59)$$

as well as other relationships:

$$1 - \frac{r\alpha}{a^3} = \frac{3(2\epsilon_0 + n^2)}{(2\epsilon_0 + 1)(n^2 + 2)} \quad (60)$$

$$\frac{4\pi N_1 \alpha}{\epsilon} = \frac{3(n^2 - 1)}{(n^2 + 2)} \quad (61)$$

With these relationships, we obtain Onsager's equation for the static permittivity.

$$\frac{(\epsilon_0 - n^2)(2\epsilon_0 + n^2)}{\epsilon_0(n^2 + 2)^2} = \frac{4\pi N_1 \mu^2}{9kT\epsilon} \quad (62)$$

# Onsager's Theory

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- Comparison between Debye's model and Onsager's model

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1. Internal field – In Debye's model,

$$F = \frac{(\epsilon_0 + 2)E}{3} \quad (63)$$

while in Onsager's model,

$$F = \frac{2(\epsilon_0 - 1)}{((2\epsilon_0 + 1))\epsilon} \cdot \frac{m}{a^3} \quad (64)$$

2. Polarization – In Debye's model,

$$4\pi P = \epsilon(\epsilon_0 - 1)E = 4\pi N_1 \left( \alpha + \frac{\mu^2}{3kT} \right) F \quad (65)$$

# Onsager's Theory

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while in Onsager's model,

$$4\pi P = \epsilon(\epsilon_0 - 1)E = 4\pi N_1 \left( \alpha + \frac{A\mu^2}{3kT} \right) AgE \quad (66)$$

where

$$g = \frac{3\epsilon_0}{2\epsilon_0 + 1} \quad (67)$$

$$A = \frac{1}{1 - \frac{r\alpha}{a^3}} = \frac{(2\epsilon_0 + 1)(n^2 + 2)}{3(2\epsilon_0 + n^2)} \quad (68)$$

# Kirkwood's Theory

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- Kirkwood considered a specimen containing  $N$  dipoles of moment  $\mu$  confined in a spherical volume  $V$  and situated in a constant, uniform external field  $E_0$ . The orientation of all dipoles is described by a set of coordinate vector  $X$ . The potential energy of the specimen in the configuration  $X$  in the presence of  $E_0$  is denoted by  $U(X, E_0)$ . According to classical statistical theory, the probability that the specimen will have a configuration between  $X$  and  $X + dX$  is  $p(X, E_0)$  which is determined by

$$p(X, E_0) = \frac{\exp\left\{-\frac{U(X, E_0)}{kT}\right\}dX}{\int \exp\left\{-\frac{U(X, E_0)}{kT}\right\}dX} \quad (69)$$

where  $dX$  is the infinitesimal change of configurations. The average component of the moment of the  $i$ th molecule

# Kirkwood's Theory

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in the direction,  $e$ , of the applied field is found by averaging all possible states of the system.

$$\langle \mu_i, e \rangle = \frac{\int (\mu_i, e) \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX}{\int \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX} \quad (70)$$

The energy of the specimen in the configuration  $X$  with the application of external field can be expressed in terms of the energy of the same configuration without the application of external field.

Assume a moment  $M(X)$  to represent the energy state  $U(X, 0)$ . When the external field is applied, the difference in the energy states can be determined by

$$U(X, E_0) - U(X, 0) = -M(X)E_0 \quad (71)$$

# Kirkwood's Theory

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The Boltzmann factor in Eq.(71) can be expressed by

$$\exp\left\{-\frac{U(X, E_0)}{kT}\right\} = \exp\left\{-\frac{U(X, 0)}{kT}\right\} \exp\left\{\frac{M(X)E_0}{kT}\right\} \quad (72)$$

If we restrict our discussion in the case when

$$\frac{M(X)E_0}{kT} \ll 1 \quad (73)$$

suggesting that the measurement is taken under ordinary temperature condition.  $\exp\left\{-\frac{U(X,0)}{kT}\right\}$  can be approximated by

$$\exp\left\{-\frac{U(X, 0)}{kT}\right\} \approx 1 + \frac{U(X, 0)}{kT} + \text{H.O.T.} \quad (74)$$

where H.O.T. denotes higher order terms. Then the average moment of the  $i$ th molecule in the direction of the

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field becomes

$$\langle \mu_i, e \rangle = \frac{\int (\mu_i, e) \left[ 1 + \frac{U(X, 0)}{kT} \right] \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX}{\int \left[ 1 + \frac{U(X, 0)}{kT} \right] \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX} \quad (75)$$

Since Eq.(73), the second term in denominator can be neglected, define the denominator by

$$J^{-1} = \int \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX \quad (76)$$

But the second term in the numerator cannot be neglected since the first term in the numerator is zero. Therefore, the first term of  $\langle \mu_i, e \rangle$  is

$$J \int (\mu_i, e) \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX \quad (77)$$

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Since this is the average moment in the absence of applied field, it must be zero. Thus, we obtain

$$\langle \mu_i, e \rangle = J \int (\mu_i, e) \left[ \frac{M(X)E_0}{kT} \right] \exp\left\{-\frac{U(X, E_0)}{kT}\right\} dX \quad (78)$$

$$= \langle (\mu_i, e)(M(X)e) \rangle \frac{E_0}{kT} \quad (79)$$

In the absence of external field, the direction of  $e$  is arbitrary. Therefore,

$$\langle (\mu_i, e)(M(X)e) \rangle = \langle \mu_i, M(X) \rangle \quad (80)$$

Kirkwood calculated the average moment in two steps.

1. Allow all molecules (except the  $i$ th) to randomly con-

# Kirkwood's Theory

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figured, giving

$$\langle \mu_i, e \rangle = \langle \mu_i, \bar{M}_i \rangle \frac{E_0}{3kT} \quad (81)$$

where  $\bar{M}_i$  is the average moment of the entire specimen when only the  $i$ th molecule is fixed.

2. Average over all configurations of the  $i$ th molecule, giving

$$\langle \mu_i, M \rangle = \langle \mu_i, \bar{M}_i \rangle = \langle \mu, M \rangle \quad (82)$$

- Dipole moment of small sphere under extreme conditions – Kirkwood defined

$$\bar{\mu} = \lim_{r_0 \rightarrow \infty} \bar{M}(R, r_0) \quad (83)$$

# Kirkwood's Theory

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where  $R$  is the radius of the specimen and  $r_0$  the radius of a sphere whose radius is large enough to preserve homogeneity assumption and small enough when compared to  $R$ . Kirkwood further determined the expression of  $\bar{M}$  in the limits  $r_0 \rightarrow \infty$  and  $R/r_0 \rightarrow \infty$ .

$$\bar{M} = \frac{9\epsilon_0}{(\epsilon_0 + 2)(2\epsilon_0 + 1)} \bar{\mu} \quad (84)$$

In general, we have

$$\bar{\mu} = g\mu \quad (85)$$

where  $g$  is a measure of the local ordering. Then,

$$\langle \mu_i, e \rangle = \langle \mu, \bar{M} \rangle = \frac{E_0}{3kT} \quad (86)$$

$$= \frac{9\epsilon_0}{(\epsilon_0 + 2)(2\epsilon_0 + 1)} \cdot \frac{g\mu^2}{3kT} E_0 \quad (87)$$

# Kirkwood's Theory

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Since the equation defining the permittivity is

$$\epsilon(\epsilon_0 - 1) = \frac{4\pi M}{VE} = \frac{4\pi(\epsilon_0 + 2)M}{3VE_0} \quad (88)$$

Then,

$$\frac{\epsilon_0 - 1}{\epsilon_0 + 2} = \frac{4\pi M}{3V\epsilon E_0} = \frac{4\pi N \langle \mu_i, e \rangle}{3V\epsilon E_0} \quad (89)$$

Finally,

$$\frac{(\epsilon_0 - 1)(2\epsilon_0 + 2)}{3\epsilon_0} = \frac{4\pi N}{V\epsilon} \cdot \frac{g\mu^2}{3kT} \quad (90)$$

which is Kirkwood's equation for the static permittivity of non-polarizable dipoles.

# Frohlich's Theory

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- Similar to Debye's model, but the considered model region does not have to be an exact sphere (to avoid cutting through molecules).
- The "spherical" region contains charges  $e_i$  whose positions are denoted by a set of displacement vector  $r_i$ . The state of the all displacement vectors is denoted by  $X$ .
- The potential energy of the charges in the state  $X$  in the presence of an applied field  $E$  is denoted by  $U(X, E)$ .
- The spherical region has a dipole moment  $M(X)$ . The

# Frohlich's Theory

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mean moment in the direction of  $E$  is

$$\langle M, e \rangle = \frac{\int (M(X)e) \exp\left\{-\frac{U(X, E)}{kT}\right\} dX}{\int \exp\left\{-\frac{U(X, E)}{kT}\right\} dX} \quad (91)$$

Frohlich considered the potential energy difference due to the polarization of surrounding continuous medium to be

$$U(X, E) - U(X, 0) = -M(X)G \quad (92)$$

such that the Boltzmann's factor becomes

$$\exp\left\{-\frac{U(X, E_0)}{kT}\right\} = \left[1 + \frac{M(X)G}{kT}\right] \exp\left\{-\frac{U(X, 0)}{kT}\right\} \quad (93)$$

The average/mean moment of the spherical region in the

# Frohlich's Theory

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direction of  $E$  is

$$\langle M, e \rangle = \frac{\int f(M(X), e) \left[ 1 + \frac{M(X)G}{kT} \right] \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX}{\int \left[ 1 + \frac{M(X)G}{kT} \right] \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX} \quad (94)$$

With previous definition (Eq.(76)), it simplifies to

$$\langle M, e \rangle = J [M(X)e]^2 \left( \frac{G}{kT} \right) \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX \quad (95)$$

$$= \frac{JG}{3kT} \int M^2(X) \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX \quad (96)$$

$$= \frac{G}{3kT} \langle M^2 \rangle \quad (97)$$

where  $\langle M^2 \rangle$  represents the mean squared moment of the spherical region in the absence of an applied field.

# Frohlich's Theory

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- Suppose the spherical region is made up of  $N$  similar "units" (can be atoms, molecules or unit cells of a crystal) and let  $x_j = (r_1, r_2, \dots)$  represent the configuration of the  $j$ th unit and  $m_j(r_j)$  the electric moment of the  $j$ th unit in the configuration  $x_j$ . The total moment becomes

$$M(X) = \sum_{j=1}^N m_j(x_j) \quad (98)$$

$$M^2(X) = \sum_{j=1}^N M(X) m_j(x_j) \quad (99)$$

After averaging over all configurations by considering their probabilities, it gives

$$\langle M^2 \rangle = J \int \left[ \sum_{j=1}^N M(X) m_j(x_j) \right] \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX \quad (100)$$

which is solved by two parts. The first part is to integrate

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all the spherical region except the  $j$ th unit. The second part is to integrate the  $j$ th unit.

- In the first part, consider

$$dX = dX_j dx_j \quad (101)$$

where  $dX_j$  is the product of all the configuration vectors except the  $j$ th unit. Thus,

$$\langle M^2 \rangle = J \sum_{j=1}^N \int m_j(x_j) \left[ \int M(X) \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX_j \right] dx_j \quad (102)$$

$$= \sum_{j=1}^N \int m_j(x_j) \bar{m}_j(x_j) p(x_j) dx_j \quad (103)$$

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where

$$\bar{m}_j(x_j) = \frac{\int M(X) \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX_j}{\int \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX_j} \quad (104)$$

is the average moment of the whole spherical region (including the  $j$ th unit) when the  $j$ th unit is held in the configuration  $x_j$  when all other units are free to take up all possible configurations and

$$p_j(x_j) = \frac{\int \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX_j}{\int \exp\left\{-\frac{U(X, 0)}{kT}\right\} dX} \quad (105)$$

is the probability of the  $j$ th unit in configuration  $x_j$  when

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all other units are allowed to take up possible configurations. The integral becomes

$$\int m_j(x_j)\bar{m}_j(x_j)p(x_j)dx_j \quad (106)$$

is the weighted average of the product  $m_j(x_j)\bar{m}_j(x_j)$  for all possible configurations of the  $j$ th unit. Therefore,

$$\langle M^2 \rangle = \sum_{j=1}^N \langle m_j(x_j)\bar{m}_j(x_j) \rangle \quad (107)$$

The  $N$  units are all similar, and  $\langle m_j\bar{m}_j \rangle$  should be the same for the majority of them. The value of  $\bar{m}_j(x_j)$  depends on the short-range forces acting on the  $j$ th unit, and it may be different from average for units near the surface of the sphere. Since this difference should be small, we may write

$$\langle M^2 \rangle = N \langle m\bar{m} \rangle \quad (108)$$

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Substituting this expression into  $\langle Me \rangle$  provides

$$\langle Me \rangle = \frac{3\epsilon_0}{2\epsilon_0 + 1} \cdot \frac{\langle M^2 \rangle}{3kT} E = \frac{3\epsilon_0}{2\epsilon_0 + 1} \cdot \frac{N \langle m\bar{m} \rangle}{3kT} \quad (109)$$

Since the polarization is defined as the electric moment per unit volume,

$$\frac{4\pi P}{E} = \epsilon(\epsilon_0 - 1) = \frac{3\epsilon_0}{2\epsilon_0 + 1} \cdot \frac{4\pi N \langle m\bar{m} \rangle}{3kT} \quad (110)$$

where  $N_1 = \frac{N}{V}$  is the number of atoms, molecules or units of cells per unit volume. Finally,

$$\frac{(\epsilon_0 - 1)(2\epsilon_0 + 1)}{3\epsilon_0} = \frac{4\pi N}{V\epsilon} \cdot \frac{\langle m\bar{m} \rangle}{3kT} \quad (111)$$

This is the Frohlich's equation for the static permittivity of non-polarizable dipoles.

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- Comparison between Kirkwood's model and Frohlich's model –

1. Potential energy due to polarization – In Kirkwood's model,

$$U(X, E) - U(X, 0) = -M(X)E_0 \quad (112)$$

while in Frohlich's model,

$$U(X, E) - U(X, 0) = -M(X)G \quad (113)$$

where  $G = \frac{3\epsilon_0 E}{2\epsilon_0 + 1}$ .

2. Total moment of an molecule/unit – By definition, the

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total moment of an molecule/unit is

$$m = \mu + \alpha F = \frac{\mu + \alpha g E}{1 - \frac{r\alpha}{a^3}} \quad (114)$$

In Kirkwood's model,

$$m = \mu \quad (115)$$

$$\bar{m} = g\mu \quad (116)$$

while in Frohlich's model,  $m$  and  $\bar{m}$  must be evaluated.